## FEDERAL DEMOCRATIC REPUBLIC OF ETHIOPIA MINISTRY OF HEALTH

## Quality improvement Training for PHCU (TOT)



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# PARTICIPANTS MANUAL 2020

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## **EXECUTIVE SUMMARY**

The quality of health care delivery is one of the pillars of the Health Sector Transformation Plan for Ethiopia, which aspires to build a high performing health system. Primary health care (PHC) is central to delivering on the promise of high-quality universal health coverage. With universal health coverage, any person in the community should be able to access essential health care that is of good quality and which leads to improvement in their health, while also being affordable. But to be able to deliver on this promise, we need to embed a culture of improving the quality of care to the best extent possible. At the heart of quality improvement will be health workers based in primary health care.

The purpose of this QI training package is to equip PHC-based health workers with all the necessary Knowledge, Attitudes and Skills to be able to carry out quality improvement (QI) activities as a routine part of their work, so that they can contribute to achieving high-quality universal health coverage in Ethiopia.

The training package has been designed based on the principle of competency-based approach in which the intended outcomes (what learners must know and do) serve as a foundation for the selection of content, teaching methods and evaluation methods. The design and development of the training package focuses on practical application of new Knowledge, Skills and Attitudes on-the-job and also focuses on the performance of real-life skills to meet the job requirements.

This QI learners' manual is organized in nine sessions. Each session consists of essential content that the trainees must know, understand and apply. In addition, each session includes a case scenario that will help trainees to analyze and solve QI problems that they face on a regular basis in their clinical setting. This practical application will make the training more effective.

The manual also consists of essential job aids (checklists, guides) to support application of the training materials in routine settings.

### **ACKNOWLEDGEMENT**

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The MoH would like to gratefully acknowledge all contributors who invested much of their time and energy in the development of this training resource package and for the important contributions to the review, refining and finalization of the training package.

The MoH also extends its appreciation to public health experts from various directorates in the Ministry for enriching and contextualizing the training package.

## **FOREWORD**

The Federal Ministry of Health has been implementing the first Health Sector Transformation Plan.

(HSTP-1), a five-year strategic plan from 2015/16-2019/20 with Improving the Quality and Equity of Health Care as one of the key pillars of the health sector transformation agenda. The second health sector transformation plan (HSTP-2), (2020/21-2025/26) also recognizes Transformation in Equity and Quality of Health Services, which refers to ensuring delivery of quality health services and creating a high performing primary health care unit, engaging the community in service delivery and consistently improving the outcome of clinical care, as one of the five key transformation agendas. In line with this, the Ethiopian ministry of health has designed national health care quality strategy and guide to implement Health Sector Transformation in Quality (HSTQ) to facilitate and sustain quality and equitable health care in health facilities and community as a whole.

The quality improvement training package is intended to be an essential part of the Ethiopian Primary Healthcare Clinical Guideline (EPHCG) as it will provide the necessary skills and tools for health care workers in identifying problems and applying the necessary measures towards providing quality care for patients while implementing the EPHCG.

The trainings will help to equip Health Center-based health workers with all the necessary Knowledge, Attitudes and Skills to be able to carry out quality improvement (QI) activities as a routine part of their work, so that they can contribute to achieving high-quality, equitable and safe universal health coverage in Ethiopia.

I would like to use this opportunity to express my heartfelt appreciation to all who participated in the development process of this training manual. Going forward, I would like to ask all partners, governmental and non-governmental organizations, and others who have any role in the improving quality of care at health centers, to use this manual as the major source for training.

## **LEARNER MANUAL**

#### Layout

Section 1. Participant Handout

Section 2. Participant Slide Notes

Section 3. Developing QI Project and Review Sheet

Section 4. Plan of action

Section 5. Appendixes

Section 6. Successful case studies on QI

## **ABBREVIATIONS**

BP Blood pressure

CEU Continuing Education Unit

**EFMoH** Ethiopia Federal Ministry of Health

**ENHQS** Ethiopian National Health care Quality Strategy

FADE Focus, Analyze, Develop, Execute

FMOH Federal Ministry of Health

HC Health Care

**HC** Health Center

HMIS Health Management Information System

**HSDP** Health Sector Development Plan

**HSTP** Health Sector Transformation Plan

HTN Hypertension

**KPI** Key Performance Indicators

IOM Institute of Medicine

IHI Institute for Healthcare Improvement

MFI Model for Improvement

MNCH Maternal Newborn and Child Health

NQS National Quality Strategy

OECD Organization for Economic Co-operation and Development

OPD Out-Patient Department

PDSA Plan-Do-Study-Act

PHCU Primary Health Care Unit

PPH Post-Partum Hemorrhage

QA Quality Assurance

QI Quality Improvement

QMT Quality Management Team
QMU Quality Management Unit

SBM-R Standards-Based Management and Recognition

SMART Specific, Measurable, Ambitious, Realistic, Time-Bound

**SOP** Standard Operating Procedure

TOT Training of trainers

WHO World Health Organization

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**Figure 5**: Annotation showing the relationship between PDSA cycle and improvement

**Figure 6:** Percentage of babies with hypothermia and percentage of babies receiving skin-to-skin care

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# OVERVIEW OF THE QUALITY IMPROVEMENT (QI) TRAINING FOR PHCU

Rationale: A lot remains to be done towards improving the quality of care at each level of the health system. The health system, over the last two decades, has focused on improving coverage of essential health services. It is high time to pay attention to the quality of health services at all levels of the system. A substantial number of deaths, ill-health and disability can be averted if we can succeed in improving the quality of health care. This will bring benefits to our communities and increase job satisfaction for health workers. The quality of health care delivery is one of the pillars of the Health Sector Transformation Plan, which aspires to build a high performing health system in Ethiopia. Primary health care (PHC) is central to delivering on the promise of high-quality universal health coverage.

**Purpose**: This health care quality improvement TOT training is designed to equip PHC workers with all the necessary knowledge, attitude and skills required to carry out effective quality improvement activities. The overall goal of the training is to prepare motivated PHC workers to play a mentorship and coaching role to effectively plan and facilitate on-the-job quality improvement.

The training packages have been designed based on a competency-based approach in which the intended outcomes (what learners must know and do) serves as a foundation for the selection of content, teaching methods and evaluation methods. The design and development of the training package focuses on practical application of new knowledge, skills and attitudes on-the-job and on performance of real life skills to meet the job requirements.

The QI package consists of the following nine sessions which are intended to be delivered over five days using a face-to-face learning approach.

Session 1: Introduction to health care quality

Session 2: Readiness for change and planning for quality improvement

Session 3: Problem identification, prioritization and aim setting

Session 4: Generating change ideas

Session 5: Measurement

**Session 6**: Testing changes

**Session 7**: Sustainable spread and scale up

Session 8: Coaching and mentoring for QI

Session 9: Facilitate on-the-job QI training

**Session one** drills down to provide details of quality in health care and its dimensions, core elements of quality in HC, knowledge for improvement and the different QI models that can be applied to improve quality in health care facilities.

**Session two** describe organizational readiness assessment, its purpose, characteristics and the different tools to support readiness assessment when an organization prepares to embark on a new QI project under the broader umbrella of the QI program. The session also walks through the organizational QI structure in the health care facility, QI composition, role and responsibilities of effective QI teams.

**Session three** to **seven** drills down to provide details of the framework for any improvement effort. It covers the design of improvement efforts, the process of testing and implementing changes and explores how to sustain and scale up improvement in a health system.

**Session three** describes the design phase sets the stage. It involves conducting an assessment, identifying and prioritizing problems/gaps, creating an aim, , and establishing the management structure, which may include coaches and QI teams.

**Sessions four** to **six** provide details on the testing and implementing phase of the improvement effort. It covers how the teams use various system analysis tools, to identify ideas for changes, setting measures of progress(indicators) and begin testing and implementing them.

**Session seven** covers the sustaining and scale-up phase of the improvement effort. the session provides details of Key practice needed to sustain improvement, and plan for diffusion of innovation through spread and scale of successful improvement.

**Session eight** explains the role of coaching in helping healthcare teams apply QI approaches, defines the skills and attitudes of a good QI coach, and outlines components required for a coach to provide support to a QI team (the coaching plan).

**Session nine** provides details on how to plan and prepare for training, create positive environment for learning; facilitate on-site QI training and monitor and evaluate QI training that enable trainees to effectively facilitate on-the-job QI training in their respective health facility.

## QI TRAINING MODULE SYLLABUS

Module name: Quality Improvement Training for PHCU (TOT)

Module Code: -----

Module Duration (total hours): 88 hrs

Module Continuing Education Unit (CEU): 15 CEU

#### **Target audience:**

- Primary health care unit (especially health centers) management team members, health care providers (medical doctors, health, officers, lab.
   Technologists, pharmacists, public health specialists and other health cadres), health care data and record personnel, health care quality experts working at the various levels of health system (Regional health bureaus, Zonal health offices and Woreda health offices).
- Participant's selection criteria: in order to enroll in this PHC QI TOT training, participants must demonstrate strong motivation and should show by evidence that she/he will work/stay in the position for at least one year after this training.

#### **Suggested Course Composition**

- Number of learners: 20- 24
- Number of trainers/facilitators: 3-4

#### **Learning approach**

- Classroom-based with face-to-face interaction with groups
- Guided practice (

#### **Module Description:**

• This health care quality improvement training is designed for PHC workers to equip with all the necessary basic Knowledge, Attitude and Skills on PHC quality improvement activities to enable them effectively provide mentoring and coaching support for facility QI team during the design and implementation of QI activities. In addition to this, the training is also designed to prepare participants to plan and facilitate on-the-job quality improvement training for facility QI teams.

#### **Learning Outcomes**

At the end of the training, participants will be able to:

- · Describe quality and quality management in health care system
- Demonstrate the ability to assess organizational readiness for change and plan for quality improvement activities in health care facility.
- · Identify and prioritize performance gaps/problem using different tools

- · Develop problem and aim statement based on identified gaps.
- · Develop change ideas using different tools and methods.
- · Develop performance indicators to monitor and evaluate changes.
- Plan, and test change ideas for quality improvement to address identified and analyzed health care quality gaps
- · Sustain improvement results, spread and scale up successful change
- · Facilitate on-job quality improvement training for facility QI teams
- Provide mentorship and coaching support for facility QI teams during the design and implementation of QI activities

#### **Teaching-Learning Methods and Activities**

- · Interactive lecture and discussion
- · Facilitated group discussion
- · Small group/individual project work
- · Plenary presentation and discussions;
- Independent study
- Case study
- · Role play
- · Demonstration
- · Guided practice
- · Reflection and feedback

#### **Training equipment and materials**

- Laptop/desk top Computer
- Projector and screen
- · A whiteboard or flipchart with marker pens
- · Highlighter and pencil for each participant
- · Materials for any game activity if inbuilt in the course
- Name tags for participants and facilitators

#### Training documents

- · Each participant should have:
  - √ Agenda of the workshop

- √ Learner Manual
- · Each facilitator should have
  - √ Agenda
  - √ Facilitator guide and notes
  - √ Learner manual

#### **Learning Assessment methods (both formative and summative)**

- Oral Questioning
- Direct observation of performance (DOP) throughout the course period
- Written cognitive knowledge test
- Review of quality of work completed by participants (example -group learning activities)
- Attendance
- Participation and contribution

#### Summative performance assessment (class room -based learning)

- Written cognitive knowledge test (Post -test)
- Participation and contribution

#### Summative assessment (on-job performance)

 Review of support provided for PHCU QI teams during the design and implementation of QI activities (review of report, testimony from leaders, supervisor and other stakeholders)

#### Requirement for obtained QI training CEU

- Participant will receive 40 CEU (1 CEU per 1 contact hour) if he/she is successfully completed the whole class room-based face-to face session and score 30 % or above
- Participants will receive additional 48 CEU (i.e. 2 CEU per 1 hour) during facilitating on-job training in the work place.
- Participants will receive additional 16 CEU (1 CEU per 1 hr.) engagement in mentoring and coaching activities on the work place

#### Module evaluation methods and tools

- Participants daily reaction using daily evaluation form
- End of training evaluation (content, Teaching Learning Methods and Activities, trainers' competencies etc.)
- · Participants learning using pre-and post-written cognitive knowledge test
- Direct observation of performance at the work place using on-the job observation checklist

Quality Improvement Training for PHCU		
Day 1		
Time	Learning methods and Activities	
08:30 - 09:30	<ul> <li>Registration</li> <li>Introductions of facilitators and participants</li> <li>Introduction to the workshop (goal &amp; objectives, schedule, logistics, )</li> <li>Review of training materials</li> <li>Pre-test knowledge assessment</li> </ul>	
09:30-10:30	Interactive Lecture and discussion <ul><li>Introduction to health care Quality management</li></ul>	
10:30 -10:45	Tea break	
10:45 -12:30	Interactive lecture and discussion <ul><li>Readiness for change and plan for quality improvement</li></ul>	
12:30-2:00pm	Lunch	
2:00 – 3:30	Activity: Case study: How a team addressed a problem and provided better care by implementing a selected change Small group discussion  • Simple success story to motivate participants about QI.	
3:30-3:45	Tea/coffee break	
3:45-5:30	Interactive lecture and group work :  • Step 1: Identifying a problem, forming a team and writing an aim statement	
	Day 2	
8:30-10:30	Interactive lecture and group work on:  • Step 2: Analyzing problems and generating changes	
10:30 – 10:45	Tea/coffee break	
10:45 – 12:30	Interactive lecture and group work on:  • Step 2: Measurement	
12:30 – 2:00	Lunch	
2:00 – 4:00	<ul><li>Interactive lecture and group work</li><li>Step 3: Testing changes</li><li>Step 4: Sustainability</li></ul>	
4:00 - 4:15	Tea/coffee break	
4:15 – 5:30	<ul> <li>Post -knowledge assessment</li> <li>Orientation and instruction for micro teaching (Selecting topic, preparation for session plan, materials and teach back sessions)</li> </ul>	
	Day 3: Small group work: Developing QI project	
8:30-10:30	Project work using QI project template	
10:30 – 10:45	Tea/coffee break	
10:45 – 12:45	Project work continues	
12:45 – 2:00	Lunch	
2:00 - 3:30	Presentation of the project work	

Quality Improvement Training for PHCU		
3:30 – 3:45	Tea/coffee break	
3:45 – 5:30	Plan of action will be developed and shared	
	Day 4	
8:30 - 10:00	Interactive lecture and discussion and activity  Plan and facilitate QI Training	
10:00-10:15	Tea/coffee break	
10:15-12:30	Small group work: Prepare for micro teaching	
12:30-2:00	Lunch	
2:00-3:30	· Practice micro teaching (teach back session )	
3:30-3:45	Tea/coffee break	
3:45-5:30	Teach back session cont'd	
	Day 5	
8:30 – 10:00	Interactive lecture and discussion and activity  Coaching and mentoring for QI	
10:00-10:15	Tea/coffee break	
10:15-12:30	Small group work: Case scenario for coaching	
12:30-2:00	Lunch	
2:00-3:30	Small group workcont'd	
3:30-3:45	Tea/coffee break	
3:45 – 5:30	<ul><li>Small group workcont'd</li><li>End of training evaluation</li></ul>	





Remember times when they have needed to seek health care for themselves or for their family members.

- 1. Which of you was satisfied with the quality of the care?
- 2. What does quality health care look like from the perspective of a patient?



#### **GROUP ACTIVITY 2**

Identify as many challenges to the delivery of quality health care

- How about the environment?
- How about the availability of equipment? Medicines? Investigations?
- · How about the workload?
- How about your training?



# CASE STUDY: SIMPLE QI PROJECT: DEPRESSION

#### Objective of the activity

 To motivate participants that Quality Improvement is simple, feasible and can be applied in healthcare facilities

#### **Case study**

#### **Problem identification and prioritization**

Depression is the most common mental health condition seen in primary care settings. Recently the NCD team learned from zonal review meeting that many studies indicate around 5-10% of people attending health centres have clinically significant depression. The team intrigued with such statistics. After returning from the review meeting, the team reviewed their reported data and the prevalence of depression reported by health center is very low,0.5 %. The team also planned to determine the proportion of patients, among patients visiting the health center, with depression.

They identified 19 patients who visited the health center and, using a primary health care clinical guideline depression protocol, they identified around 10 % of patients had depression symptoms. In addition, depression is more common on patients with other chronic diseases. The NCD team was recently trained on onsite QI training and they identified two topics to address: low compliance of anti-epileptic and anti-bronchial asthma drugs for epilepsy and bronchial asthma patients respectively. They added "the low detection and management of depression" as a third problem. They did prioritize the problems using the prioritization matrix and the analyses came up with "low detection and management of depression" has a higher score on the priority matrix; hence, the NCD team decided to work their quality project on improving the detection and management of depression.

#### **Group task**

- Form a team
- Problem analysis (Conduct problem analysis)
- · Problem statement (write problem statement)
- · Aim statement (write what you are trying to achieve)
- Developing change ideas (What changes can you make that will result an improvement?)
- · Measurement (develop indicators)
- · Testing changes
- · How to Sustain and spread of changes

## STEP I: IDENTIFYING AND PRIORITIZE THE PROBLEM, FORMING A TEAM AND WRITING AIM

Objective: Participants will be able to:

- √ Prioritize which problem to work
- √ Form a team to work on that problem
- √ Write a clear aim statement

#### CASE SCENARIO PART I

You work at a district hospital in which around 2000 babies are born annually.

A single nurse at a time works in the labour room where she provides routine delivery care, basic emergency obstetric care and postnatal care for mothers and babies.

A nurse in-charge oversees operations, including ordering supplies.

There is also a pharmacist on site.

A doctor manages the labour ward and is available for emergencies but because they have no blood bank and limited facilities, most emergencies are referred.

Mothers and babies are kept together after birth and are typically discharged after 24-48 hours.

The staff work hard but they think that the care they provide is not as good as it could be.

They decide to look at the data in their labour room and newborn register to identify some problems that they can fix.

The registers have information about both processes of care and outcomes.

Processes are activities that health workers carry out and outcomes are the end result of those activities.

The team looks at how well they are carrying out important processes of care and if they are getting the outcomes that they want for their patients.

Information on care at birth is collected from the records as shown in the Hospital Birth Register (Figure 1).

**Table 1: Hospital Birth Register** 

	Name B/O	Date of birth	Time of birth (24	<b>Delivery</b> route		Apgar 1 min, 5 min	Birth Wt (grams)	Temp °C at 1 hour	Immediate Delayed drying cord	Delayed cord	Discharge Date (DD/	Discharge (Home, Died
		(DD/MM)	nr.)		minute					clamping	(WW	Referred)
_	Mini	15.06	00.45	Vag	:0	6,8	3400	35.4	:O	:O	16.06	Home
7	Chuni	15.06	06.30	c/s		7,8	2460	34.5		:O	17.06	Home
23	Mamit	15.06	14.30	Vag		8,9	2350	35.2			16.06	Home
4	Chaltu	16.06	09.20	Vag	:O	8,9	3310	36.8	:O	:O	17.06	Home
Ŋ	Tina	16.06	17.50	Vag		6,8	2670	37.1	:O	:O	17.06	Home
9	Mesi	17.06	02.42	Vag		5,7	2740	34.9		:O	18.06	Referred, PPH
7	Me- hadi	18.06	08.16	Vag	Ċ	6,8	2851	36.8	:O		19.06	Home
∞	Masti	18.06	12.25	Vag	:O	6,8	2780	37.1	:O	:О	19.06	Home
ര	Enat	19.06	18.20	Vag		8,9	2618	35.8	:O	:0	23.06	Referred, PPH
9	Fikir	19.06	22.10	Vag	:O	6'6	2651	37.4	:O	:O	24.06	Home

Note: Vag: vaginal, C/S: Lower segment caesarean section, PPH: postpartum haemorrhage.

#### **DISCUSSION 1.1: IDENTIFYING THE PROBLEM**

What are the different "processes of care" and "outcomes of care" listed in the Hospital Birth Register (Figure 1)?

Processes of care	Outcomes of care

What are the different "processes of care" and "outcomes of care" listed in the Hospital Birth Register (Figure 1)?

Process of care	Performance
1.	
2.	
3.	

Calculate the percent performance of two outcomes of care

Outcome of care	Performance
1.	
2.	

#### CASE SCENARIO PART 2

The staff in the facility identify a number of problems with the care that they are providing.

They realize that they are not giving all women a uterotonic (Inj Oxytocin) within one minute and that women are suffering from post-partum hemorrhage (PPH).

They also realize that 20% of babies are born at low weight, that many are not dried quickly and are having their cord clamped early and that many are cold at one hour after delivery. (Hypothermia is temperature < 36.5C)

They decide that they cannot fix everything at once so decide to prioritize one or two projects to work on. They ask for advice on filling in a prioritization matrix.

#### DISCUSSION 1.2: PRIORITIZING THE PROBLEMS

Fill out the prioritization matrix. Based on your experience in your facility, assign points from to 1 to 5 for each factor (process or outcome):

- Important to patients how important is each aspect of care for better patient outcomes? I is not important (lowest score), 5 is vitally important (highest score).
- Affordable in terms of time and resources how easy do you think it will be to fix this problem? I is not affordable (it will take a lot of time or resources), 5 is very affordable.
- Easy to measure how easy will it be to measure the problem you are trying to fix? I is very difficult, 5 is very easy.
- Under the control of team members will people in the unit be able to fix this themselves? I is not at all under the control of the team members, 5 is entirely under the control of the team members.

Possible aim	Important to patient out- comes (1-5)	Affordable in terms of time and resources (1-5)	Easy to meas- ure (1-5)	Under control of team mem- bers (1-5)	Total score (4- 20)
Uterotonic given within 1 min					
Management of PPH					
Immediate dry- ing of the body					
Delayed cord clamping					
Decrease in low temperature at 1 hr <36.5 degree C					
Decrease in low birth weight <2500 grams					

choose the gap in quanty that you think the team should improve.		

#### **DISCUSSION 1.3: FORMING A TEAM**

Discuss how you would organize a team to improve care of mothers and babies in this facility. Determine how many people should be on the team, and who the members might be. Consider the roles of members on the team. Choose and describe an ideal team leader.

Outcome of care	Performance
Team members	Roles
Team leader	Characteristics of a good team leader?

#### DISCUSSION 1.4: WRITING AN AIM STATEMENT

SMART stands for: Specific, Measurable, Achievable, Relevant, Timely Aim statements answer the questions what, who, how much and by when.

- · "What" describes the outcome or process that needs improvement
- "Who" describes the patient group that will be affected
- · "How much" describes the change from baseline to the desired result
- "By when" describes by when you plan to achieve your desired goal

The aim statement should follow the structure:

We aim to (what do you want to achieve) in (which patient group) from (what is the current performance) to (what is the desired level of performance) by (how long).

Write an aim statement related to the quality gap that you think is most important.

We aim to	
In	
from to	
by	



#### N STEP 2: ANALYZING THE PROBLEM AND GENERATING CHANGE IDEAS

#### Objectives: Participants will be able to:

- √ Differentiate between fundamental change and reactive change, change concepts and change ideas
- √ Use different tools and techniques to generate new ideas
- √ Generate change /new ideas to improve quality in health care system

#### Case Scenario Part 3

The team decides that they want to fix two problems and develop two aim statements.

#### Neonatal health:

We will reduce the percentage of newborns with low temperature (<36.5°C) at one hour after delivery from 50% to 10% within 6 weeks.

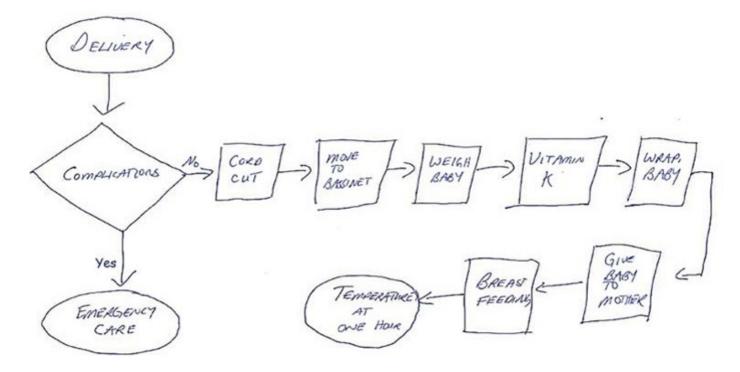
#### Maternal health:

We will increase the percentage of women receiving a uterotonic within one minute after vaginal delivery from 50% to 100% within 4 weeks.

#### **Analysis - Reducing neonatal hypothermia:**

The team is not sure why so many babies are getting cold so they decide to use a process flowchart to describe all actions to care for the babies and see if they can identify what is making the babies cold.

**Figure 1: Newborn Care Flowchart** 



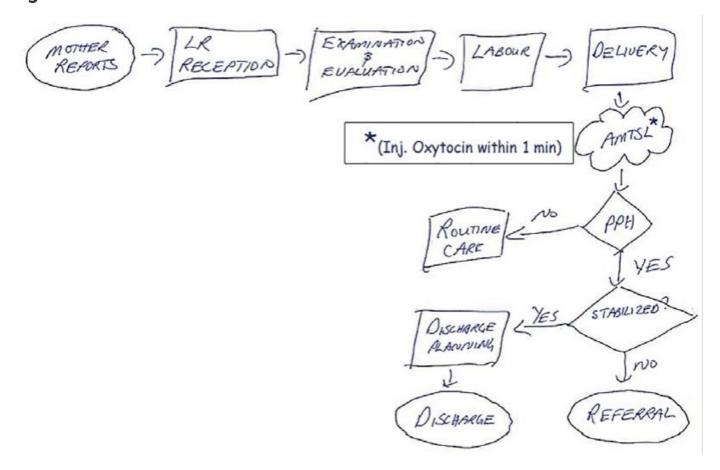
#### **DISCUSSION 2.1: ANALYZING A FLOWCHART**

Based on the Newborn Care Flowchart (Figure 2), what do you think could be some of the problems contributing to babies getting cold?

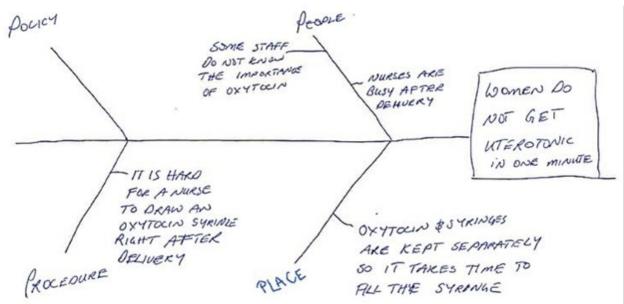
#### **Analysis - Improving uterotonic administration:**

The team also develops a process flowchart for maternal care at the time of delivery (Figure 3) and decides to focus on ensuring that all women receive auterotonic within one minute of delivery to prevent post-partum hemorrhage. They then use a fishbone diagram to identify problems with providing a uterotonic in the first minute after delivery.

**Figure 2: Maternal Care Flowchart** 



**Figure 3: Maternal Care Fishbone** 



#### **DISCUSSION 2.2: ANALYZING A FISHBONE DIAGRAM**

some of the delivery?	problems	contributing	g to womer	n not receiv	/ing a utero	tonic after

Based on the Maternal Fishbone Diagram (Figure 4) what do you think could be

#### Case Scenario Part 4a: Maternal Health scenario

Review the flowcharts and fishbone diagrams to have a better understanding of what was causing them to deliver suboptimal care. This helps to come up with some ideas about changes to make that could help to provide uterotonic in time.

## DISCUSSION 2.3: IMPROVING UTEROTONIC ADMINISTRATION - CHANGE IDEAS.

Change	Why do you think this will improve care?

#### Case scenario part 4b: Newborn Health Scenario

Reducing neonatal hypothermia

The team realizes that they are providing care in the bassinet rather than following the evidence-based practice of starting skin-to-skin care immediately after delivery.

Part of the reason for this is that some nurses are not aware of the importance of skin-to-skin care.

Another reason is that nurses are following the steps on Flowchart 1 because that is the easiest way to provide care given the current way the room is set up and how supplies are kept.

#### **DISCUSSION 3.1: DEVELOPING CHANGES**

What changes in care do you think that the team could make to see if that improves care?

Reducing neonatal hypothermia at one hour:

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#### STEP 3: DEVELOPING MEASUREMENT

#### Case Scenario Part 5

The team to discuss what indicators they will use to measure their progress.

#### **DISCUSSION 3.1: DEVELOPING INDICATORS**

- What would you advise about the indicators to monitor progress?
- Write an outcome measure for the project to reduce neonatal hypothermia and one process and one outcome measure for the project to improve administration of a uterotonic in the first minute after delivery.

Reducing neonatal		hypot	hermia:
-------------------	--	-------	---------

Outcome measure:	
Numerator	
Denominator	
Data source	
Person responsible	
How frequently	
Improving utero	tonic administration:
Process measure	
Numerator	
Denominator	
Data source	
Person responsible	
How frequently	
Outcome measure:	
Numerator	
Denominator	
Data source	
Person responsible	
How frequently	

# Case Scenario Part 6

The team looks at the data on the percentage of women who received a uterotonic within one minute of delivery and the percentage of women who had a post-partum hemorrhage each month for the past 16 weeks. They then plot the data on a graph to make it easier to review.

# **DISCUSSION 3.2: PLOTTING DATA OVER TIME**

· Use the flipchart to draw two time-series charts from the Maternal Health Data (Figure 5).

**Table 2: Maternal Health Data** 

		Week 1	Week 2	Week Week Week	Week 4	Week 5	Week 6	Week 7	Week 8	Week 9	Week 10	Week Week Week Week Week Week Week S 5 6 7 8 9 10 11 12 13	Week 12	Week 13	Week 14	Week 15	Week 16
7	Numerator	4	3	9	2	8	15	34	36	33	40	32	34	40	41	36	34
Ŏ	Denominator 34		42	47	37	42	40	50	41	36	45	53	36	43	42	37	35
٨	Percent	12%	. %2	13%	14%	%61	38%	%89	88%	92%	%68	%26	%+6	93%	%86	%26	%26
	Numerator	2	7	7	4	5	4	5	3	3	4	2	2	3	3	2	2
	Denominator 34		42	47	37	42	40	50	41	36	45	33	36	43	42	37	35
(1)	Percent	15%	18% 15%		%11	12%	%01	%01	2%	8%	%6	%9	%9	%2	2%	2%	%9



#### Case Scenario Part 7: Maternal Health Scenario

The team discusses that they should try to make sure there is a pre-loaded syringe of oxytocin available at the labour table for each delivery. They discuss some of the challenges with this:

- 1. Who will prepare the syringe?
- 2. When should it be prepared?
- 3. Where will it be kept after preparation?
- 4. Where will it be kept during delivery?

The nurses on the team say that they can prepare the syringe. One of them thinks it will be easiest to prepare the syringe when a new woman comes in labour to the labour room and the other one thinks that they should prepare a few syringes at the start of each new shift. Because the facility does not have a fridge in the labour room, both nurses decide to keep the syringes on a cold pack. The team discusses that both ideas seem reasonable and that there are pros and cons to both of these options.

	Pre-load one syringe when wom- an comes into the labour room	Pre-load a few syringes at the start of each shift
Pros	There will be no waste of oxytocin You will not run out of oxytocin	There will always be enough time to do this
Cons	Some women are already pushing when they arrive so there will be no time	We may under-or over-estimate the need for oxytocin and end up wasting it or running out

# DISCUSSION 4.1: TESTING CHANGES TO SEE IF THEY ARE PRACTICAL HOW WOULD YOU ADVISE THE TEAM TO USE PDSA CYCLES TO LEARN WHICH IS THE BEST TIME TO PRELOAD THE SYRINGE OF OXYTOCIN?

Plan	What change will you make?	
	Who will make the change?	
	Where will this take place?	
	For how long will the change be tested?	
Do		
Study	What do you want to learn from this test?	
Act		

#### Case scenario part 8: Maternal Health Scenario

The team agrees that the two nurses should try their own preferred method during their next shift and to learn:

Whether there is enough time to do this when a woman comes into the delivery room.

If they preload at the start of a shift, do they run out or waste oxytocin.

In both cases, where should they keep the pre-loaded syringe after preparation and during delivery?

The two nurses work in different shifts and test their preferred method the next time they work. The nurse who is testing pre-loading one syringe when

the mother comes into the labour room delivers three babies. The nurse who is testing pre-loading multiple syringes at the start of the shift delivers two babies.

#### From this test, the team learned that:

Preloading one syringe when women come into the delivery room

 This worked well for two of the deliveries but one woman came into the delivery room in advanced labour and there was no time to draw up the syringe.

Preloading multiple syringes at the start of the shift

- The nurse who wanted to try this method remembered that the most babies she had ever delivered in a shift were five. So, she pre-loaded five syringes and kept them on an ice pack in the emergency tray kept at the side of the labour table.
- This system worked well although the tray was rather crowded with five syringes.

DISCUSSION 4.2: WHAT TO DO AS YOU LEARN FROM PDSA CYCLE

 At the end of the shift, she told the next nurse about the three pre-loaded syringes and suggested use the same method and preload two more syringes (to keep five available). The other nurse did not want to because there were no more cold packs.

#### Case Scenario Part 9: Maternal Health Scenario

The team agrees that preloading syringes at the start of the shift is a good idea but realizes they still have some details to work out:

- How many syringes to preload at the start of the shift?
- What to do with the leftover syringes at the end of the shift?
- How to make sure there are enough cold packs?

The team decides:

- 1. that five pre-loaded syringes are too many and that three will be enough as it is rare to have more than three deliveries.
- 2. to keep the unused syringes for the next shift and that the incoming nurse will

pre-load more to bring the total to three.

3. to get an extra cold pack from the pharmacist and to always keep one in the freezer so that there is always one available.

They decide to test these changes for the next shift. During that shift, two babies were born and the system worked well.

At the end of the shift, the incoming nurse wanted to throw out the pre-loaded syringe because she thought it would get mixed up with the syringes she was going to pre-load.

Instead, the two nurses decided that they would add the date and time to the syringe label when it was drawn up so that the nurse would know which one to use first. In the next shift, four babies were born.

After the third baby was born, the nurse pre-loaded three more syringes to keep the total at three syringes. The team met again to discuss what they had learned from these changes and PDSA.

#### The team decides that:

- 1. they would add the date and time to the syringe label when it was drawn up so that the nurse would know which one to use first
- 2. after the third baby was born in any shift, the nurse would pre-load three more syringes in her shift to keep the total at three preloaded syringes

#### **DISCUSSION 4.3: TESTING CHANGES**

How many cha	anges has the tean	n tested so far?	

#### Case Scenario Part 7: Newborn Health Scenario

One of the team members is aware of the evidence that skin-to-skin contact is beneficial for both mother and baby. She convinces everyone that it will be possible and beneficial to do this.

The team discusses how to change the order of activities after birth to ensure that skin-to-skin care happens immediately and is not interrupted. They decide to follow the new steps of care:

- 1. put the baby on the mother's chest immediately after delivery and keep the baby there while doing the other activities
- 2. dry the baby and clean his or her eyes (as per national guidelines) and cover

with a dry towel

- 3. cut the cord after 1-3 minutes
- 4. encourage breastfeeding as soon as possible
- 5. leave the vitamin K and weighing until after breastfeeding has started

Now that the team has decided that they are going to use skin-to-skin care as the process to reduce hypothermia,

they realize that they need to measure this.

They develop a new process measure: the percentage of babies getting skin-to-skin contact at birth for at least one hour.

Not everyone in the group is convinced that this will be feasible. Different people raise possible objections, which

include: mothers will not want to put the baby skin-to-skin right after delivery because they are tired and because the baby is wet.

it will be hard for nurses to dry and clean the baby and cut the cord while the baby is with the mother

if the babies do not get weighed and receive vitamin K immediately, then nurses will forget to do this later.

### **DISCUSSION 4.1: TESTING CHANGES**

How would you advise the team to plan a PDSA cycle to learn if changing the order of care is feasible or if the objections raised by some people in the team will make it hard to make this change.

Plan	What change will you make?	
	Who will make the change?	
	Where will this take place?	
	For how long will the change be tested?	
Do		

Study	What do you want to learn from this test?	
Act		

### Case Scenario Part 8: Newborn Health Scenario

The team decides to try using the new order of care for all babies born in a single shift and to learn:

- How do mothers feel about starting skin-to-skin immediately?
- How easy is it to provide care on the mother's chest?
- Do nurses still remember to weigh the baby and give vitamin K?

One of the nurses who is enthusiastic about this new idea volunteers to test it during her next shift. She delivers two babies. From this test, the nurse learned that:

- · Both the mothers were happy to receive the baby right after delivery
- Drying the baby on the mothers' chest was more difficult than doing this in the bassinet because the towels and other supplies were by the bassinet and the nurse had to walk over to get them
- The nurse remembered to weigh the baby and give vitamin K because they had to be recorded on the medical record which she had to fill out before transferring the baby to the ward

At the end of the shift, members of the team who are there meet to discuss what to do next.

### DISCUSSION 4.2: WHAT TO DO AS YOU LEARN FROM PDSA CYCLE

What should the team do next?

 TO TIEXE.		

### Case Scenario Part 9: Newborn Health Scenario

The team agrees that reordering the steps of care is a good idea and should keep babies warm. They feel that the way the room is currently organized makes it difficult.

They decide to move the supply table from the bassinet to the bedside to make it easier to care for babies on the mother's chest.

As a group, they go to the labour room and move the supplies closer to the labour table. They try two options until they have a set-up that people think will work.

They then decide to test for one shift if the new organization of the room makes it easier to provide immediate care to babies while they are in skin-to-skin contact with their mother.

In the next shift, the nurse delivers two babies. She had to reorganize the room again after the first delivery and found that this made caring for the babies much easier.

### **DISCUSSION 4.3: TESTING CHANGES**

How many changes has the team in the scenario tested so far?

How	many changes has the team	n in the scenario test	ted so far?	
How	many PDSA cycles have the	y done?		

The team decided to ask the nurses on duty for the next three shifts to get their feedback on the new room set-up and get their suggestions for improvement.

At the end of the three shifts, they have made a few more small changes in the room set-up and also involved the cleaning and maintenance staff so that they also know about how the room should be set up. Eight babies were born in those shifts. Six of them had normal temperatures at 60 minutes. This is much better than the baseline data.

They then hold a series of meetings for other labour room staff who have not been

involved in the project to discuss the new way of working, showing them how to care for babies on the mother's chest and sharing the data showing improvement.

Other staff members start delivering babies in this way as well.

### Case Scenario Summary

Staff team in this hospital decided that they wanted to improve care for mothers and babies. They reviewed their data and used a prioritization matrix to pick two specific aims:

- a. increasing the use of uterotonic within one minute of delivery
- b. reducing neonatal hypothermia

They then formed a team to work on these aims (STEP 1).

The team used flowchart and fishbone diagram to analyze the problem and identify key issues that they needed to address to reach these aims. They realized that their main problems were that the flow of care after delivery led to the situation that babies did not receive skin-to-skin care immediately after delivery which led to hypothermia, and that the procedure of filling a syringe with oxytocin after delivery led to a situation that most women did not get the drug within one minute of delivery (STEP 2).

Based on their analysis, the team decides to pre-load oxytocin syringes for the mother and to change the work flow for newborn care after delivery so that skin-to-skin care can start immediately. They tested these ideas first during one shift to see if these are feasible and then a series of PDSA cycles to identify the best way to work for different nurses working at different shifts on different days (STEP 3).

They also involved all the other staff, nurses and cleaners so that they all understood the new way of working (STEP 4). The figures below show the progress of the team.

Figure 4: Percentage of women receiving a uterotonic within one minute and women with post-partum hemorrhage

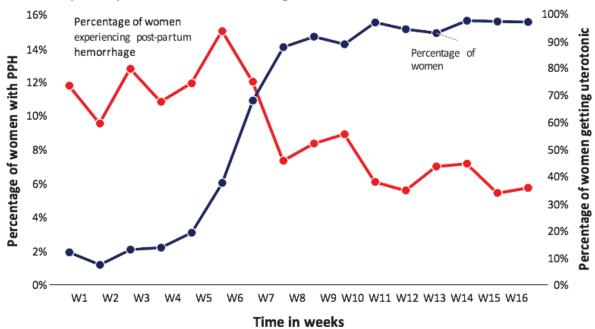


Figure 5: Annotations show the relationship between various PDSA cycles and improvement in the indicator

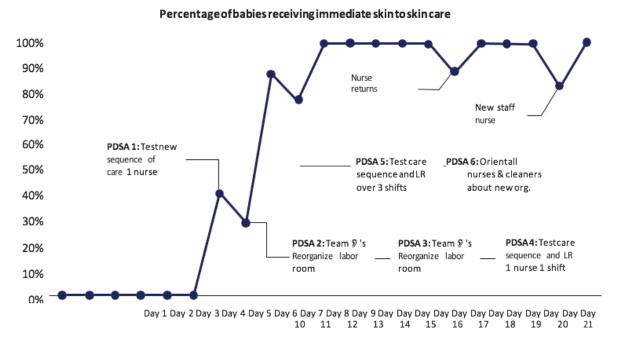
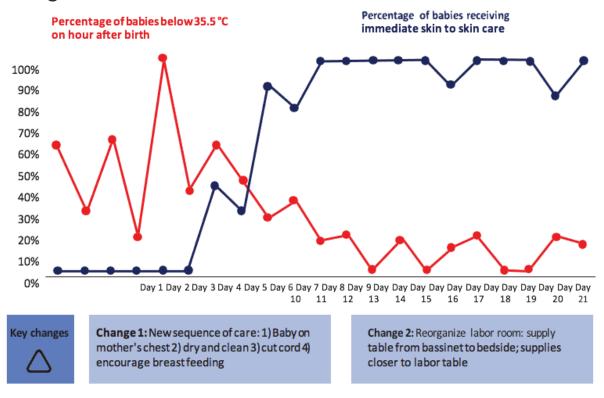


Figure 6: Percentage of babies with hypothermia and percentage of babies receiving skin- to-skin care





### 🚺 STEP 5: SUSTAINING IMPROVEMENT

### Step 5: Learning objectives

- 1. How to embed/incorporate successful changes into your system to sustain the improvement in quality of care?
- 2. How to engage and motivate team to view QI as an important tool improving work culture across the health facility for providing better care?

### **Implementation**

After testing ideas and finding ones that work, you will want to implement them so that the changes are permanent and consistently applied in all situations. This involves:

- 1. Making the change the new standard process across the unit/department
- 2. Taking specific steps to prevent from slipping back to the old ways of working. (hardwiring through job descriptions, protocols, etc.)
- 3. Keeping an eye on key indicators to ensure improvement is sustained It is also important to build more enthusiasm among health-care teams for quality improvement. Useful strategies for doing this include:
- Manager of the health facility should continuously encourage the health-care team to incrementally improve quality of care
- Rewarding people who are involved in QI efforts
- Give opportunities for them to share their successful work within the health facility and beyond
- Build multiple teams in the health facility so that they can learn and support each other
- The health-care team should keep higher-ups in the system informed, tell them about your success and build a case for additional resources, if required.

## Case Scenarios for Coaching (Part 1 to 10) CASE SCENARIO: PART 1

Facility staff members attend QI training:

The senior medical officer (SMO) and the nurse-in-charge (NIC) from a community health center (CHC) (a 3-bedded health facility) attend training on using QI approaches for care patients with high blood pressure. They decide to improve two aspects of care at their health facility:

- 1. Improve routine screening of patients for high blood pressure and its complication at their facility and
- 2. Improve the counseling skill of providers for life style modification of hypertensive patients

The senior medical officer becomes the team leader and they plan to return to their facility to initiate the improvement project.

A coach visits the team at their health facility:

Following the QI training, a coach comes to the facility for a scheduled coaching visit. The coach finds that only the SMO and NIC are available to meet to discuss the QI project. The SMO and NIC inform the coach that they have begun the QI project, but have not had any meetings with other staff in their unit.

### **DISCUSSION QUESTIONS: PART 1**

- Q 1.1. What has the QI team done well? (Please write in the space below)
- Q 1.2. What have they not done well yet?
- Q 1.3. What should the coach do next?

### CASE SCENARIO: PART 2

The coach congratulates the SMO and NIC for getting started. She asks the SMO and NIC who at the facility is involved in screening and managing patients with high blood pressure both at outpatient and inpatient set up. They explain that nurses do this work. The coach asks if these nurses have been invited to join the QI team.

The SMO and NIC explain that the hypertension follow-up clinic nurses were invited but have not been able to join. The main problem is that they work in different shifts, so they can't find a good time to meet.

The coach asks the SMO and NIC for ideas to solve this problem. The nurse-in-charge suggests that she could meet them delegating another nurse at the follow- up clinic responsible for caring hypertension patients and inpatient setups for managing complication of hypertension. The SMO, NIC and coach decide to meet after two weeks.

### Second visit by the coach:

The coach visits the facility after two weeks, she discovers that the SMO and NIC have involved the nurses working at HTN follow up OPD. This larger QI team has met three times and everybody has agreed to closely monitor blood pressure for every patient coming to the specialty clinic and screen whether no complication of HTN is present in addition to deliver essential information and counseling for life style modification for all HTN clients coming to the specialty clinic.

One staff nurse has collected data of the screened patients for blood pressure and also for any complication. She informs the coach that during the first week there was some improvement in the proportion of patients get routine measurement of blood pressure, number of patients receiving counseling and information on drug adherence, life style modification and those screened for any complications related with hypertension. All patients were getting their blood pressure measured but the proportion of patients getting counseling and information for life style modification got declined afterwards.

### **DISCUSSION QUESTION PART 2**

- Q 2.1. Why do you think the initial improvement was not sustained?
- Q 2.2. What do you do as a coach?

### CASE SCENARIO: PART 3

The coach appreciates the QI team for getting initial improvement. The coach also appreciates that they are collecting data about the problem. She asks the team what led to improvement. The SMO responds that he has sensitized all the staff on the importance of routine blood pressure screening. The coach asks the team members if they can think of any possible reasons why the initial results were not sustained. The SMO says that they were not able to sustain results because some of the nurses are not motivated to deliver routine Blood Pressure (BP) screening, counseling on life style modification and drug adherence for the patients.

The coach then asks the nurses specifically if they are facing any problems/ challenges in adequately deliver counseling on life style modification and drug adherence for hypertension patients. One nurse responds that both these activities are difficult because there are many patients waiting outside to get enough time for counseling. So, it is not true that the nurses are not motivated, as expressed by the SMO.

### **DISCUSSION QUESTION PART 3**

Q 3.1. Why do you think the team leader (senior medical officer) and team member (nurse) have different opinions?

Q 3.2. What should the coach do next?

### CASE SCENARIO: PART 4

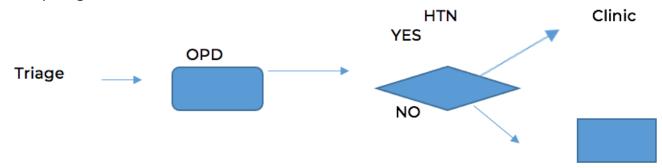
The coach asks if the QI team has used any of the problem analysis tools that they learned to use during the QI training. The team says they have not. They ask the coach which tool they should use.

### DISCUSSION QUESTION PART 4

Q 4.1. What should the coach suggest?

### CASE SCENARIO: PART 5

The QI team draws a flow chart of all activities that take place from the moment of entry at outpatient clinic to HTN specialty clinic and to in patient ward if there is any complication. Because the nurses know most about the specific activities, most of the flow chart is filled in with input from the nurses. The flow chart they develop is given below:



### DISCUSSION QUESTION PART 5

Q 5.1. What should the coach do next?

CASE SCENARIO: PART 6

The QI team reviews the flow chart and identifies the main problem from the flow chart is that: the clients/patients are not being sent to laboratory in that blood tests are not done.

### **DISCUSSION QUESTION PART 6**

Q 6.1. What solutions should the coach give to the team?

Q 6.2. What should the coach do next?

### CASE SCENARIO: PART 7

The coach asks the nurses on the team why do the nurses get demotivated. One of the nurse shares that they are not getting trainings on recent screening and counseling for patients with high blood pressure.

Another nurse mentions that the providers are busy and had another assignments and duties expected from the facility management

The coach suggests that the team try using another tool to understand better patients are not routinely get screened and counseled for life style medication. The team decides to use the 5 whys tool to see if they can identify a possible solution that can help the provider at the facility's hypertension clinic to routinely screen their patients for high blood pressure and counsel for life style modification and drug adherence.

As a group they use 5 whys and come up with the following analysis:

Q1: Why routine screening or blood pressure is not done at the outpatient department?

Al: Because there are no enough blood pressure measuring apparatuses/cuffs are available

Q2: why routine screening or blood pressure is not done at the Hypertension Clinic?

A2: Because there are a lot of patients waiting outside

Q3: Why nurses are not providing counseling on life style medication for hypertensive patients at a routine basis?

A3: Because providers lack essential counseling skill and did not receive training on providing information on life style modification for patients with high blood pressure

Q4: why there is no enough blood pressure measuring cuffs?

A4: No enough cuffs are not purchased

The senior medical officer is now very enthusiastic. He orders the nurse-in-charge to put enough blood pressure measuring cuffs both at outpatient department and at HTN clinics. In addition, tells the coach that the next time she comes back 100% of patients visiting the HTN specialty clinic will be counselled for life style modification and be screened for complications. The coach notices that most of the nurses seem less eager than the doctor.

### DISCUSSION QUESTION PART 7

Q 7.1. What should the coach do?

### CASE SCENARIO: PART 8

The coach congratulates the team on coming up with the possible solution of putting in an extra Blood pressure cuffs at all sites and she also notes that there is a difference of opinion among the team about how feasible it will be to counsel hypertensive patients on life style modifications and get all of them screen for complication that may arise any time in the disease natural process.

She asks the team if anyone remembers from the QI training what to do when the team has a change idea. The nurse-in-charge says that she thinks that the QI team can test if putting the cuffs in all places is feasible or not. The coach asks the nurse about whether she has any suggestions on how they can test the idea of counseling patients for life style modification. The nurse suggests that they will provide an in-service training in how to counsel and give information of life style modification for HTN patients in the next month and at the next coaching visit the team can see how many Hypertensive patients get know their blood pressure every time they visit the specialty clinic and get counselled for life style modification.

The coach asks what the rest of the team thinks about this idea. One of the nurses says that he is not sure if the training is going to be given and the blood pressure measuring cuffs will be purchased and placed where there need to be. The coach asks the other nurses what they think. There is disagreement. Some think this can be done and others think it can't be accomplished.

### **DISCUSSION QUESTION PART 8**

Q 8.1.What should the coach do next?

### **CASE SCENARIO: PART 9**

The coach commends the nurse-in-charge for suggesting a good way of testing the change idea. The coach asks the team if the test needs to be one-month long. They say, "Yes, one month is a good duration." The coach asks the QI team what they are trying to learn by doing this test. The team says they are trying to learn if availing more BP measuring cuffs and conduct trainings lead to letting more patients know their BP measuring routinely and updated with their disease status of complications?

The coach asks the team if there is any possible downside (likely adverse effect) to having more BP cuffs and conduct trainings. The nurse who had previously said that it may not be feasible of conducting in service training that there may be lack of budget for it. The coach says, "Great point. There seems to be some uncertainty about whether these interventions are feasible. Do we need to test this change for two months to learn if putting more cuffs and conduct trainings can be difficult?

The team decides that they can shorten the test to one month to test for feasibility. They first want to learn if there is enough budget for the interventions before they measure the effectiveness in achieving the desired level of routine screening for BP level and getting the required skill of counseling or life style.

The coach plans the next coaching visit after three weeks. The coach suggests the team leader if they could share their data and with her before the next meeting and encourages the team to review their data before the actual meeting.

### Third coaching visit:

The coach returns after three weeks for the next coaching visit. The team has shared data from the past twenty days showing that putting more BP cuffs at OPD and hypertension clinic has increased more patients to know their blood pressure every time they visit the clinic. The coach reviews the data with the team and helps them to compare the change in number of clients who know their blood pressure at the time of their every visit using the service delivery chart of the clinic.

The coach learns from the QI team that they have purchased enough BP cuffs and learn that it brings good changes and really worked well. The nurses like the change they brought. It makes it easier for them to screen and measure the blood pressure of all clients and letting them provide better care for their patients. The combination of easier work and better care makes them more confident about the change idea.

However, one of the nurses says that they still have not fully reached their aim.

### DISCUSSION QUESTION PART 9

Q 9.1. What should the coach do next?

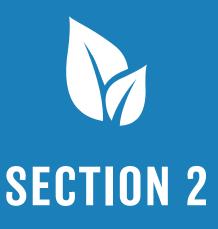
### CASE SCENARIO: PART 10

The coach explains to the team that it is unusual for one change idea to fix the problem entirely. There are many causes for one problem, and they have addressed one such cause and seen improvement. The coach praises the team for this achievement. The coach reminds the team to reflect on how much their QI work has already benefited their patients. The coach asks everyone to give themselves a round of applause.

The coach then asks them to further analyze the situation and come up with other ideas that can be tested for changing the processes of care. Having been successful to some extent already, the QI team is more enthusiastic and interested and after some discussion, comes up with and tests several ideas over the next three months. They test several ideas over the next three months. Some ideas (changes) worked well immediately, some needed to be adjusted until they work and didn't work at all. The coach should encourage teams to continue to collect data properly, because it is not unusual for teams to try a lot of change ideas but forgetting to collect and analyze their data.

The coach keeps visiting the team at regular intervals and keeps guiding them through the process. In this systematic and diligent way, the QI team achieves both its aims of improving routine screening of HTN clients. The coach and the team celebrate this success together. "Well done, team!". The coach also brings this achievement to the attention of the head of the health facility, who acknowledges and appreciates the efforts of the QI team. The coach also encourages the QI team to present their "successful QI project" at local and state level meetings.

In a future coaching visit, the coach guides the team to select another improvement aim in the care of patients with high blood pressure – like providing counseling on life style modification during their visit at the clinic and decrease complication of hypertension that may arise. This time the team needs less coaching support and are able to use the QI skills and tools with more confidence to continue improving care for hypertensive patients in their facility.



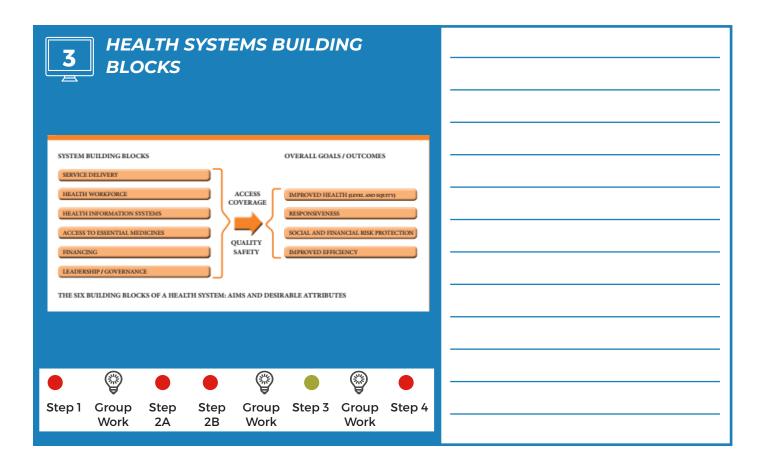
# PARTICIPANT SLIDE NOTES

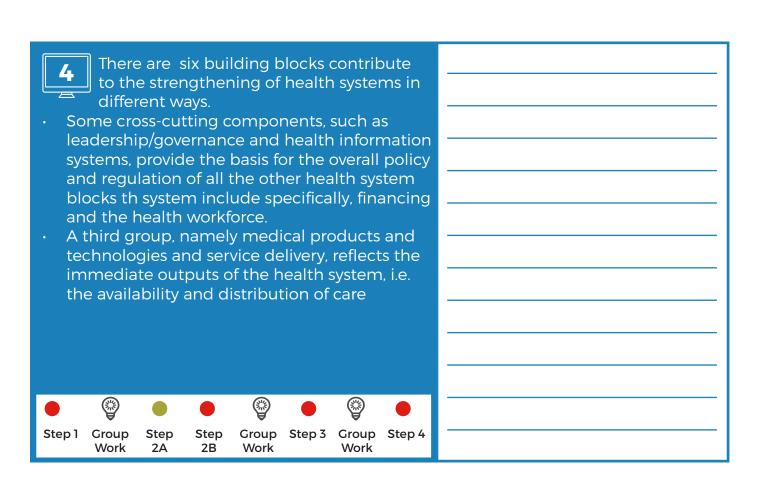


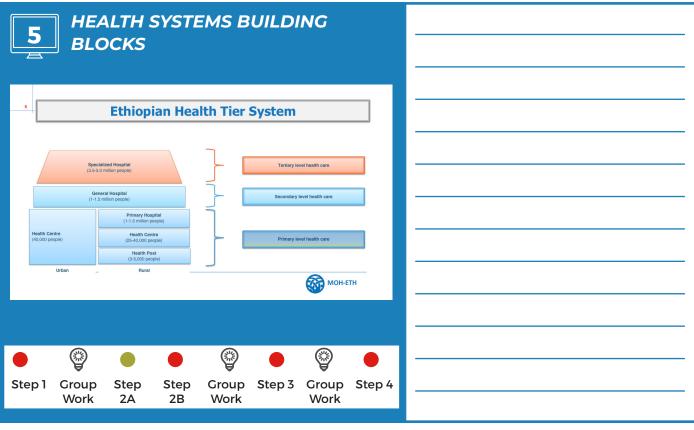
### **SESSION 1: INTRODUCTION TO HEALTH CARE QUALITY**

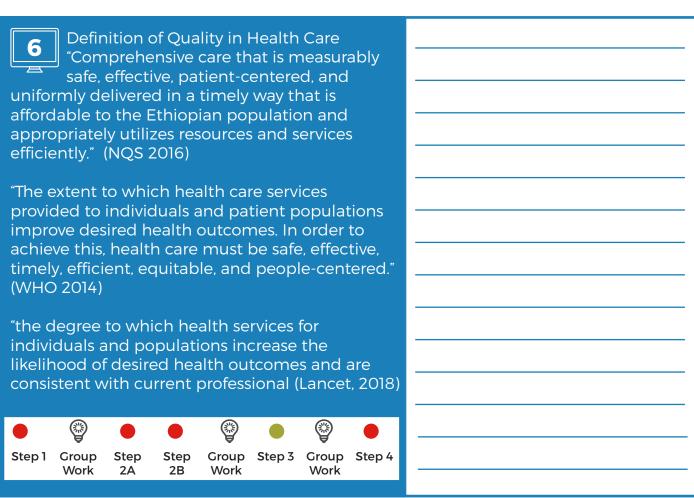
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Step 1	Group Work	Step 2A	Step 2B	Group Work	Step 3	Group Work	Step 4	

### HEALTH SYSTEM Health system consists of all the organizations, institutions, resources and people whose primary purpose is to improve • The health system delivers preventive, promotive, curative and rehabilitative interventions through a combination of public health actions. Step 1 Group Group Step 3 Group Step 4 Step Step Work 2A 2B Work Work









The six dimension of quality in health care  Safe: avoiding injuries to patients from the care that is intended to help them  Timely: reducing waits and sometimes harmful delays for both those who receive and those who give care  Effective: providing services based on scientific knowledge to all who could benefit, and refraining from providing services to those not likely to benefit	
Step 1 Group Step Step Group Step 3 Group Step 4 Work	
The six dimension of quality in health care (continued)	
<b>Efficient:</b> avoiding waste, including waste of equipment, supplies, ideas and energy	
<b>Equitable:</b> providing care that does not vary in quality because of personal characteristics such as gender, ethnicity, geographic location and socioeconomic status	
Patient-centered: providing care that is respectful and responsive to individual patient preferences, needs and values, and ensuring that patient values guide clinical decisions:	

Group Step 3 Group Step 4 Work Work

Step 1 Group Work Step 2A

Step 2B



### THE DONABEDIAN FRAMEWORK FOR QUALITY IN HEALTH CARE

- The Donabedian model is a framework for examining health service and evaluating its quality.
- The Donabedian model makes clear that all the parts of a system (structure, process, and outcome) are connected and if only the interaction of all parts is optimal, the system functions optima
  - » Structure
  - » Process
  - » Outcome



















Work 2A

2B

Work

Group Step 3 Group Step 4 Work

- Structure: describes all the factors that affect the context in which the care is delivered such as hospital facilities, staff, equipment etc. These factors control how providers in a healthcare system act and are measures of the average quality of care within a facility or system
- · Process means the interactions between patients and providers, through the delivery of healthcare including diagnosis, treatment, preventive care, patient education etc.
- Outcome refers to the effects of the healthcare on the health of the patient and the population including changes to health status, behavior or knowledge as well as patient satisfaction











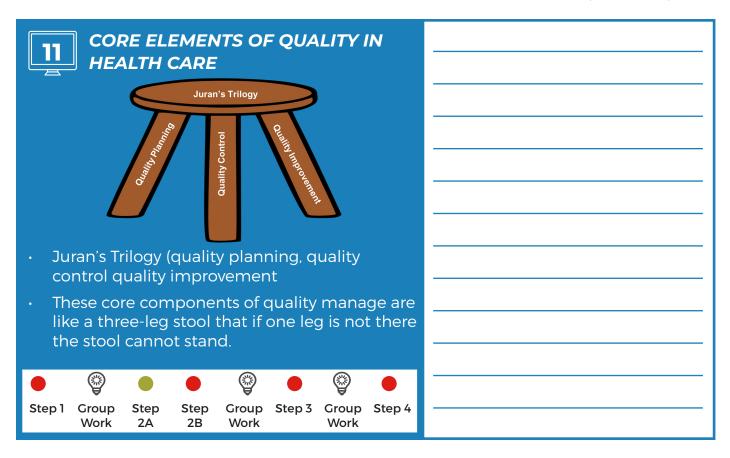


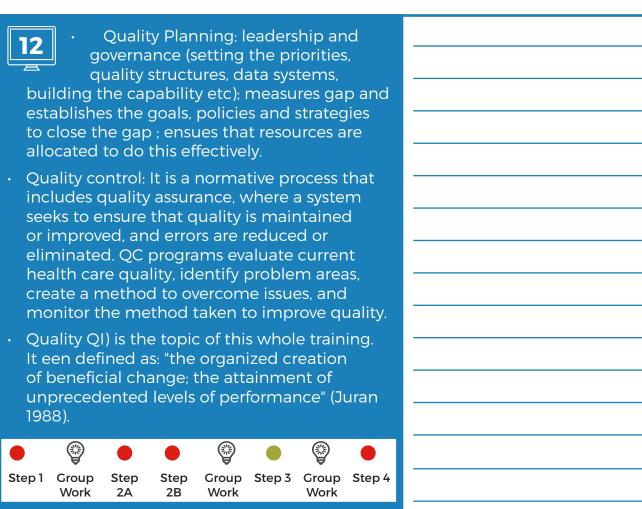


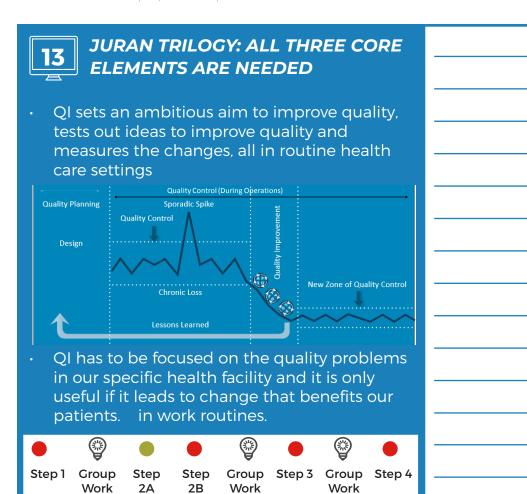


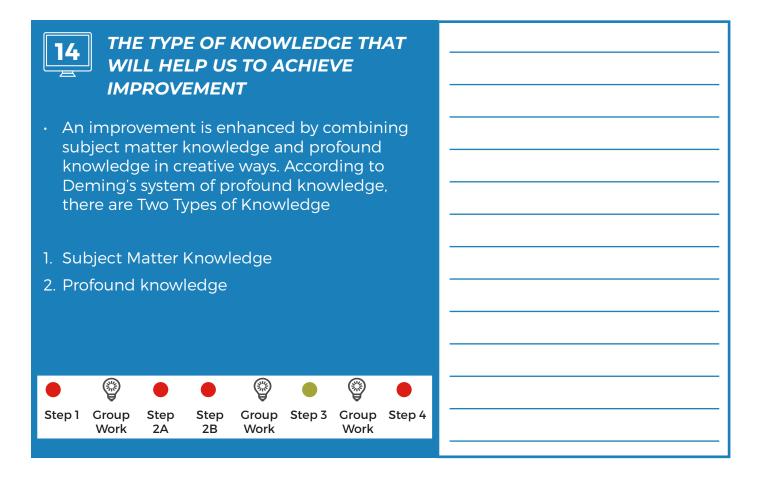
Step 1 Group Step Step Group Step 3 Work 2A Work Work

Group Step 4

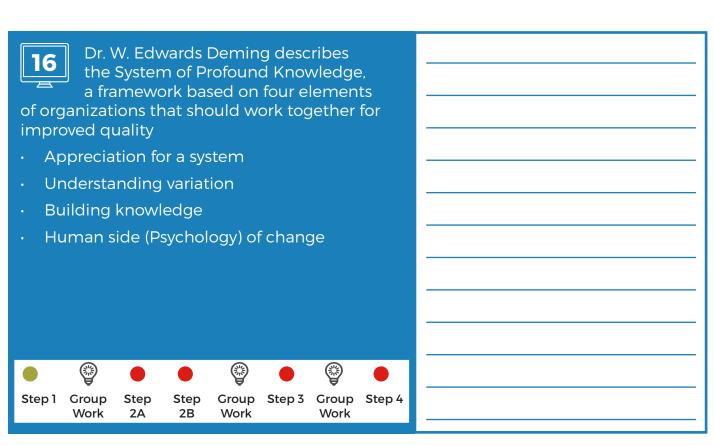


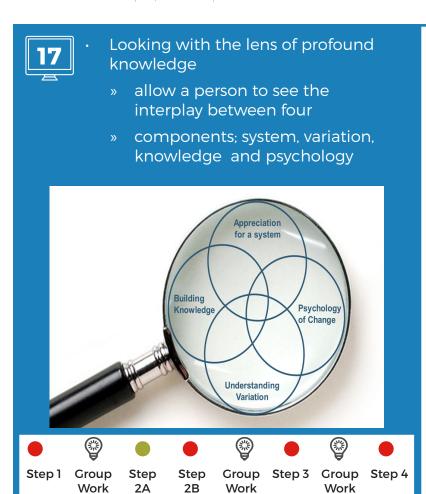


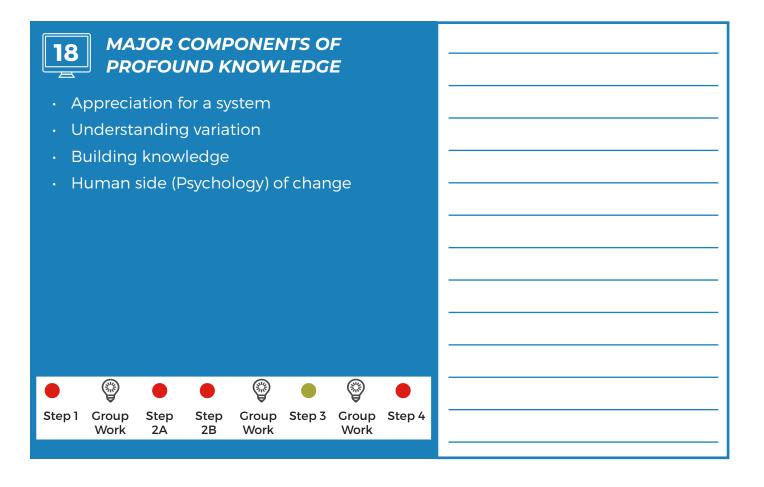




<ol> <li>Subject Matter Knowledge:         <ul> <li>This knowledge can be acquired either through formal or informal learning. Subject matter knowledge is vital for developing changes that result in improvement</li> </ul> </li> <li>Profound Knowledge: It is the interplay of the theories of systems, variation, knowledge, and psychology. This knowledge makes you view</li> </ol>							
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Step 1	Group Work	Step 2A	Step 2B	Group Work	Step 3	Group Work	Step 4



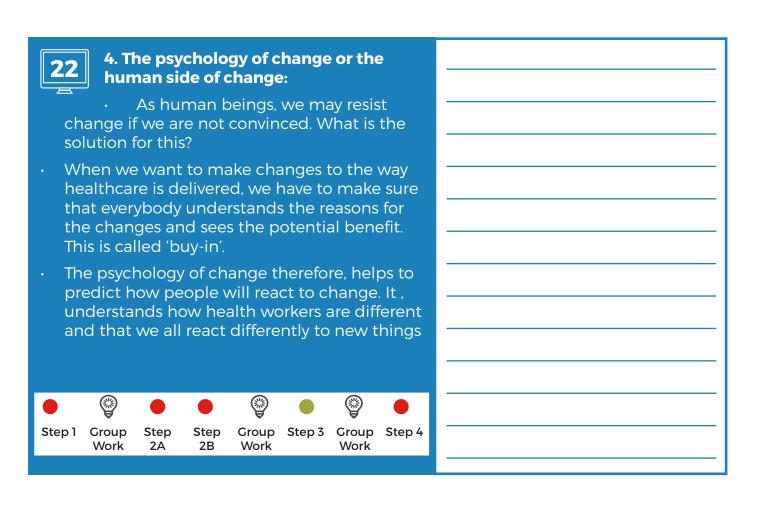


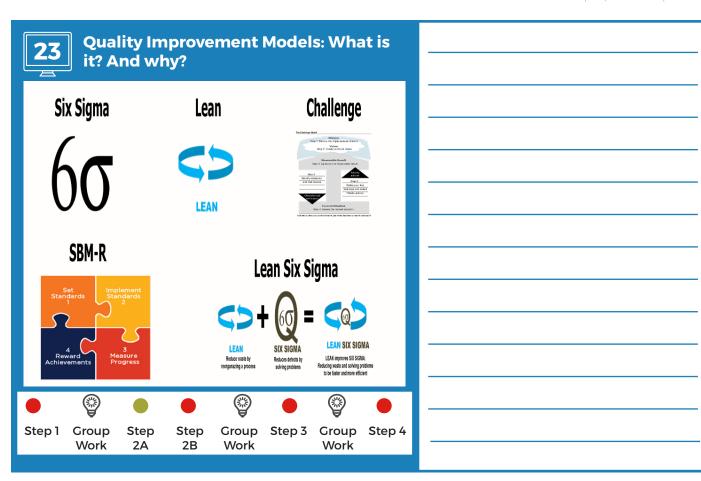


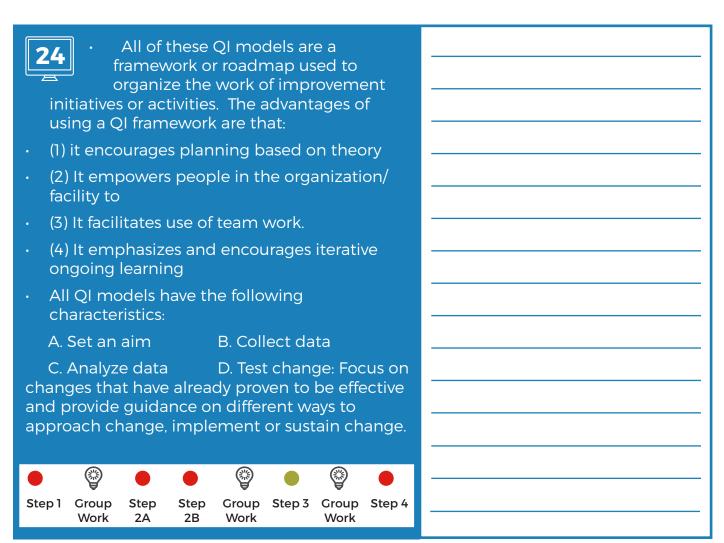
1. Appreciation for the system: This component of profound knowledge is vital to understand the properties of a system. It is the interaction of the various parts that makes a system to perform at a certain level. Interactions among interdependent groups of people, procedures and items/equipment working together towards achieving a common goal.	
Example	
A well-trained health worker who is motivated and diligent can still make a mistake with a prescription. Human error is always there. But if there is a good system in place (the pharmacist double-checking the dose, a knowledgeable and empowered patient) then that mistake will not lead to patient harm.	
Step 1 Group Step Step Group Step 3 Group Step 4 Work 2A 2B Work Work	

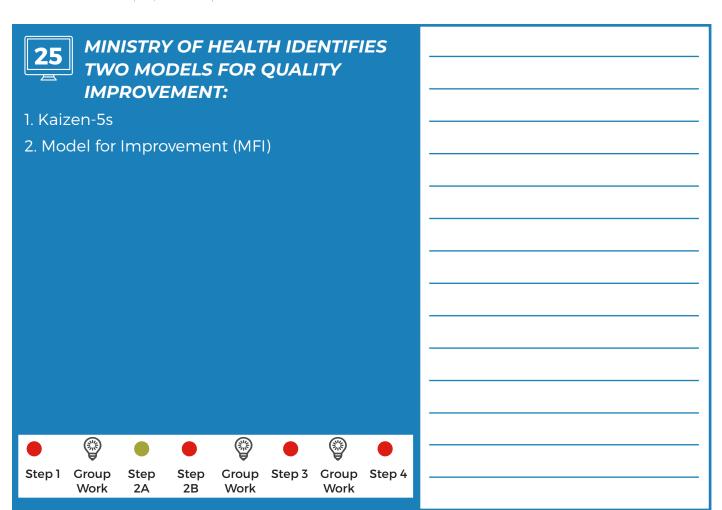
### 2. Understanding variation Quality of care varies across providers, across facilities, at different times of the day... The question is "why"? What causes that variation? We need to distinguish two types of variation to help us to identify focus areas for quality improvement Every system or process has variation (common or special cause of variation) embedded in it. Common cause variation is a variation within relatively small limits and inherent in the process or system.). Special cause variation- is a variation which is due to a special cause or event and not inherent in the process or system Step 1 Group Step Step Group Step 3 Group Step 4 Work 2B Work Work 2Δ

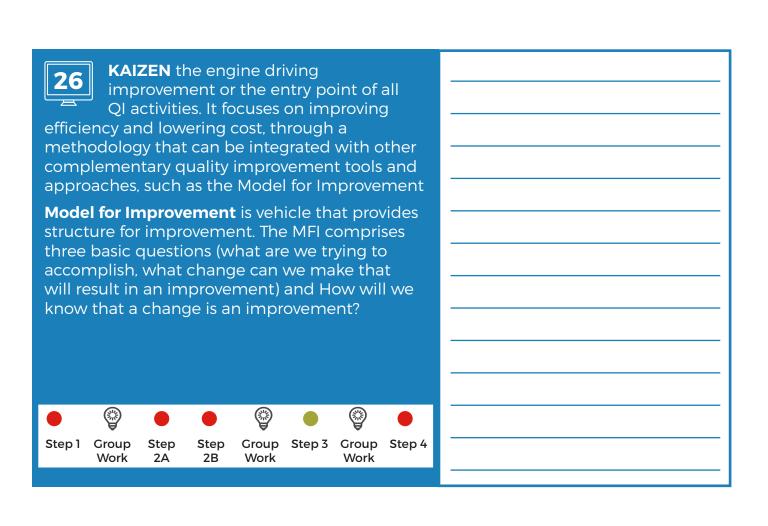
### 3. Building Knowledge: The theory of knowledge talks about the need to learn from the hypothesis or prediction we have about something, to test whether this prediction is right. Every change that you introduce is predicted to lead to improvement. The better you understand the system you are trying to change, the better the prediction and the greater the likelihood that the change will result in improvement. A framework that is often used to facilitate this learning process is the Model for Improvement. A cycle of testing, learning and acting referred to as the Plan-Do-Study-Act cycle is key in building knowledge for improvement. Step 1 Group Step Group Step 3 Group Step 4 Step Work 2A 2B Work Work

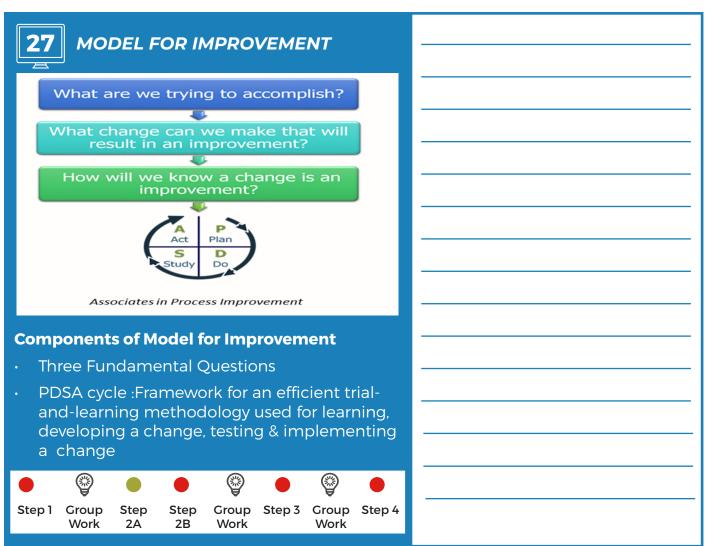


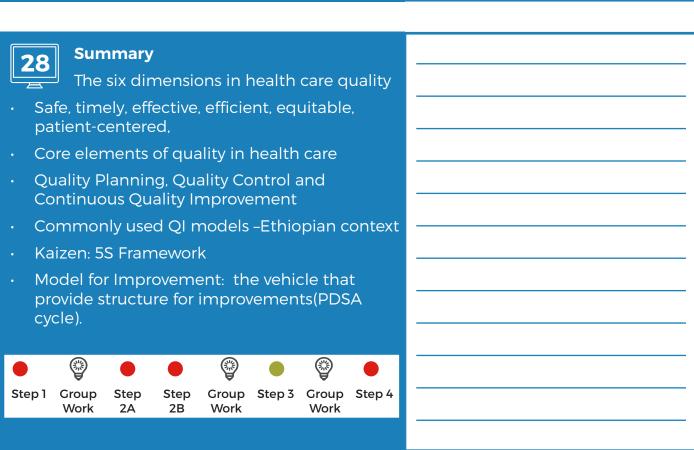






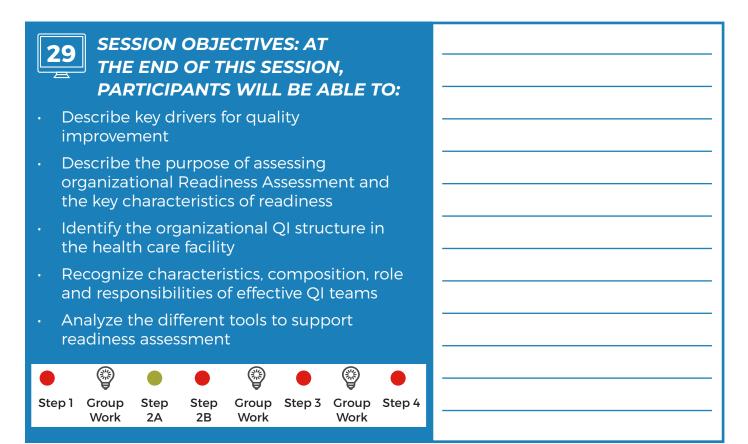


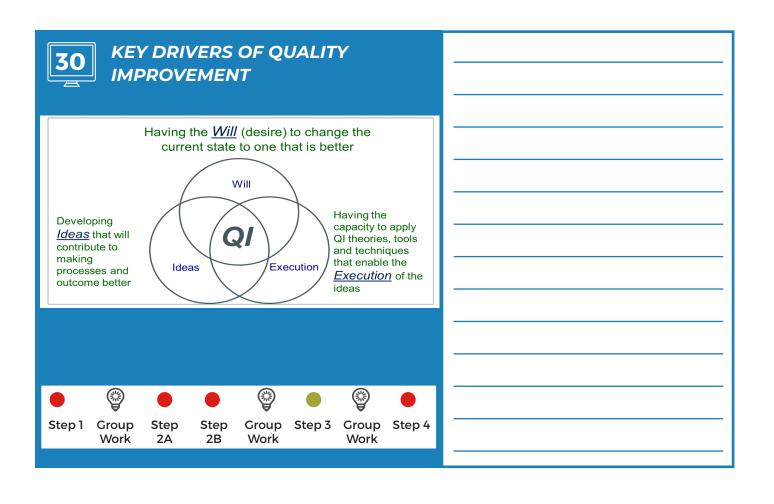






### **SESSION 1: INTRODUCTION TO HEALTH CARE QUALITY**





### READINESS ASSESSMENT

Measuring readiness is a systematic analysis of an organization's ability to undertake a transformational process or change.

- 1. Identify the potential challenges that might arise when implementing new procedures, structures, and processes within a current organizational context our health facility or within this woreda.
- 2. Find ways to overcome these gaps and barriers either before, or as part of, the implementation plan
- 3. Helping team members to bond, and start to work together a s a team

Step	1



















Group Work 2A

Work

Group Step 3 Group Step 4 Work

### **Purpose of Readiness Assessment**

- To determine if there are potential barriers to barriers to success and provide the QI team or organization the ability to overcome such barriers before beginning or spreading the QI project
- Gain a full understanding of the defined barriers
- Helping team members to bond, and affords them their first opportunity to work together as a team

















Step 1

Group Work

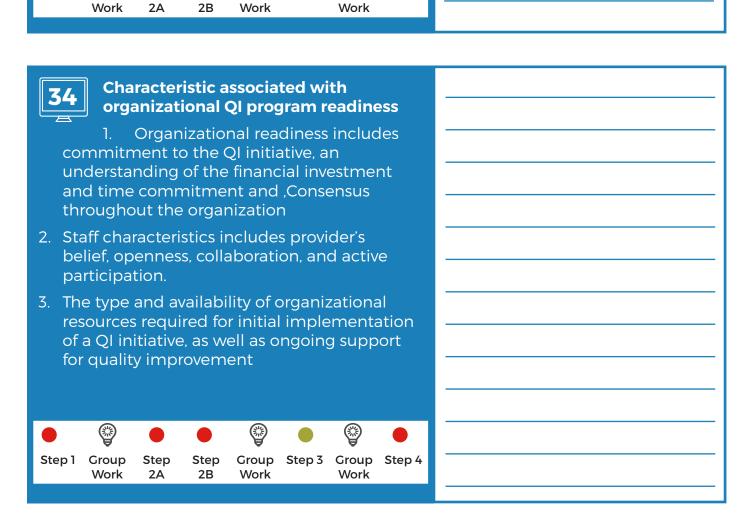
Step 2A

Step 2B

Work

Group Step 3 Group Step 4 Work

# LEVELS OF READINESS ASSESSMENT There are two levels of readiness assessment 1. Organizational QI Program Readiness: Which involves an assessment of the organization's 2. QI Project Readiness: This involves an assessment of the QI team's readiness for change motivation for improvement, its team infrastructure, and leadership support Step 1 Group Step Step Group Step 3 Group Step 4



### **Characteristics associated with QI** project readiness

- Leadership
- 2. The QI Team
  - The QI team is a group of people who work together to achieve a common purpose and are mutually accountable to each other
- 3. Readiness for Data Collection, Measurement and Management
- 4. No blame
  - Program outcomes are a product of processes not people

Step	



















Group

Work

2A

Step 2B

Work

Group Step 3 Group Step 4 Work



### **ASSESSING ORGANIZATIONAL CULTURE FOR CHANGE**

### **Organizational culture:**

- Is shared beliefs, perceptions, and expectations of individuals within an organization.
- Related to an organization's ability
- Affects several organizational dimensions
- Related to quality healthcare...

















Group Step 3 Group Step 4 Work





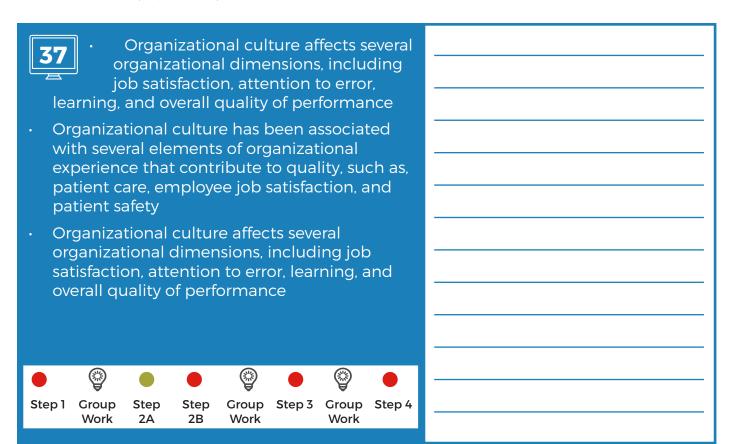


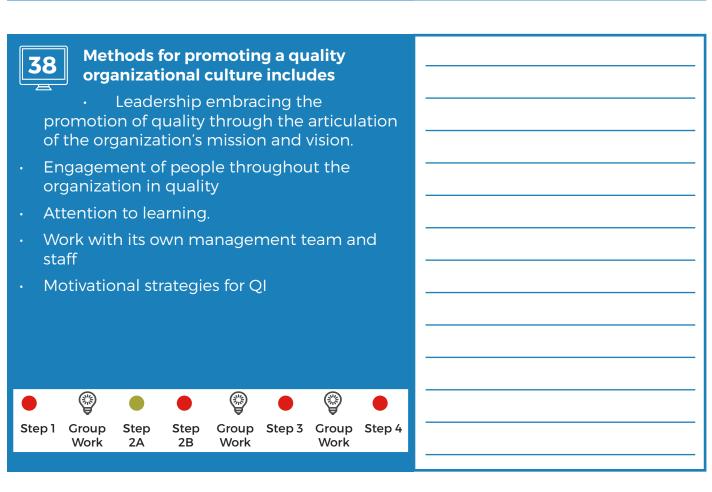


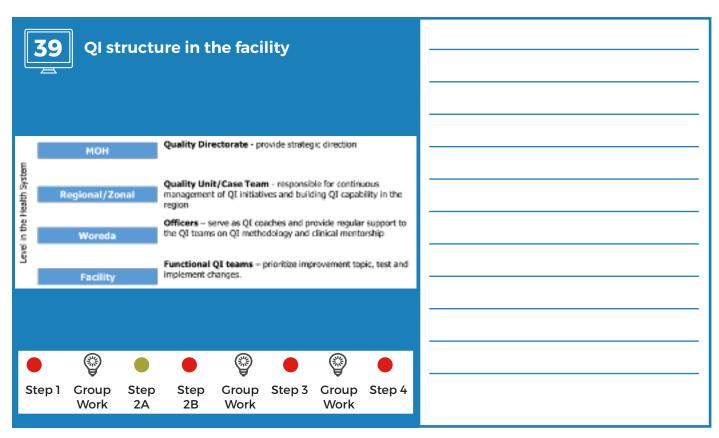


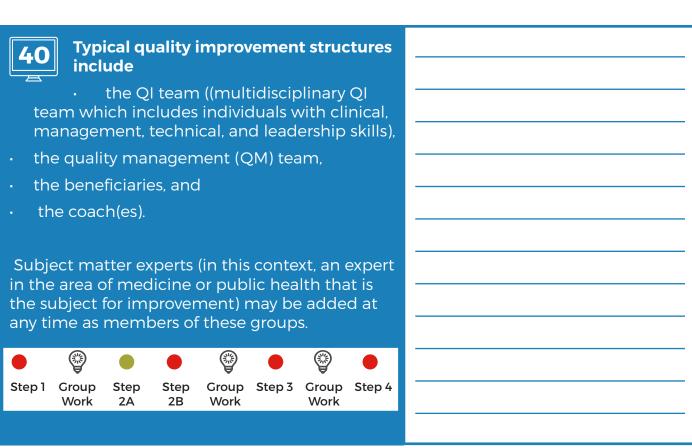


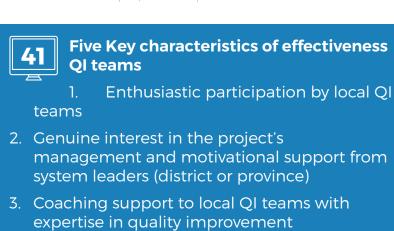












- 2. Genuine interest in the project's management and motivational support from
- 3. Coaching support to local QI teams with expertise in quality improvement
- 4. Expertise in the technical content of the improvement topic
- 5. Inclusion of the voices of empowered clients and other beneficiaries







### ROLES AND RESPONSIBILITIES IN THE IMPROVEMENT EFFORT

### QI Team

QI team (Multidisciplinary QI team ) which includes individuals with clinical, management, technical, and leadership skills

### **Team Leader**

- Keep the team focused on the aim and charter.
- Plan and organize team meetings.
- Identify needs for and request additional support.
- Assign responsibilities to team members.
- Liaise with the management team.
- Represent the team during the learning sessions



















Step 1 Group

Work

Step

Step 2B

Work

Group Step 3

Group Step 4 Work

### **QUALITY IMPROVEMENT TEAM**

### **Team Members**

- Identify the leader of the QI team.
- Agree on the improvement topic and aim.
- Collect baseline information.
- Learn about the improvement model and its tools.
- Generate ideas for change.
- Develop an implementation plan for the changes.
- Implement changes and monitor their effects.
- Provide ideas for modifying the change package during learning

















Group Step 3 Group Step 4 Work



### Role and responsibilities of Experts/ Coaches

- Guide management and QI teams
- Teach and coach teams
- Assist the team challenges-will, ideas excutions
- · Reiew PDSA
- · Support QI teams to deelop QI project Assess the project's progress and the team's performance
- Support collective learning
- · Document and evaluate the project,

















Step 1 Group Work

Step 2A

Work

Group Step 3 Group Step 4 Work



### **Subject Knowledge Experts (often part** of the management team)

- Participate in developing an improvement charter.
- Teach and share evidence-based information at learning sessions.
- Mentor the teams' implementation of technical changes.
- Help develop standards of care





Work

















Work

Step 1

Group

Step 2A



Work





# **SUMMARY**

- Measuring a readiness is an important first step when embarking on a quality improvement journey
- There are two levels of readiness assessment— Organizational QI Program Readiness and QI **Project Readiness**
- · Key Characteristics of organizational Readiness (staff, resources, leadership, QI teams, Data Collection, Measurement and Management..)
- QI structures include
  - » QI team
  - Quality management (QM) team
  - the beneficiaries,
  - the coach(es).

The level of management support can make or break the QI team and its successes, it is therefore



















Group Work

Step 2A

Step

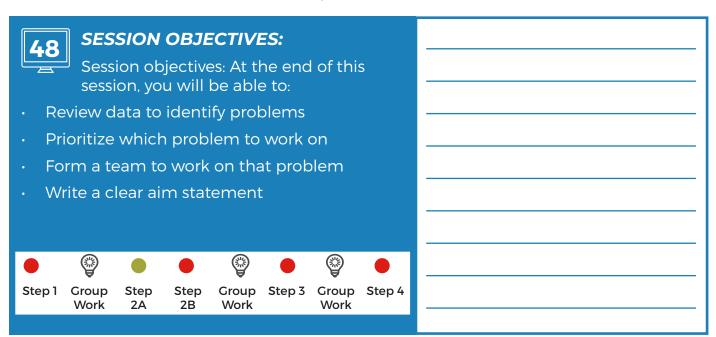
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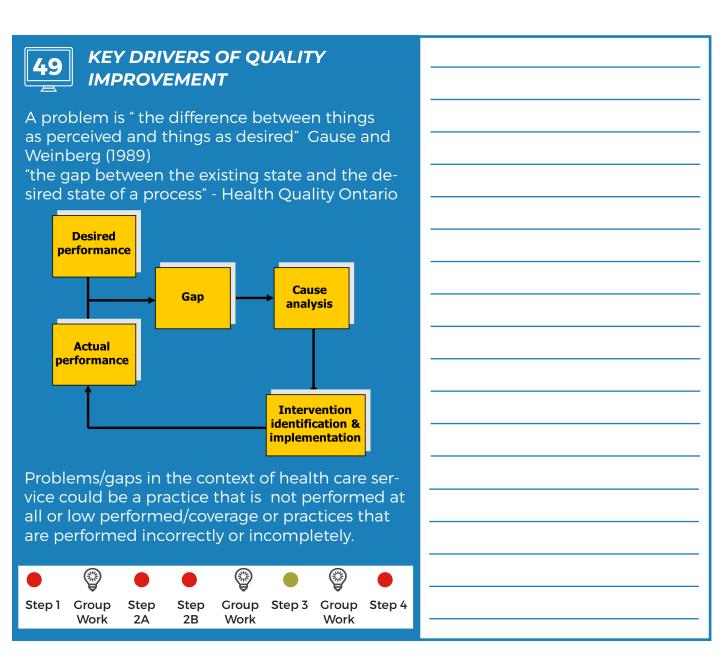
Group Step 3

Group Step 4 Work



# STEP 1: PROBLEM IDENTIFICATION, PRIORITIZATION AND AIM SETTING





## **Identify quality gaps /problem**

Problems that we can be identified in the health care can be grouped in three main categories

- 1. Effectiveness issues
- 2. Efficiency issues:
- 3. Responsiveness issues
- Always consider problem that are simple, easy to change, not needed to much resources, data is available, value patient outcome/staff safty and under team control.

Step 1	Group Work	Step 2A	Step 2B	Group Work	Step 3	Group Work	Step 4

- 1. Effectiveness issues: in these situations the system does not deliver according to the expected performance. Example, incomplete medical records.
- 2. Efficiency issues: In this situation the system uses too many resources to deliver the service but the serice doesnot delier to the expected. Example: long waiting times, unnecessary referral
- 3. Responsiveness issues: the system does not address the needs of its clients to their satisfaction, for instance when we discriminate or stigmatize certain groups of patients



# Tools/Data sources that can use to identify problems relating to the quality of care

- HMIS: can be used to detect major gaps in performance leading to low coverage (e.g. underdetection of pre-eclampsia), high morbidities (high levels of obstetric complications) or mortalities (high levels of stillbirths). HMIS serve as an excellent starting point to determine performance gaps of the facility
- · DHIS2
- Facility registers: capture a summarized version of information for the clients. It usually contains detailed information about individual clients. For example, we can see from antenatal care registers what % of women have their blood pressure recorded for each visit.
- Patient charts may provide more detailed information about each step of the care that we have provided to a client. E.g. whether the treatment followed guidelines (PHCG). Or sometimes patient records can tell us problems with adequate recording of important information e.g., medication dose.



# **53**

# TOOLS/DATA SOURCES THAT CAN USE TO IDENTIFY PROBLEMS

- Observation of clinical skills
  - » Helps to Identify weaknesses in clinical skills or patient-centered care through observation is a very useful way to identify areas for improvement
- Walk-through
  - During which a health professional experiences the health service as a patient
  - » It helps providers better understand the experience of care from the patients' perspective and since QI is predominantly focusing on the patient, a very useful method to identify areas for improvement.

Satisfaction surey /clinet interview /community forum





# PROBLEM PRIORITIZATION TOOLS

### 1. Pareto Chart

- Pareto Chart is one of the prioritization tools based on the Pareto Principle (i.e. The 80/20 rule).
- Approximately 80% of the problem is the result of only 20% of the causes of the problem. Therefore, it is possible to address 80% of your problem by only solving 20% of the contributors to the problem.
- Pareto Chart is a graphical tool and consists
  - » Bar/Column chart representing categories/frequencies displayed in order of size
  - » Line graph representing the cumulative percentage
  - » Two vertical (y-) axis





















Step 1 Group

Work

Step

Step 2B

Work

Group Step 3

Group Step 4 Work

# **Pareto Chart:**

- Help to organize the data we have for a problem per category/group in descending order.
- In addition, the cumulative percentage indicates the vital few (those categories/ groups that make up close to 80% of the problem) and the useful many (all other contributors which are important but only account for approximately 20% of the problem
- See Annex 1: Steps in constructing Pareto Chart











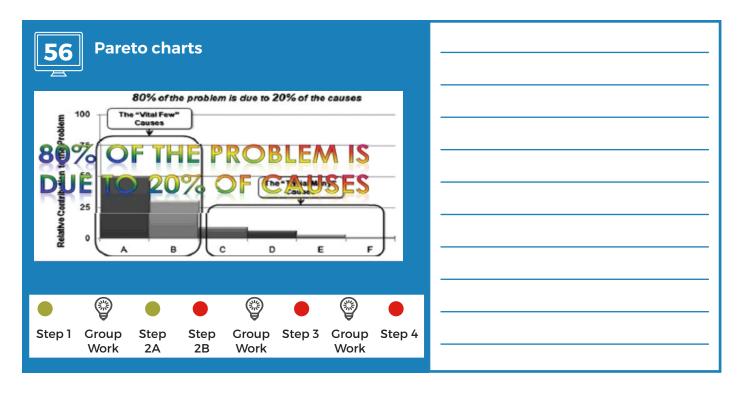


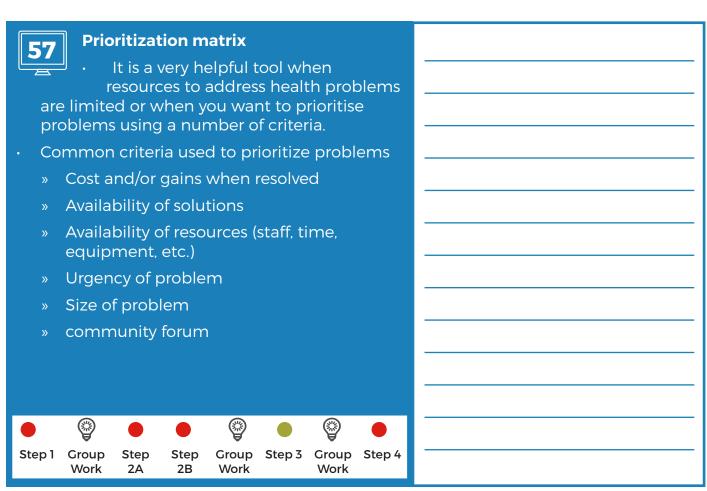






Group Step 3 Group Step 4 Work





# **EXAMPLE: PRIORITIZATION MATRIX**

Possible aim	Importa nt to patient outcom es (1-5)	Afforda ble in terms of time and resourc es (1-5)	Easy to measure (1-5)	Under control of team members (1-5)	Total score (4-20)
Uterotonic given within 1 min	4	5	5	5	19
PPH management	5	3	3	4	15
Immediate drying	4	4	5	5	18
Delayed cord clampin g	3	3	3	5	14
Decrease in low temperatur e at 1 hr <36.5	5	5	5	4	19
degree C Decrease in low birth weight					
<2500 grams	5	2	5	1	13











2B



Work



Group Step 3







Group Step 4 Work

# Select your team

Having a diverse team is good, you should have a wide range of people - staff such as cleaners and guards can also contribute depending on the identified problem

- It is also good to assign different roles:
  - » Leader lead meetings, direct activities to achieve goals, represent the team
  - Recorder Record meeting notes
  - Communicator: communicates and liaison among members



Step 1



Work



Step

2A





Step

2B



Work

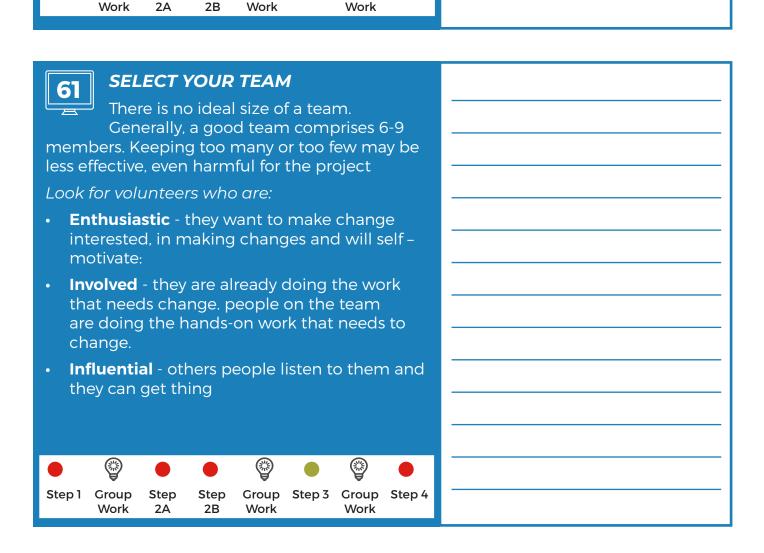






Group Step 3 Group Step 4 Work

# Why is teamwork important for improvement? Healthcare is provided by range of people in the hospital Given the opportunity, staff can identify problems and generate ideas to resolve them Participation improves ideas, increases buy-in, and reduces resistance to change Accomplishing things together increases the confidence of each member Step 1 Group Step Step Group Step 3 Group Step 4



# PROBLEM STATEMENT

A clear and concise statement that describes the symptoms of a problem and helps to clarify and communicate the area identified for improvement. The following questions can help to formulate the problem:

- What is the problem (not the cause or the solution)? What is not functioning as we desire? What are the boundaries of the problem? (Size)
- How do we know it is a problem? What information do we have to support or confirm the existence of the problem or deficiency?
- How long has this been a problem? How frequently does it occur? (Time)
- What are the effects of this problem on quality and on the population, we serve? (Impact)
- Where does the problem exist
- How will we know the problem is resolved? What does the "desired" state look like? What data will we need to answer these questions?
- Two vertical (y-) axis



















Group Work

Step 2A

Step 2B

Group Step 3 Work

Group Step 4 Work

# **Example Problem Statement**

"Waiting times (elapsed time from when the patient arrives at the health center to when the patient is seen by the midwife) for pregnant women has shown over the past three months to take on average three hours. This has been states as a reason that women do not make the desired four antenatal visits before delivery."

















Group Step 3 Group Step 4 Work

Step 1 Group

Work

Step 2A

Step

Step 1 Group

Work

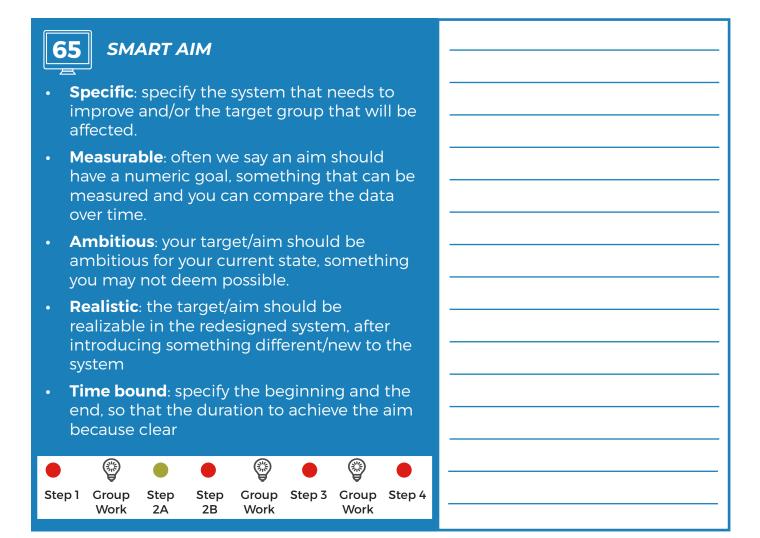
Step

Step

Group Step 3

# AIM STATEMENT It is the first principle for improvement, knowing why you need to improve and answers the question "What are you trying to achieve?" Characteristics of a good aim statement States a clear, specific aim Linked to specific patient population Should include a goal: Neither too difficult nor too long to achieve Includes a solution Do not include possible, yet unproven solutions

Group Step 4



# 66

# READINESS ASSESSMENT

Measuring readiness is a systematic analysis of an organization's ability to undertake a transformational process or change.

- 1. Identify the potential challenges that might arise when implementing new procedures, structures, and processes within a current organizational context our health facility or within this woreda.
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- 3. Helping team members to bond, and start to work together as a team



# Group Step 3 Group Step 4 Work Work



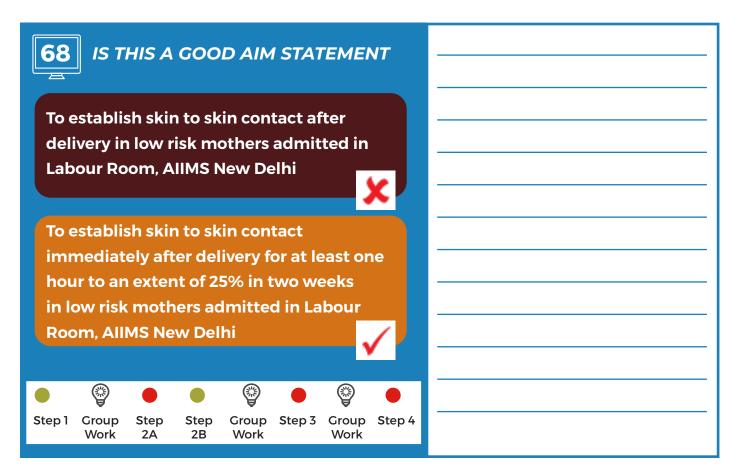
## **AIM STATEMENT EXAMPLE 2**

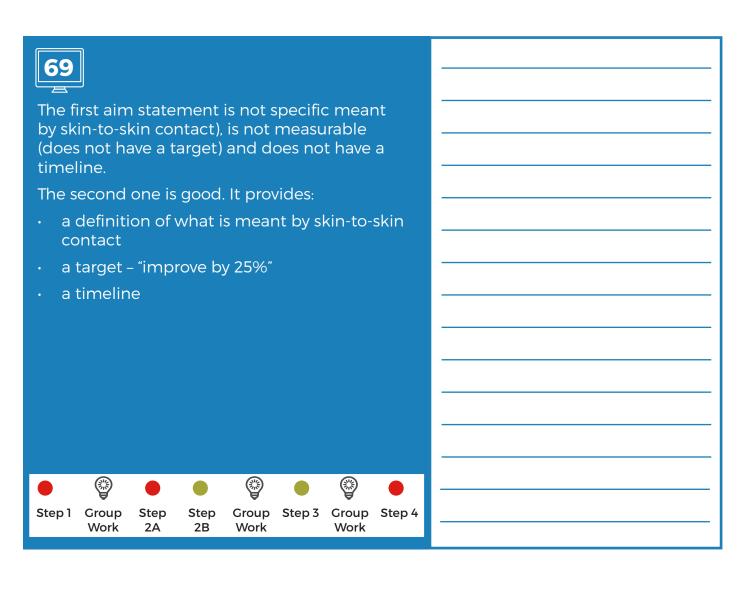
Problem: Babies are cold at one hour following birth

We will reduce the percentage of newborns with low temperature ( <36.5 C ) from 50% to <10% within 6 weeks

- · Who (which patients) Newborn
- · What (the outcome)-Hypothermia
- How much (the amount of desired improvement)-from baseline rate of 53 % to <10%</li>
- By when (time over which change will occur)within 6 weeks







# 70

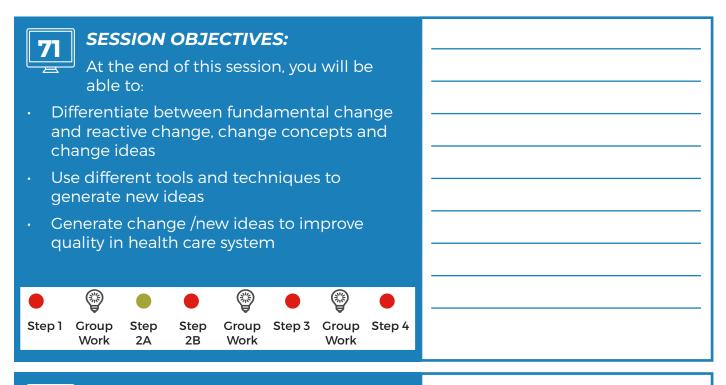
# SESSION SUMMARY

- Problem identification is the first step in QI
- Prioritization tools can help focus which problem to start with
- A good problem statement answers a series questions including the size, impact, location and frequency of the problem
- · A aim statement should be SMART

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Step 1	Group Work	Step 2A	Group Work	Step 3	Group Work	Step 4



### STEP 2: ANALYZING THE PROBLEM AND GENERATING CHANGE IDEAS

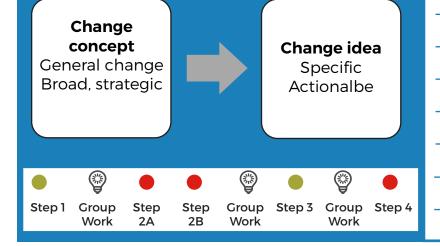


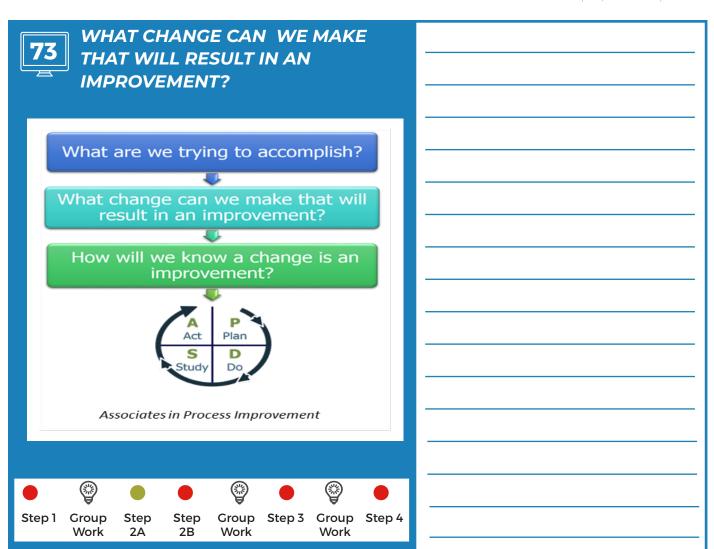


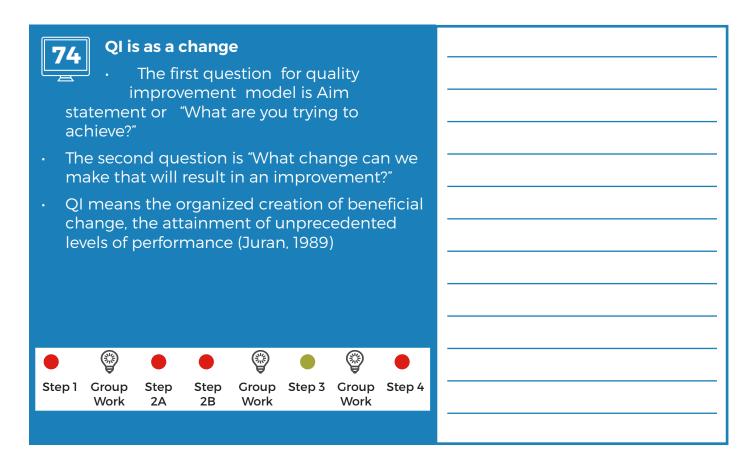
# CHANGE CONCEPT AND CHANGE IDEA

 A change concept is a general description on what to change. The concept can be used to generate change ideas which are specific description of interventions.

We can also turn a change idea into a change concept to generate new ideas. This is often done with benchmarking.







# 75 TWO TYPES OF CHANGE

- Reactive change responds to a sudden problem that has occurred and the change tries to reset the system back to its old performance. These kind of changes have a short term impact and only impact certain parts of the system.

  E.g. if a health officer accidentally writes phenobarbitone 120mg twice daily instead of once daily. The reactive change could be keep the system running, solve problem or react
- Fundamental change which focuses on system redesign, to bring the performance to unprecedented levels. These kinds of changes often have long term impact and effect multiple parts of the system. E.g. we could require that the pharmacist should always double-check unusual prescriptions before dispensing

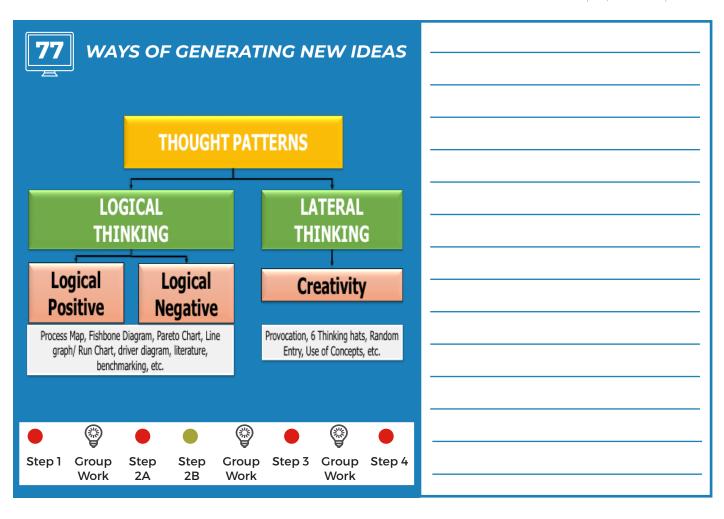
Step 1 Group Step Step Group Step 3 Group Step 4 Work 2A 2B Work Work

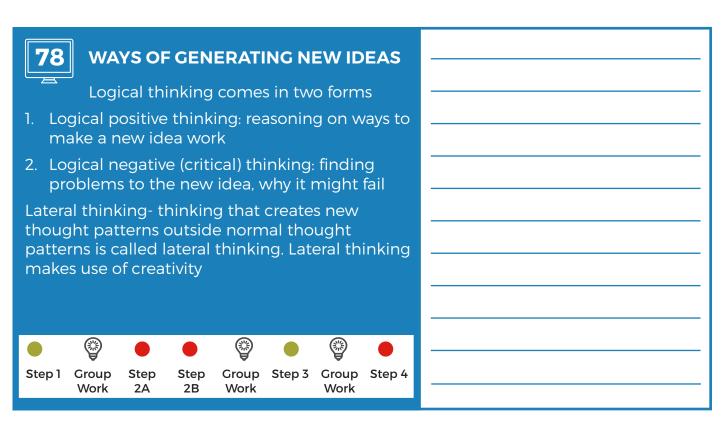
# **76**

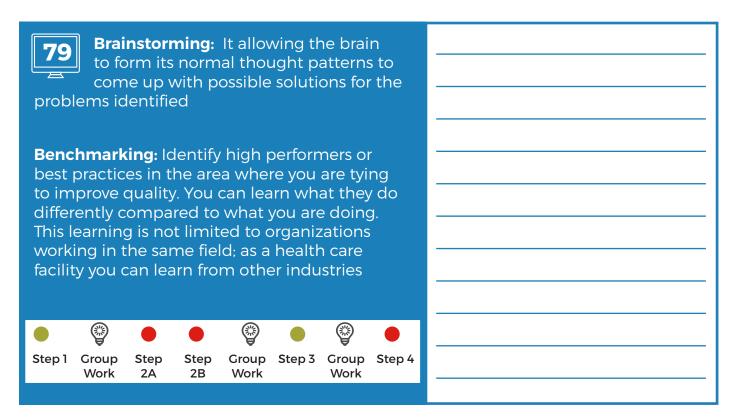
# Common mistake when introducing change

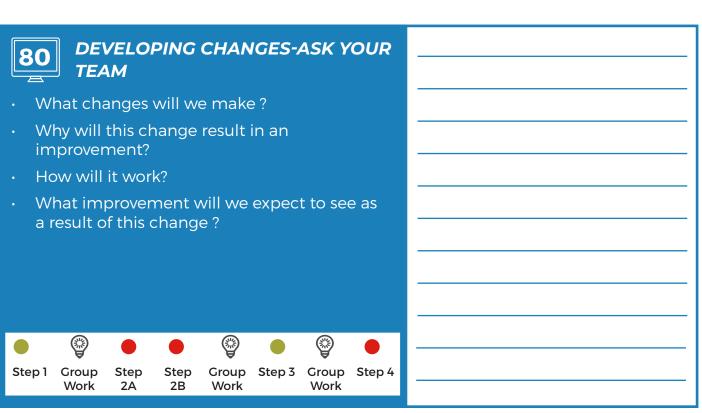
- Doing more of the same, as said this will not really bring improvement. However, often the first solutions suggested are to add more staff, more equipment etc. This might be a reactive change but we need fundamental change is QI.
- **Utopia syndrome**, which delays testing of any change, because the team is trying to find the perfect change. For example, unless we have an ultrasound scanner we can't improve the quality of antenatal care.



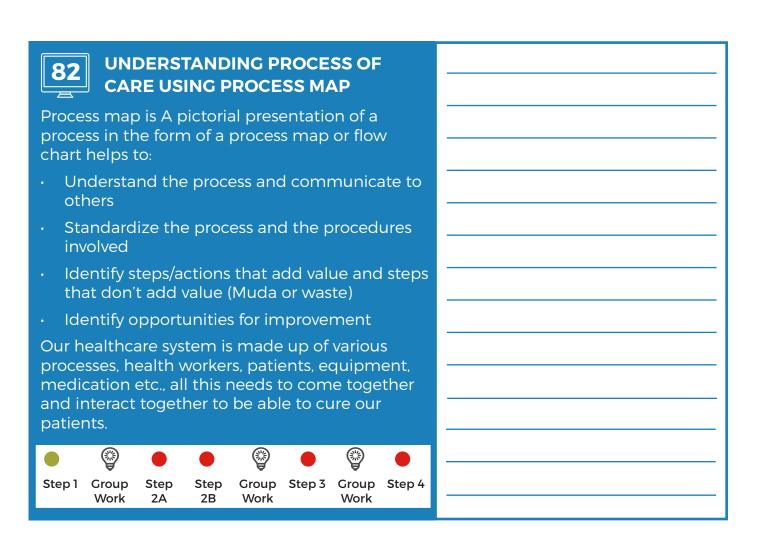


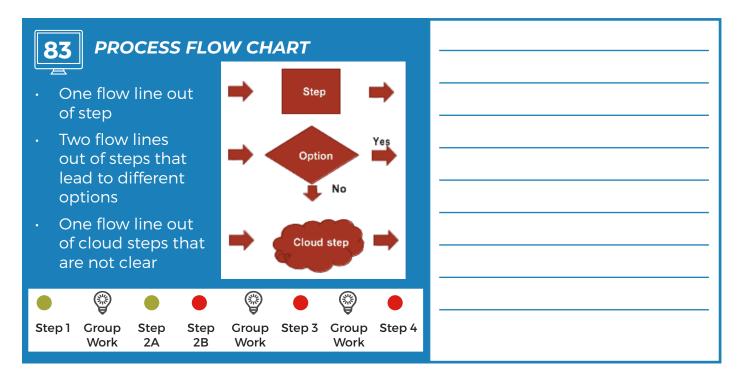


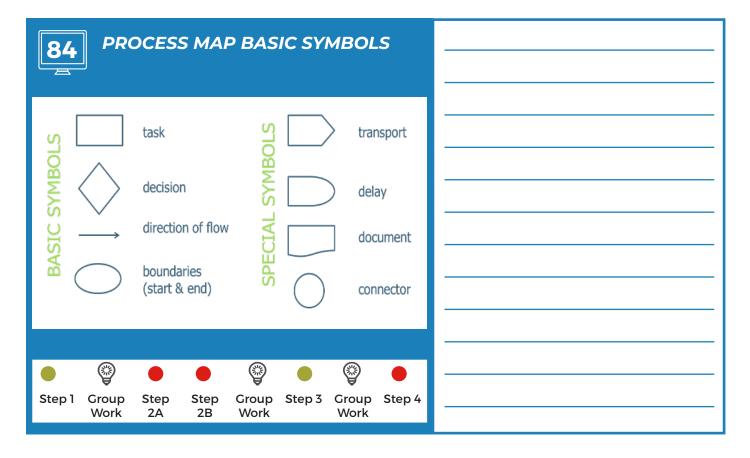




### When you are developing and reviewing the possible changes, it is good for the team to discuss: Based on what we learned from our analysis, what changes should we make? Why and how will this change solve the problem we identified in our analysis? What result do we expect to see in the process and outcome measures (indicators)? Group Step 4 Step 1 Group Step Step Group Step 3 Work 2A 2B Work Work





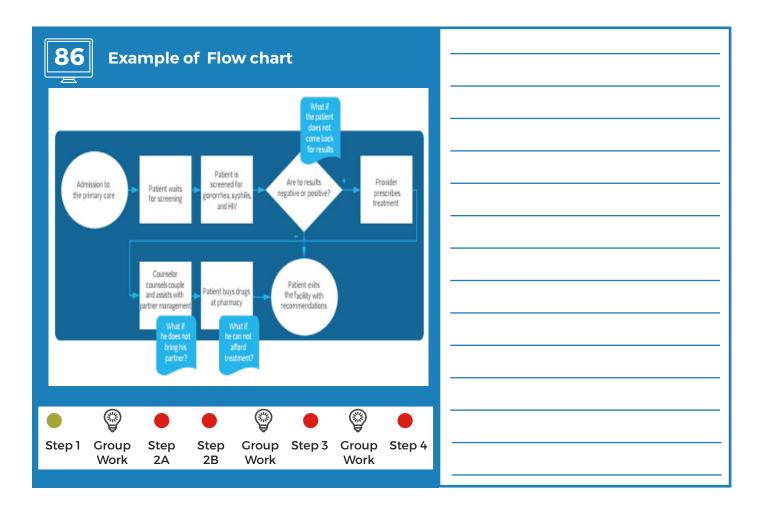


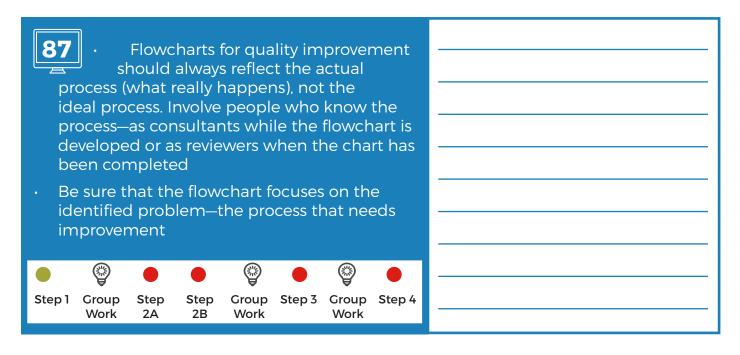


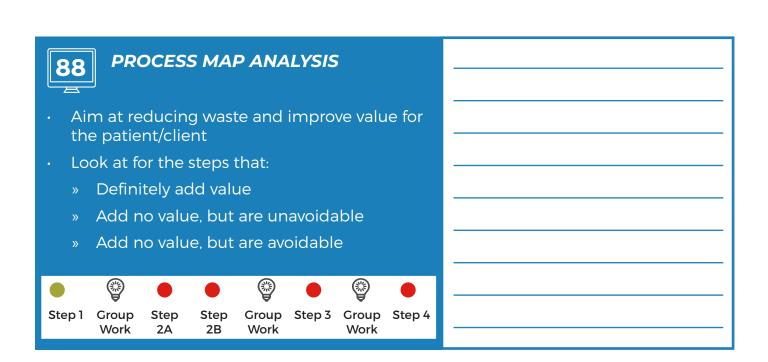
Different shapes are used to visualize the steps of a process (process mapping) in a flow chart

- · Start and finish (oval)
- Routine actions that always happen (rectangles)
- Option points (diamonds) these are steps that lead to different options:- either someone makes a decision about what or the care in that steps does not always happen next (e.g. A triage step) step does not always happen (e.g. Only 50% of women get oxytocin in the first minute after delivery)
- Unclear steps (clouds) these are used when you are not sure what happens











# During process map analysis: Look out for the following

- Are steps repeated or out of sequence?
- Are there steps that do not add value to the output/patient?
- Is the process standardized?
- Are there steps where errors occur frequently?
- Are there steps that can run in parallel?
- Are there bottlenecks (areas with a lot of delay)?

Example of an inefficient process: Woman attends antenatal care

Gets card- Sits in waiting room-Nurse takes BP-Sits in waiting room-Has ANC check-up-Sent for-investigation-Pays for investigation-Waits for investigation-Has Investigation-Waits to see ANC staff again to review investigation...?











2B





Work











# **Root Cause Analysis for generating** change ideas

In health care, it's easy to understand the difference between treating the symptoms and curing the condition. A broken wrist, for example, really hurts! But painkillers will only take away the symptoms; you'll need a different treatment to help your bones heal properly "Mindtool

- Help to Identify the underlying reasons (causes) for the problem
- Is a good method to apply to avoid treating "symptoms" and target treating the "parasites"

Two commonly used methods

- 5 WHYs
- Fishbone (Ishikawa) Diagram or Cause and Effect Diagram

2B





Work



Step

2Δ





Work







Group Step 3 Group Step 4 Work



## "FIVE WHYS"

- "Five whys" is a tool for identifying the rootcause
- Doing five whys involves asking 'why' a problem exists and then continuing to ask 'why' after each answer until you identify a possible way of fixing the problem Illustrate with the example

Mothers are not breastfeeding -Why?

They feel uncomfortable taking their gown off - Why?

Step 1 Group















Work 2A 2B

Work

Work



### **EXAMPLE OF 5 WHYS**

- Why don't women exclusively breast feed for 6 months?
  - » Because they think when the baby cries after a feed it is still hungry
- Why do they think when the baby cries after a feed
- it is still hungry?
  - » Because that's what their mother-in-law is telling them
- Why is their mother-in-law telling them this?
  - » Because when she had her babies this is what everyone believed
- Why is this what everyone believed?
  - Because babies died of malnutrition in their villages so people feared that inadequate breastmilk supply was



















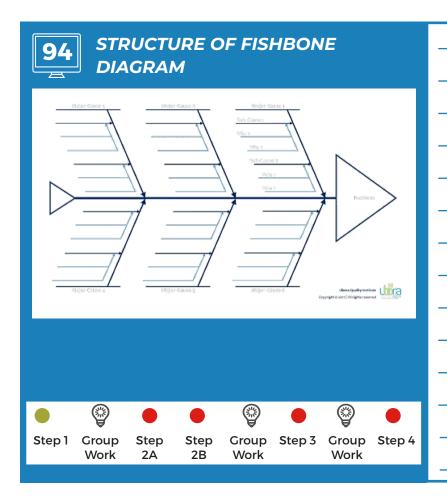
Group Step 3 Group Step 4 Work

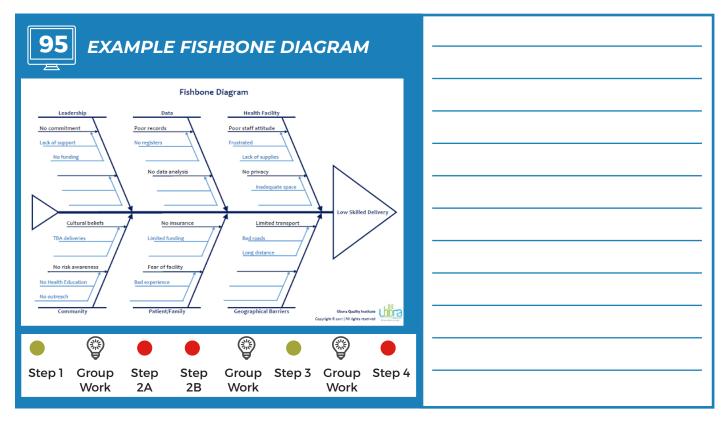
# 93

# FISHBONE DIAGRAM

- Fishbone or cause and effect diagram is a pictorial tool that can be used to Identify all know causes contributing to the problem, both internal and external.
- The fishbone diagram will help a team to brainstorm about possible causes of a problem, accumulate existing knowledge about the causal system surrounding that problem, and group causes into general categories

						<b></b>	•
Step 1	Group Work	Step 2A	Step 2B	Group Work	Step 3	Group Work	Step 4





# STEPS TO CONSTRUCT A FISHBONE **DIAGRAM:** 1. Define problem (negative form) 2. Draw a line horizontally along the page. This line will be the spine of the fish. Draw the head of the fish and write the problem inside. 4. Brainstorm and identify the main categories (causes) contributing to the problem (ensure to include internal and external causes) 5. Draw the "bones" - label each bone with a main cause using a noun 6. Brainstorm on sub-causes and why for each of cause - write them alongside the bones 7. Review the diagram 8. Give an appropriate title 9. Identify areas where immediate changes can be tested Group Step 3 Group Step 4 Step 1 Group Step Step Work 2A 2B Work Work

# SESSION SUMMARY

# Methods /tool for Generating changes ideas

Methods/tools	Application	Generate change ideas
Benchmarking	Learn from high performance	Use change concept and generate ideas
Process Map	Pictorial pres- entation of a process	Identify areas that do not add value and gen- erate ideas to increase value
Prioritization tools (Pareto Chart, focus matrix)	Prioritize areas with greatest impact	Ideas that im- pact the major contributors
Root Cause Analysis (Fish- bone and 5 WHYs)	Contextual knowledge about a prob- lem and its causes	Potential solu- tions to the root cause(s) of the identified prob- lem

Step 1 Group Step Step Group Step 3 Group Step Work 2A 2B Work Work						
	Ste	o 1			Step 3	Step 4



### STEP 3: MEASUREMENT



### **SESSION OBJECTIVES:**

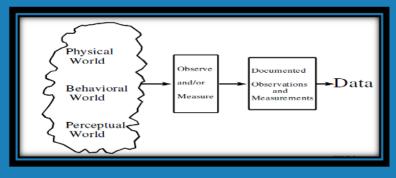
At the end of this session, you will be able to:

- Explain the importance of data/ measurement
- Describe an indicator and its key attributes of a robust indicator
- Design quality improvement indicators
- Construct a run chart and Interpret using a run chart rules



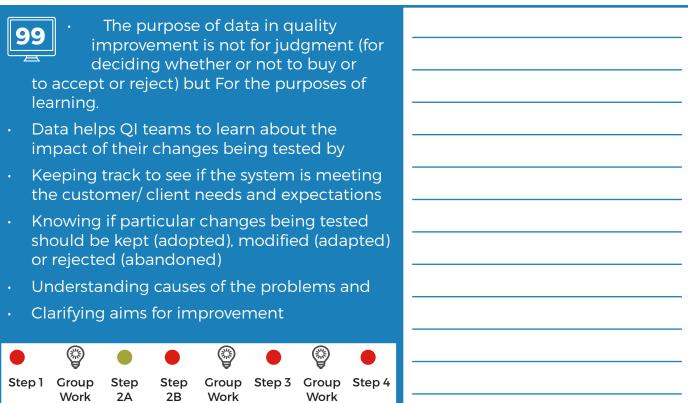
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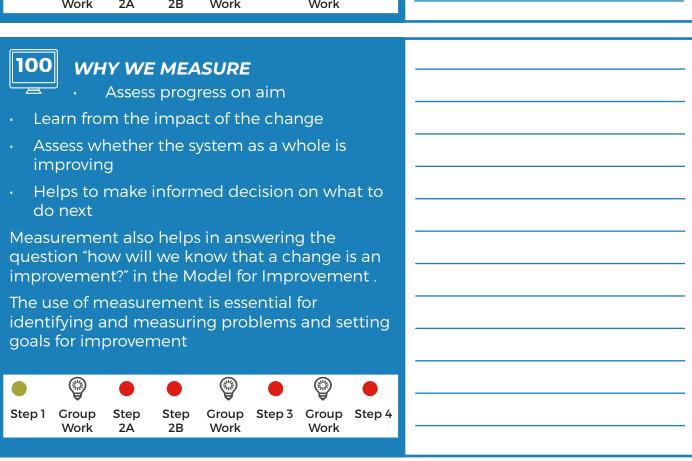
# DATA IN QI

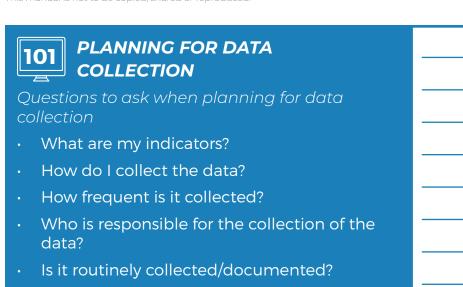


Data is defined as documented observations or the results of performing a measurement process. In quality improvement work, data plays an important role. Data is only a helpmate to improvement but improvement cannot act without it.









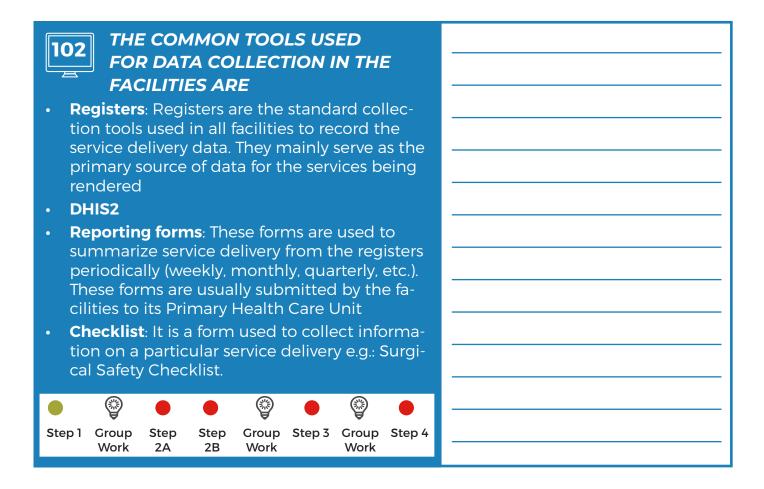
How do I want to present the data?

What is the data source?

the data?

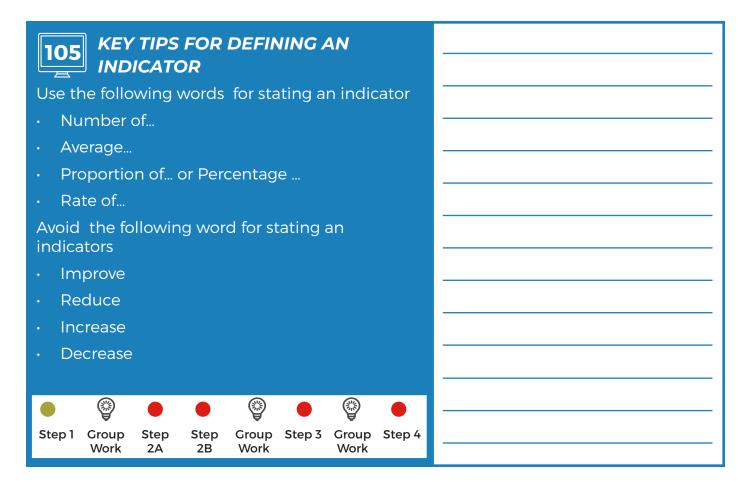


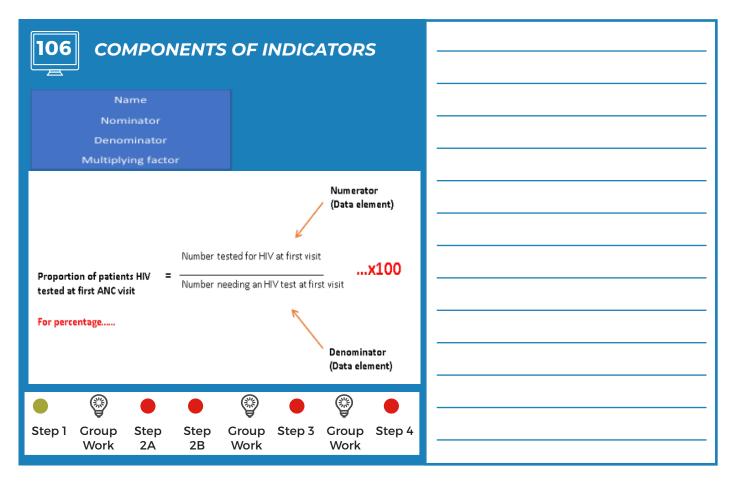
Do I have to develop any tool(s) to collect

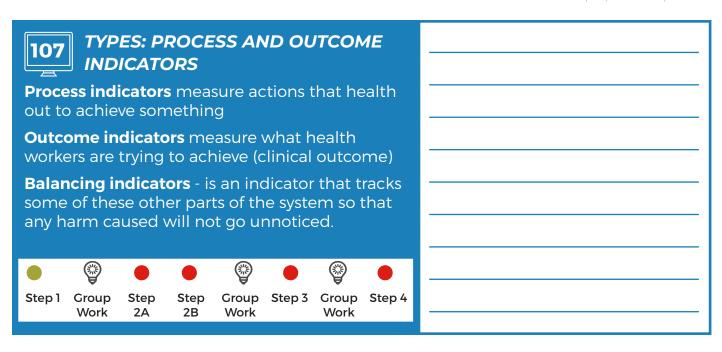


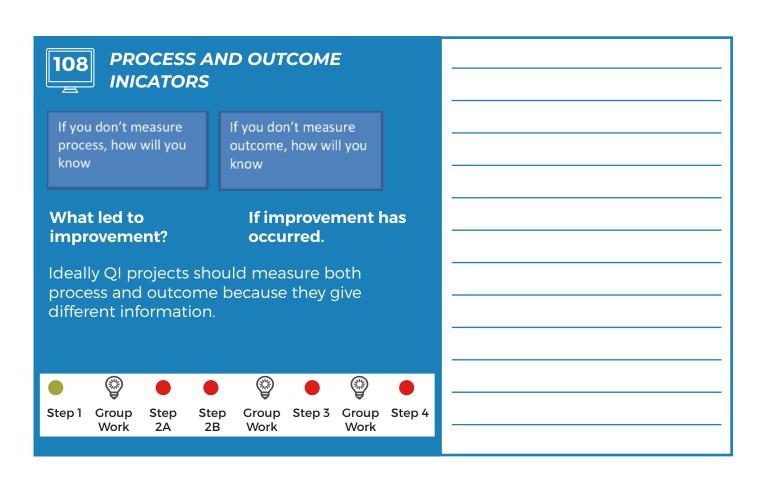
• Observational guide: It is a tool that contains information to record observations on processes and procedures in service deliver	
• Interview guide: It is a set of broad open- ended questions to be used by the interviewer as a guide for the interview.	
Chart audit: A chart audit is an examination of medical records to determine what has been done, and sees if it can be done better.	
Step 1 Group Step Step Group Step 3 Group Step 4 Work 2A 2B Work Work	

# INDICATORS IN HEALTHCARE An indicator is defined by OECD/DAC as "A quantitative or qualitative factor or variable that provides a simple and reliable means to measure achievement, to reflect changes connected to an intervention, or to help assess the performance of a development actor". (OECD/ DAC Glossary of Key Terms in Evaluation and Results Based Management, May 2002) According to the definition adopted by USAID, an indicator is: "A quantitative or qualitative variable that provides reliable means to measure a particular phenomenon or attribute". (USAID Glossary of Evaluation Terms, March 2009) Step 1 Group Step Group Step 3 Group Step 4 Step Work Work 2Δ 2R Work

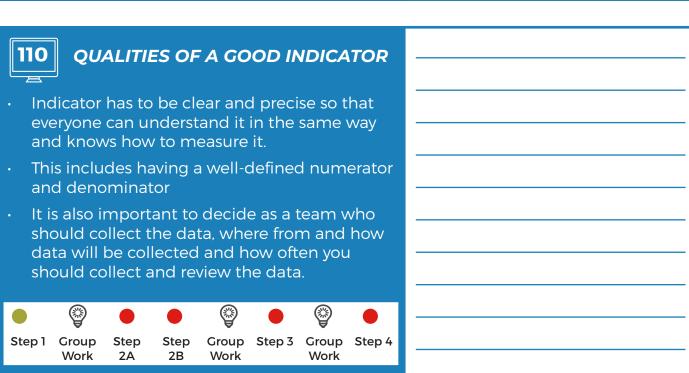


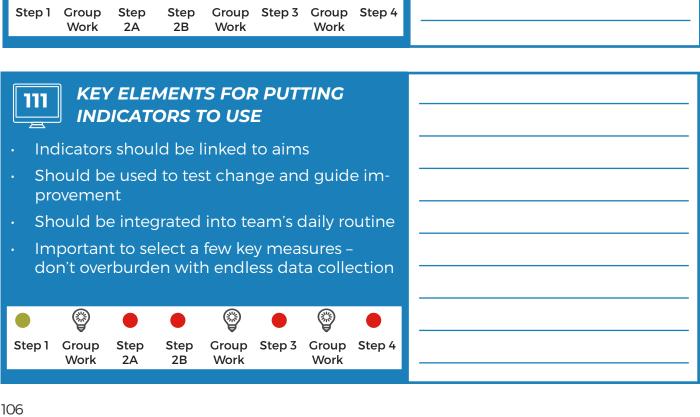


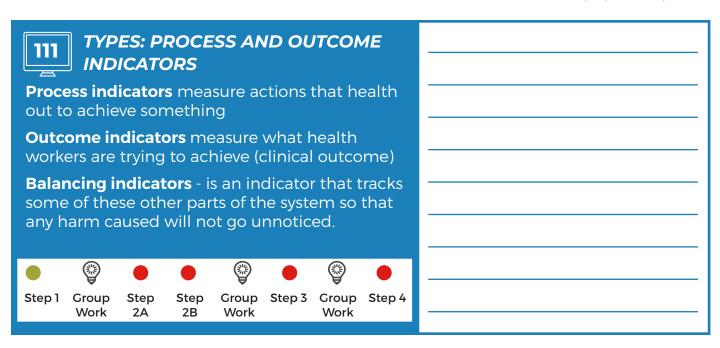


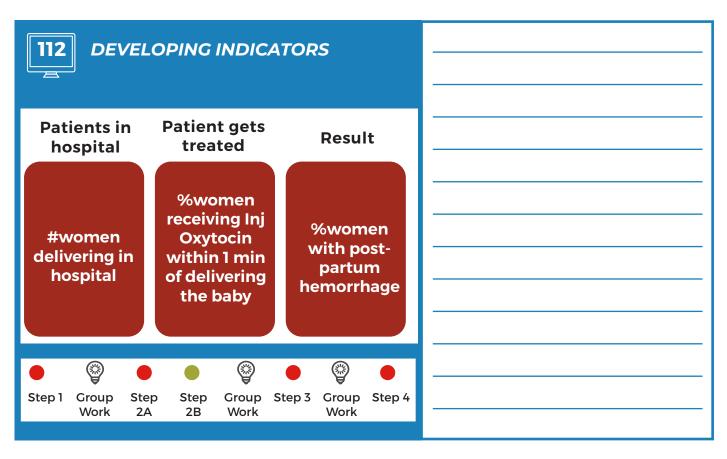


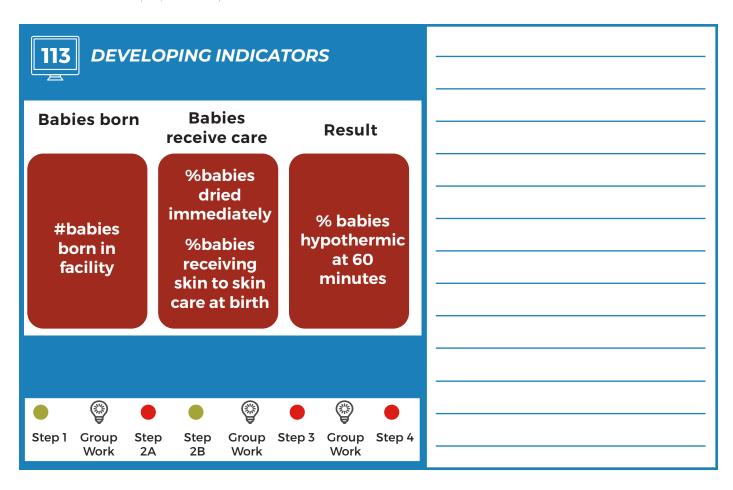
### WHY DO WE NEED INDICATORS? 109 To measure the specific processes and outcomes The quantitative data can be used by teams and organizations for assessment and analysis of trend over time They allow us to make comparisons with other health care facilities Group Step 3 Group Step 4 Step 1 Group Step Step Work 2A 2B Work Work

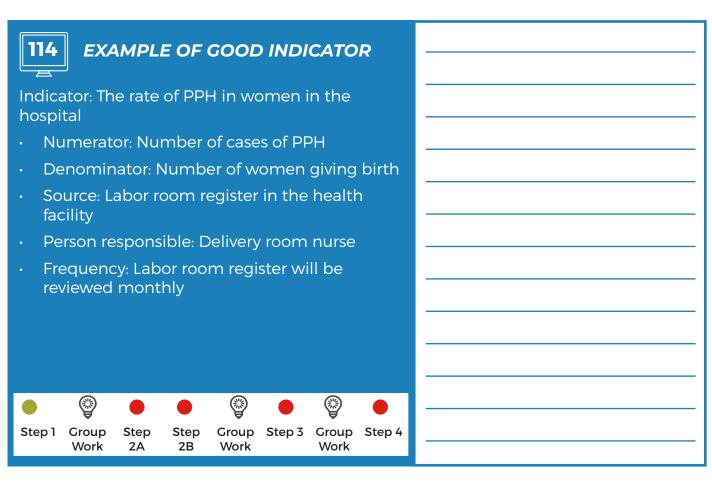


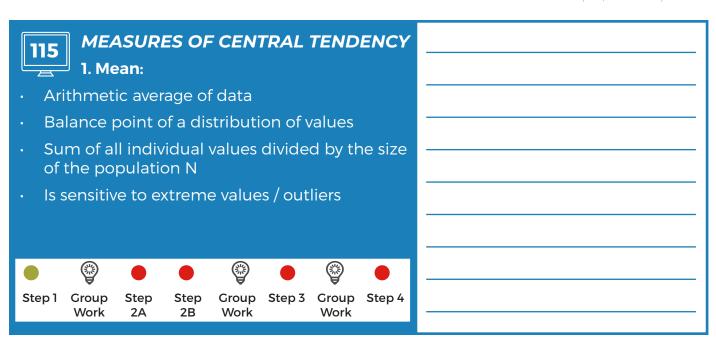


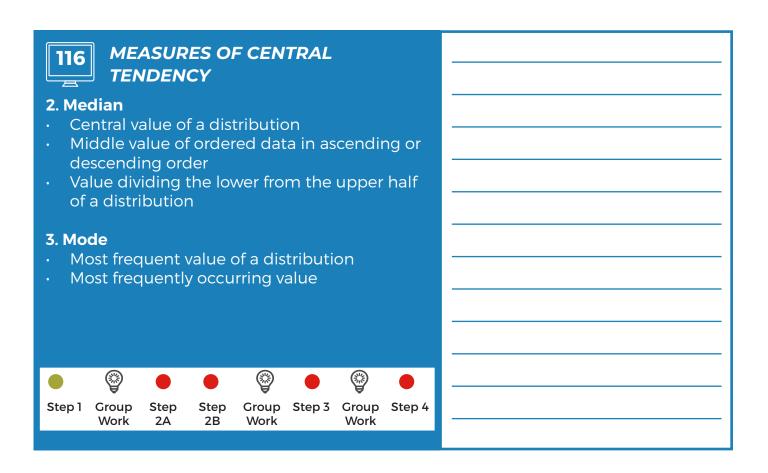


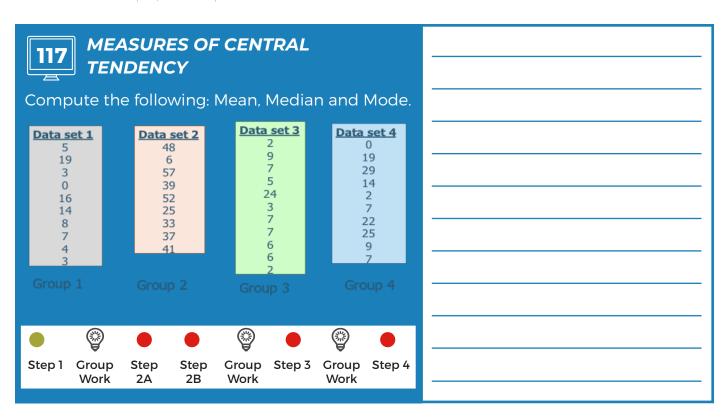


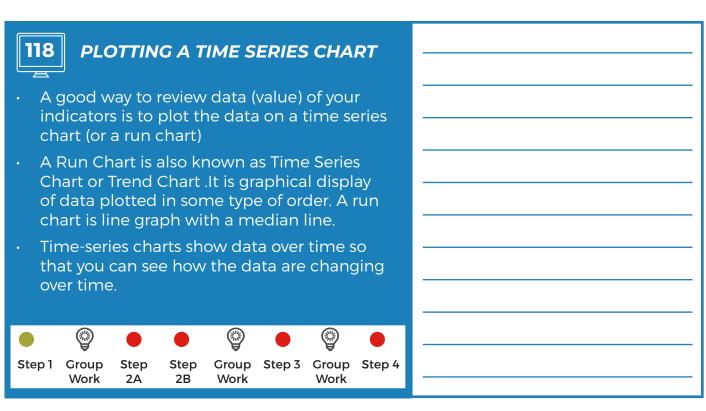










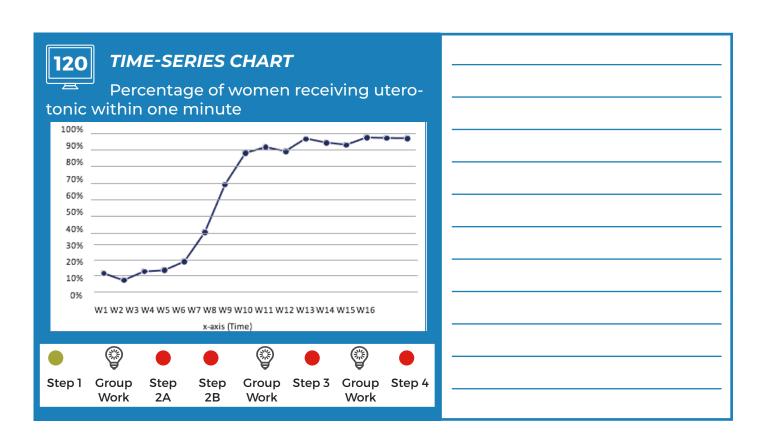


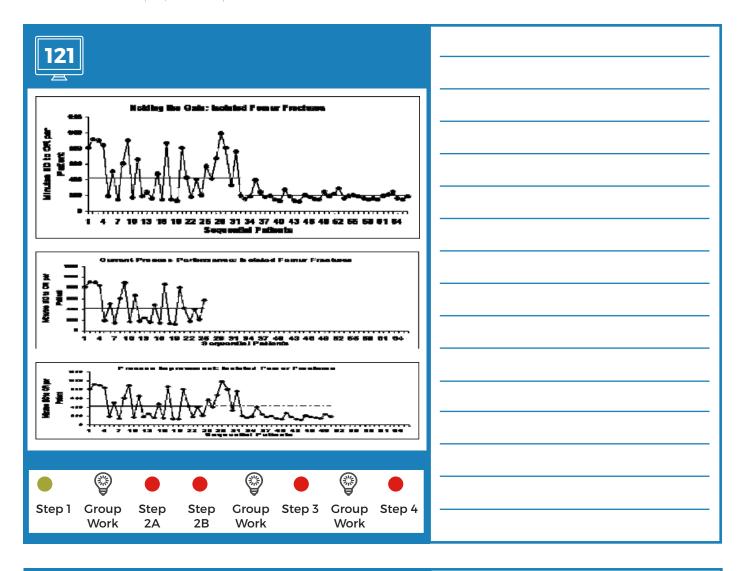


## A time-series chart has the following components:

- A clear title
- · Well-labelled x and y axes
- The x or horizontal axis represents time. This
  is the time period that you are using to review
  your data
- The y or vertical axis represents the percentage performance of the indicator. It is usually from 0 to 100%
- It is also important to annotate on the chart the time points when you introduced specific change ideas so that cause - effect relation is clear.





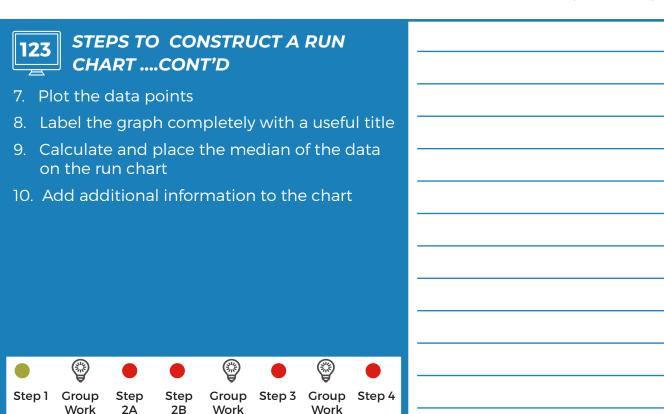


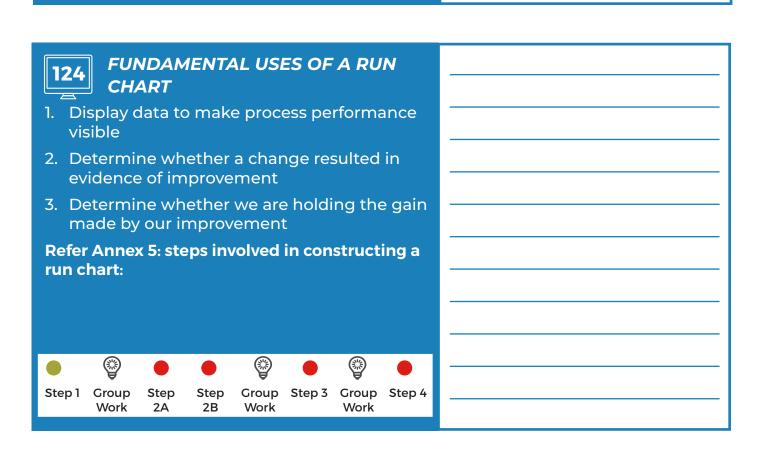
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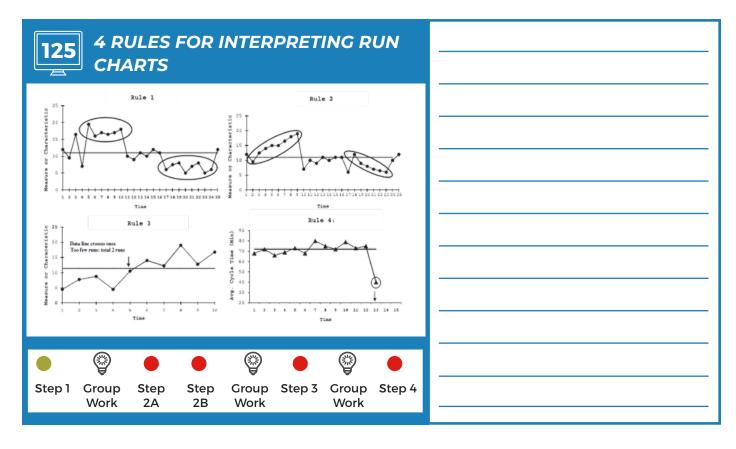
### STEPS TO CONSTRUCT A RUN CHART

- 4. State the question that the run chart will answer and obtain data necessary to answer this questions
- 5. Develop the horizontal axis. This is usually is in a time scale (days, weeks, months, quarters, years etc.)
- 6. Develop the vertical axis









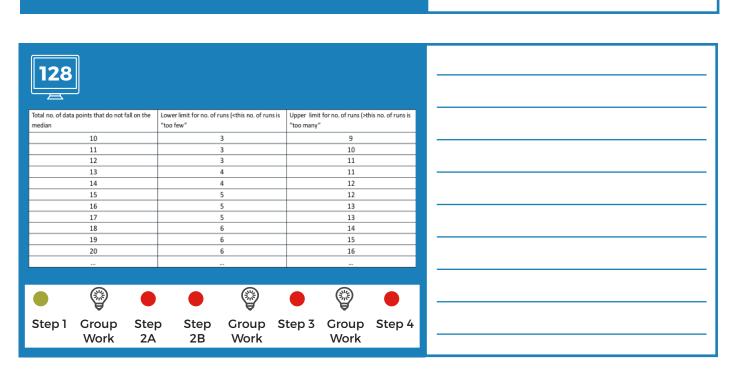
### **Rule 1-Shift** 126 A group of Six (6) or more consecutive data points on one side of the median. Points on the median are to be ignored because they do NOT make or break a shift. **Rule 2-Trend** A group of Five (5) or more consecutive data points all going up or all going down. In this rule a median line can be ignored. Like points (consecutive data points with the same value) are counted as one. Step 1 Group Group Step 3 Group Step 4 Step Step 2B Work 2A Work Work

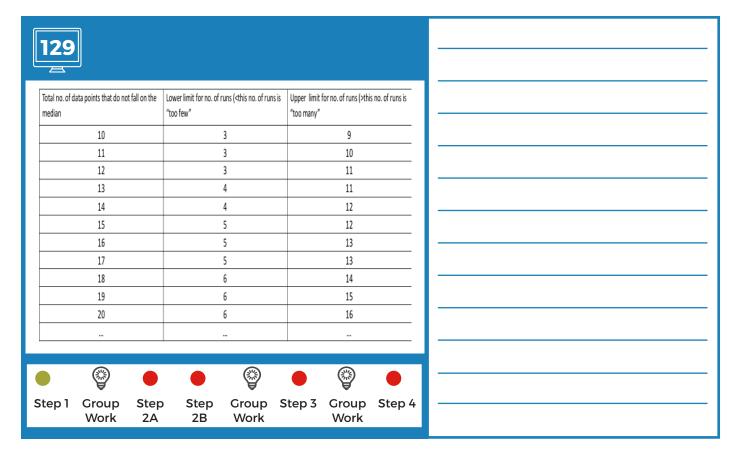


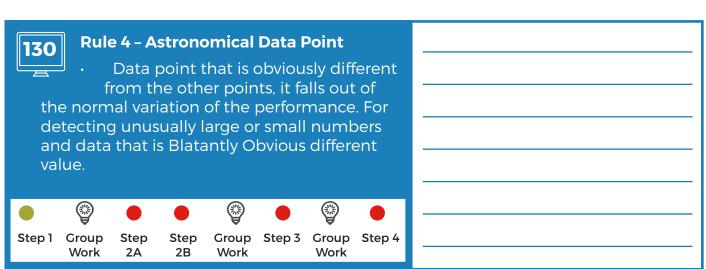
### Rule 3 - Run

- A run is a series of points in a row on one side of the median
- This rule has 4 steps, however first we need to know what a run is. A run is a group of data points on one side of the median. To count the runs we: Step 1a) count the number of times the performance line crosses/cuts the median; Step 1b) add a constant 1 to the value from step 1a, this gives you the number of runs; Step 2) count the number of data points that do not fall on the median; and Step 3) make use of the reference table and determine whether your runs are within or out of the normal range.

Step 1	Group Work	Step 2A	Step 2B	Group Work	Step 3	Group Work	Step 4









### **Session summary**

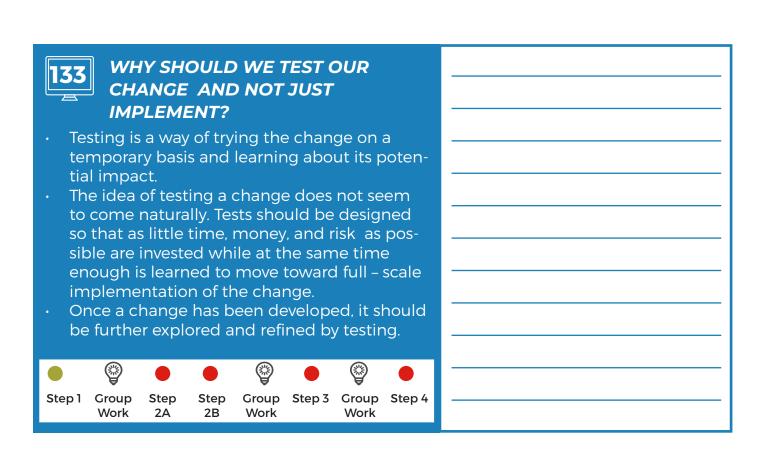
- The purpose of data in QI is not for judgment but for the purposes of learning
- Measurement answering the question "how will we know that a change is an improvement?"
- An indicator is: "A quantitative or qualitative variable that provides reliable means to measure a particular phenomenon or attribute
- A run chart is a graphical display of data plotted in some type of order (data over time)
- 4 Rules for Interpreting Run Charts- Shift,
   Trend, Run and Astronomical Data Point

Step 1	Group Work	Step 2A	Step 2B	Group Work	Step 3	Group Work	Step 4



### STEP 4: TESTING CHANGE

132	SESSION OBJECTIVES:  At the end of this session, you will be able to:								
· D	· Describe the purpose of testing								
• А	· Apply principles of testing change								
	<ul> <li>Test changes using Plan-Do-Study-Act cycles</li> </ul>								
	ifferent npleme			n testir	ng and				
	<ul> <li>Use Run Chart rules to assess impact of the change tested</li> </ul>								
					•		•		
Step 1	Group Work	Step 2A	Step 2B	Group Work	Step 3	Group Work	Step 4		





### PRINCIPLES FOR TESTING

- 1. Test initially on a small scale and increase the scale of the test on the basis of learning: By using an approach of sequential testing that starts with testing on a small scale, we can learn about the impact of the change and its side effects.
- 2. As the scale of the test is expanded, include different conditions in your test: As you are scaling up your change, possible circumstances that could affect performance should be discussed and plans to learn about their impact should be included in the tests.
- 3. 3. Plan the test, including data collection: A test of change may not be successful because the test was not planned well. To plan a test, people should explicitly document what is being tested and who will do what, when and where. This should include a plan for the collection of data



Step 1



Work







Step





Work





Work





### **DEVELOPING CHANGES-ASK YOUR TEAM**

When you are developing and reviewing the possible changes, it is good for the team to discuss:

- What changes will we make?
- Why will this change result in an improvement?
- How will it work?
- What improvement will we expect to see as a result of this change?













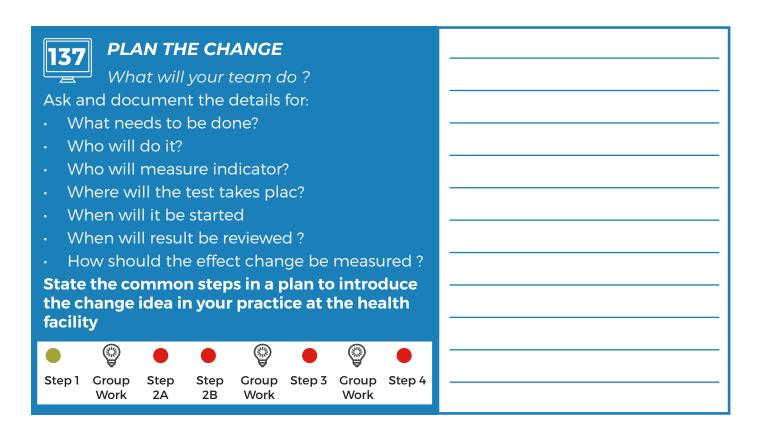






Step 1 Group Step Step Group Step 3 Group Step 4 Work Work 2A 2B Work

SOME CATEGORIES OF CHANGES											
Category	Meaning										
Improve knowledge or skills	Training or standards										
Eliminate waste	Stop doing harmful or useless things										
Reassign tasks	Change who does what										
Reorganize tasks	Do tasks in different order or different location										
Improve patient relationship	Listen to what patients want										
Reduce variation	Dothings to make work more standard										
	<b>ૄ</b> • <b>ૄ</b> •										
Step 1 Group Step Step Work 2A 2B	Group Step 3 Group Step 4 Work Work										





### TESTING THE CHANGE

- The rationale of testing things initially at a small scale is that it allows you to know if it succeeds and gives you the confidence to practice at large scale and adopt more innovative changes in future.
- It is also important to highlight that some of your change ideas will not work. That is good. Testing on a small scale means that they will not do any harm and they are an opportunity for learning.

Step 1	















Group Step 3 Group Step 4 Group Step Step Work 2A 2B Work Work



It is good to test the change/idea in different working conditions to learn if the change always works, for example, testing on weekends or night time will let you know if changes will work.

### To summarized:

- Test BIG changes on small scale
- Test individual changes separately when possible
- Negative results are opportunity to learn
- Think about how conditions change over time (monthly, seasonal patterns, external variables)







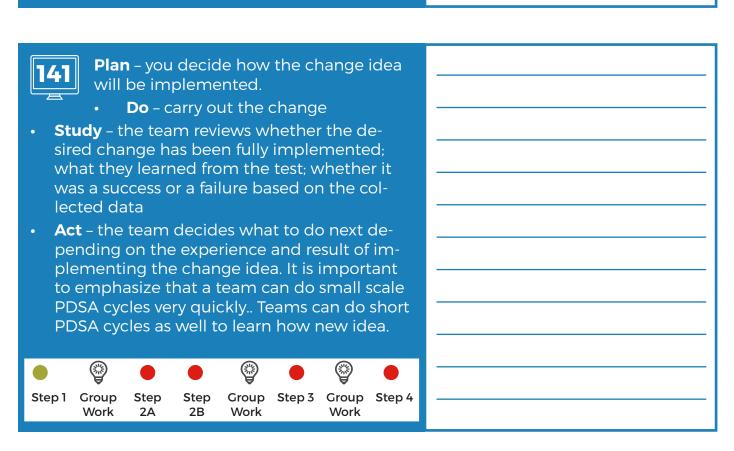


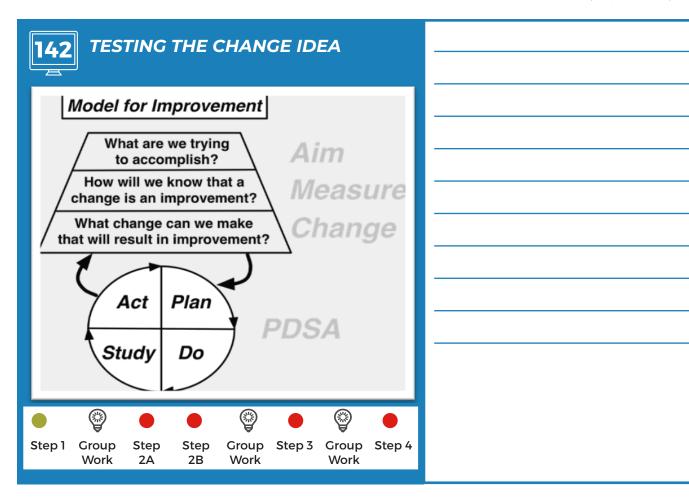






### TESTING THE CHANGE IDEA It is rare that any change will work perfectly the first time. It will usually need some adjustment to work in your. Because of this, it is easier to fix problems when you test the new ideas to learn how they work and to adjust them to your setting. The PDSA cycle is very useful for this. PDSA stands for: Plan, Do, Study, Act These are steps to take when testing a new idea Group Step 3 Group Step 4 Step 1 Group Step Step Work Work 2A 2B Work







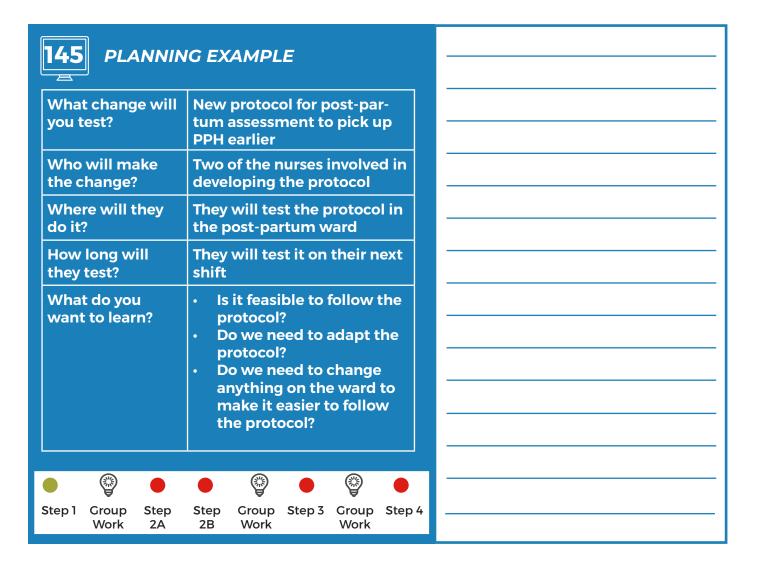
- **Plan** you decide how the change idea will be implemented.
- **Do** carry out the change
- Study the team reviews whether the desired change has been fully implemented; what they learned from the test; whether it was a success or a failure based on the collected data
- Act the team decides what to do next depending on the experience and result of implementing the change idea. It is important to emphasize that a team can do small scale PDSA cycles very quickly... Teams can do short PDSA cycles as well to learn how new idea.





- · What change will you test
- · Who will make the change
- · Where they will do it
- How long they will test

Step 1	Group Work	Step 2A	Step 2B	Group Work	Step 3	Group Work	Step 4



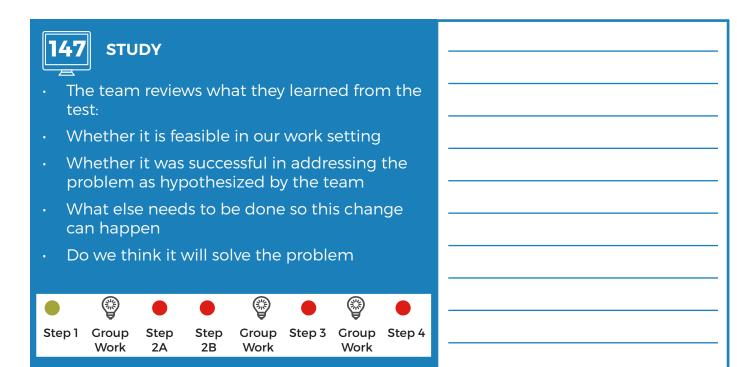
# Plan - the team decides:

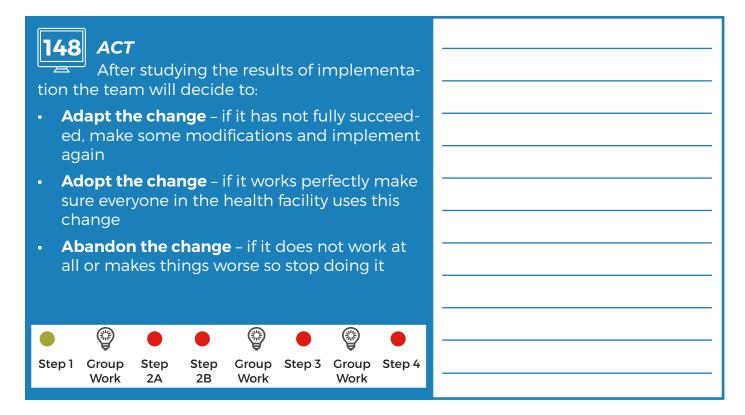
- · Who will test the change/new idea
- · What they will do
- · When they will do it
- · What you want to learn from the test

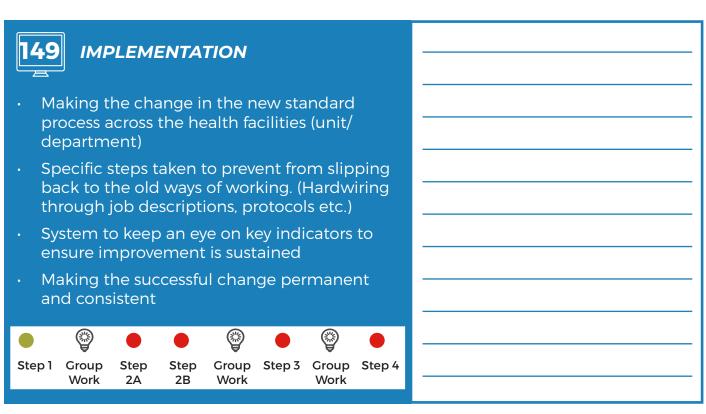
### Do

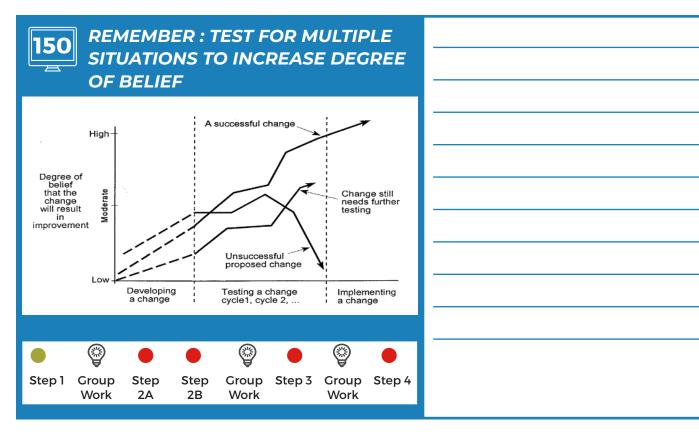
 In this step the assigned persons in the team tests the change as per the plan developed in the previous step

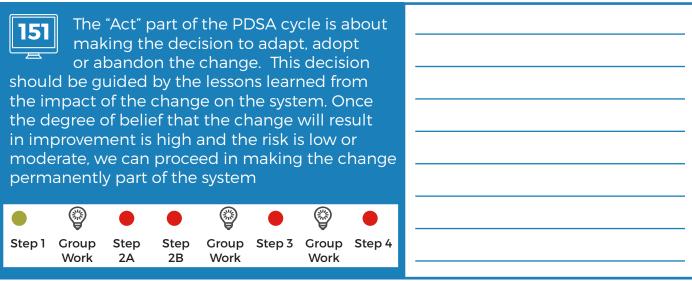


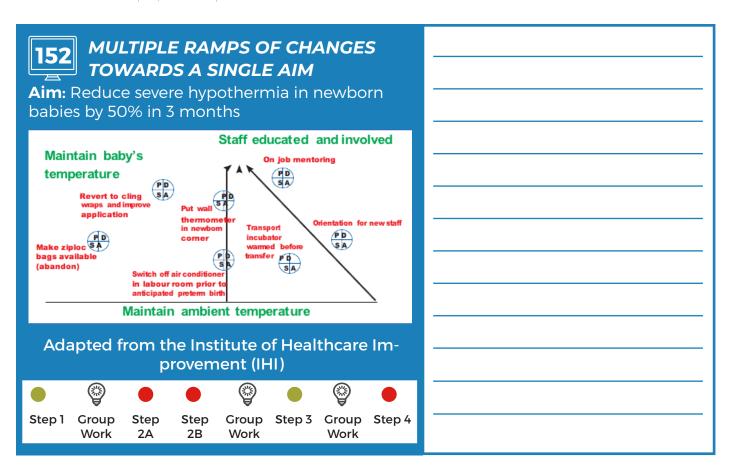


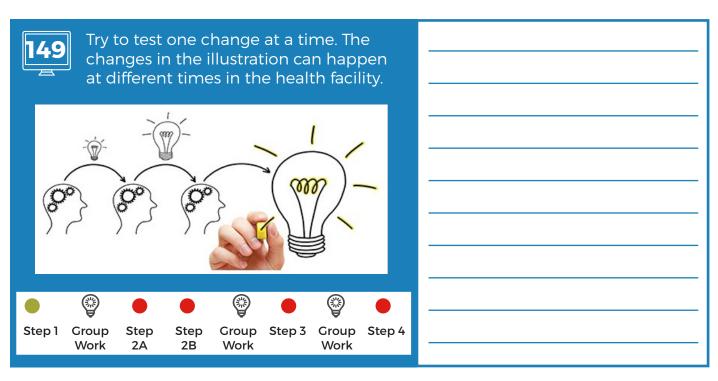














larger number of units/individuals

Step

2A

Step

2B

Step 1 Group

Work

Work

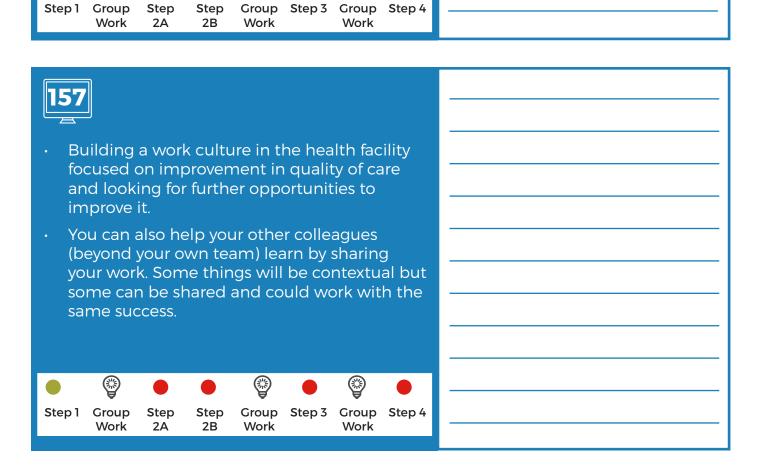
Group Step 3 Group Step 4

Work

### STEP 5: SUSTAINABILITY, SPREAD & SCALE UP OF IMPROVEMENT

<ul> <li>SESSION OBJECTIVES: At the end of this session, you will be able to:</li> <li>Identify Key practice needed to sustain improvement</li> <li>Plan Diffusion of innovation through spread and scale up</li> <li>Plan and manage diffusion of innovation,</li> </ul>	
through spread and scale up	
Step 1 Group Step Step Group Step 3 Group Step 4 Work	
155 DEFINITION	
Sustainability: The ability to be maintained at a certain level; looking in the progress made and continuously build upon it; holding the gains.	
<b>Spread</b> : Adoption and replication (with little modifications) of an intervention/change within a system; Taking a new system or intervention/change and replicating it at other sites	
<b>Scale up</b> : Addressing the infrastructure or resources or other system issues that arise during implementation and spread of changes to a	

# Once improvements are implemented, practices need to be established to ensure that the change becomes the normal way the system is run. Holding the gains usually requires some change in the system to ensure that the change is maintained It is important to take some concrete steps to make sure that they are sustained in the health facility. Ideas include: Developing new guidelines of patient care or standard operating procedures Assigning new Job descriptions/ responsibilities





### PRACTICES NEED TO BE **ESTABLISHED TO SUSTAINING IMPROVEMENT**

- 1. Standards Establish or update specific recognized policies, procedures, standards that act as a model or guidelines for the changed process
- 2. Remove the old system Remove the old system from the standards and incorporate the new/changed system, otherwise staff, no matter how supportive of the new system, will always be tempted to go back to the old system

















Step 1 Group Work

Step 2A

Step

Group Step 3

Work

Group Step 4

### HARDWIRING OF QI PROJECT

- Documenting the flow of the new process – the new way of doing things
- Providing training on the new process
- Teaching people new skills that might be required of them
- Making changes in job descriptions, policies, procedures
- Addressing supply and equipment issues
- Assigning day-to-day ownership for the improvement and maintenance of the new process
- Having senior leaders remove any barriers that might allow slippage back to the old process





Work

Step 1 Group



2A





2B



Work

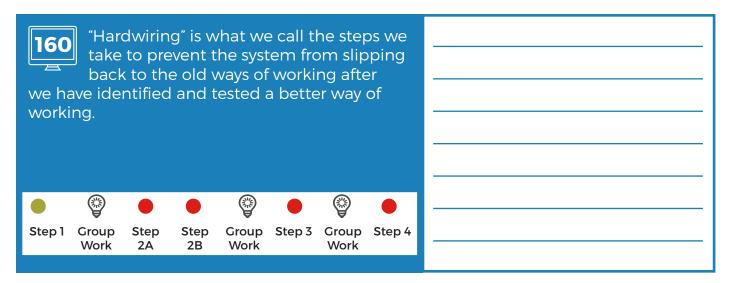


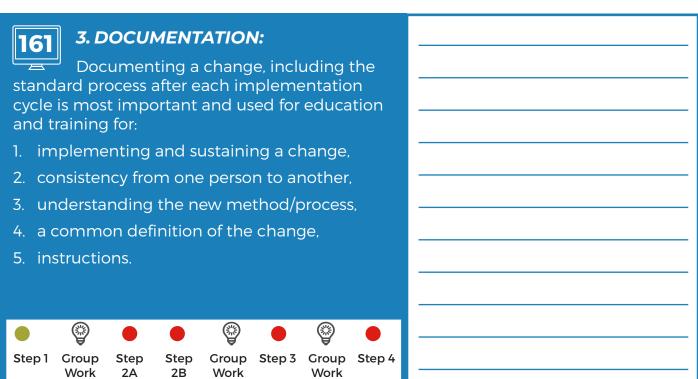


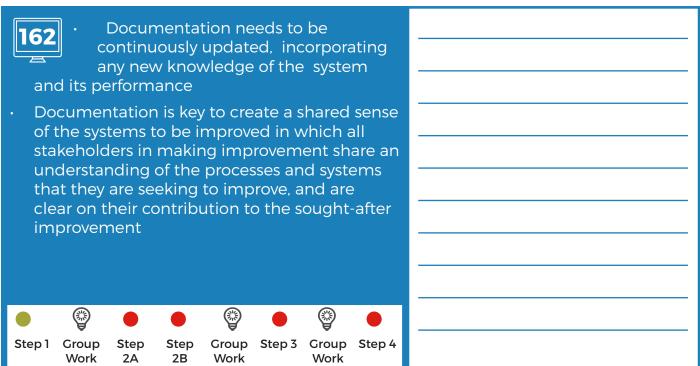




Group Step 3 Group Step 4 Work







### 4. MEASUREMENT Provides robust, transparent feedback systems to review whether key the system is still performing at the level of the new standards/policies set by management. Measurement is a source of learning during implementation and a method of tracking whether improvement is sustained. 5. TRAINING Some form of training is usually required to implement a change. If the change is more complex and is more of a systems redesign, extensive training may be required to implement the change. Step 1 Group Group Step 3 Group Step 4 Step Step Work 2B Work 2A Work



### 6. SUPPORTIVE MANAGEMENT **STRUCTURE**

Are therefore important in holding the gains, to create a culture of improvement. In order to support the sustainability, the hospital's management should treat quality of care as a high priority, devoting regular attention, creating accountability systems for improvement, making resources available and recognizing the successes.

### 7. THE SOCIAL ASPECTS

Connect with hearts as well as minds, engage with individuals' values and beliefs

Step 1	Group	Step	Step
	Mork	2 /	20

(ii)

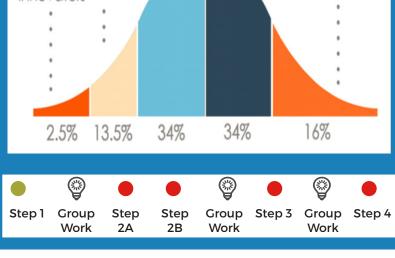






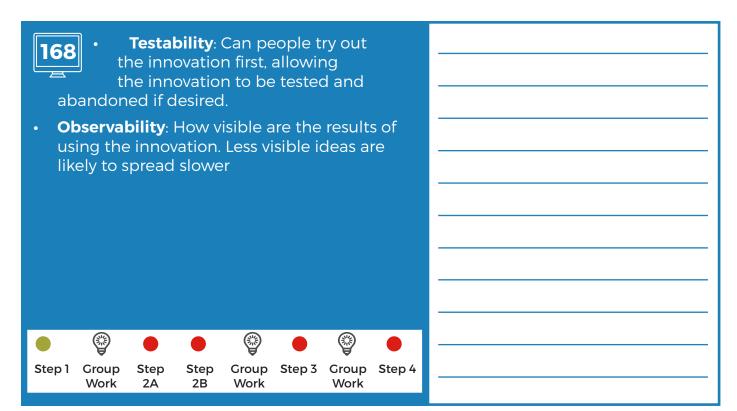


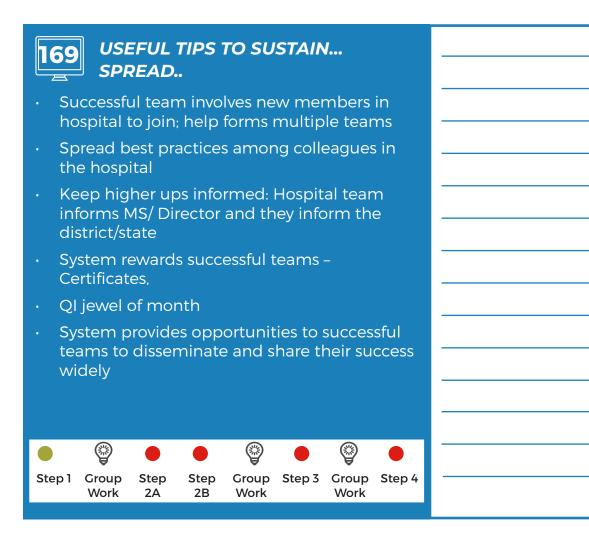
2B Work Work **DIFFUSION INNOVATION** Late Majority Early Adopters Laggards **Innovators** 



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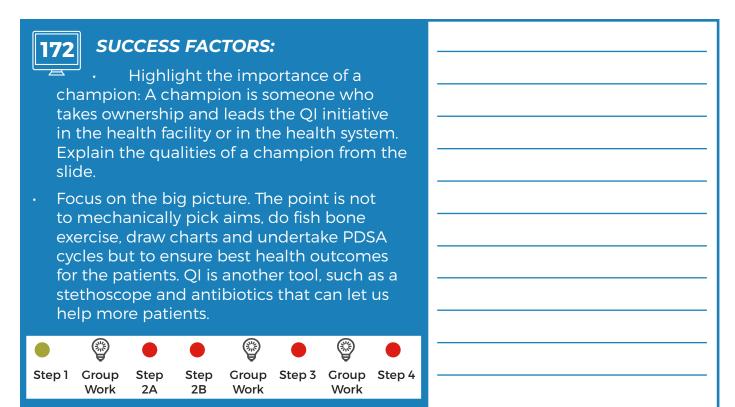
### THERE ARE FIVE **CHARACTERISTICS THAT COULD** AFFECT THE RATE AT WHICH **INNOVATION GETS ADOPTED BY** SOCIETY Relative Advantage: is the innovation better than the current way? Will people perceive it as better? Compatibility: How does the innovation fit with people's past experiences, and present values and needs? If it does not fit well, it won't spread well Complexity: How difficult is the innovation to understand and apply? The more difficult the slower the adoption process. Step 1 Group Group Step 3 Group Step 4 Step Step Work 2A 2B Work Work

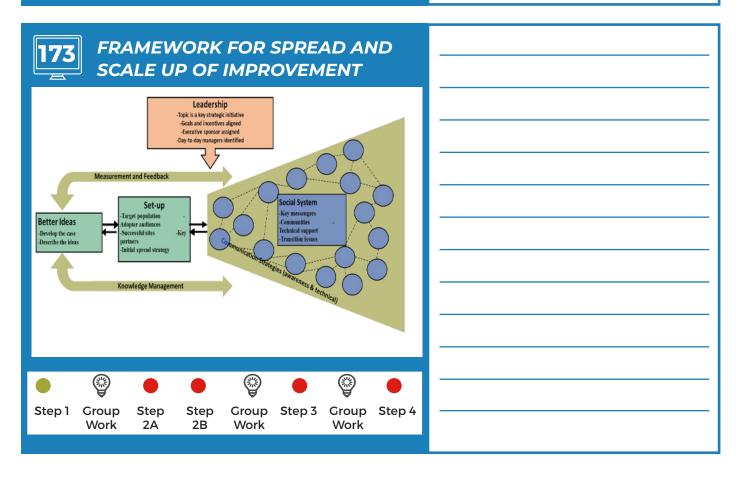




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· Giv	re oppo rk	ortunit	ies for	them				
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Step 1	Group Work	Step 2A	Step 2B	Group Work	Step 3	Group Work	Step 4	

### **KEY TO SUCCESS** Local champion: A team leader who respects others, is a keen listener, uses collective wisdom of the team rather than being directive, identifies & harnesses key strengthens of members, sets example Personal aspirations: Remember most of us entered medical profession with aim to alleviate sufferings and help society Positive attitude: Being positive and prepared to address barriers, challenges which prevent us achieving this aim Step 1 Group Step Group Step 3 Group Step 4 Step Work 2A Work Work







Framework serves as a guide for leaders to use in incorporating the components into their spread strategy:

- the role of leadership,
- the organizational setup to support spread,
- the description of the new ideas,
- methods of communication.
- nurturing of the social system,
- measurement and feedback systems, and
- knowledge management





Work



Step

2A





Work



Group Step 3





Group Step 4



### **SUMMARY**

- Once improvement has been achieved we need to do Celebrate, Spreading change ideas, Build upon it; holding the gains
- Practices need to be established to Sustaining Improvement includes Standardize, Remove the old system, documentation, measurement, training, and supportive management and social aspects
- Not every innovation/successful change is able to successfully spread. Need for a Framework for planning and implementing for Spread and Scale Up of new ideas/changes





Work





2A





2B



Work







Group Step 3 Group Step 4 Work



### STEP 6: COACHING MENTORING FOR QI



### **SESSION OBJECTIVES:**

At the end of this session, you will be able to:

- Identify the roles of a QI coach
- Enable QI coach and mentors to provide support to QI teams during design and implementation of QI activities
- Organize and facilitate learning or review meetings/sessions

















Step 1 Group

Work

Step

Step

Group Step 3 Work

Work

Group Step 4



### PRINCIPLES FOR TESTING

- Teacher: Tells, Instruct and informs; Subjectmatter expert; Knowledge transfer
- Mentor: Guides, shows, hands-on. Demonstrate the 'how to..' Oriented towards developing skills and understanding of the content.
- **Coach**: Ask and guides you to get the answer/ solution. Action and reflection oriented; Assists to overcome barriers; No need to be subject matter expert on content; and Encourages/ motivate





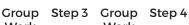














Group Work

Step 2A

Step

Work

Work

### WHAT IS COACHING AND WHY?

Coaching is regular hands-on support to motivate and help teams of healthcare workers to improve quality of care at the health facility

### Coaching

179

- Does not have formal authority
- Focus on specific areas
- Encourages problem solving and guides



















Step 1 Group Work

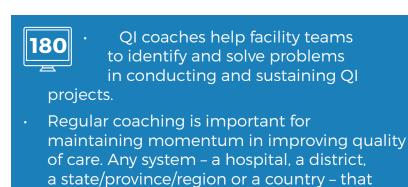
Step 2A

Step 2B

Work

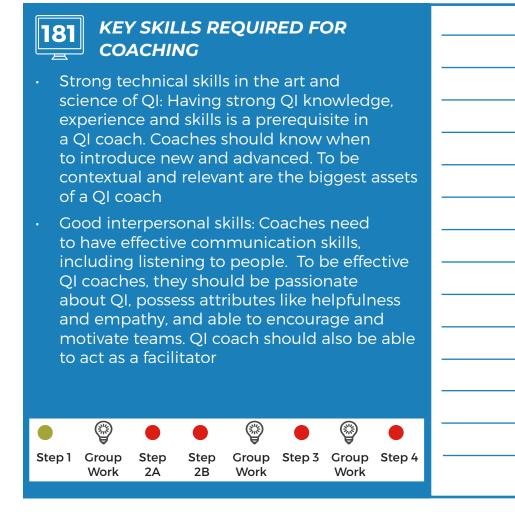
Group Step 3 Group Step 4 Work

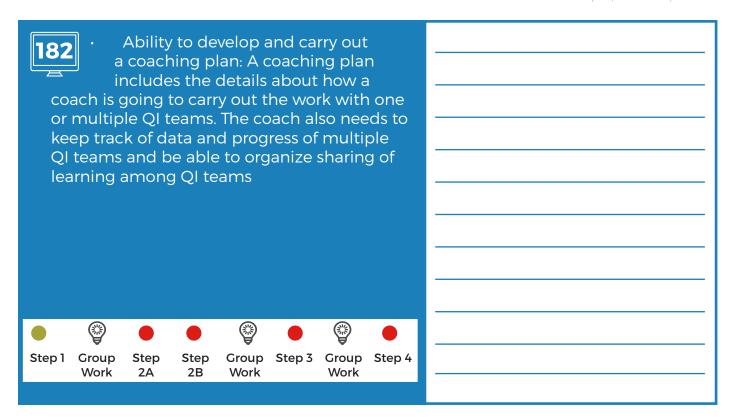
facility-level QI teams

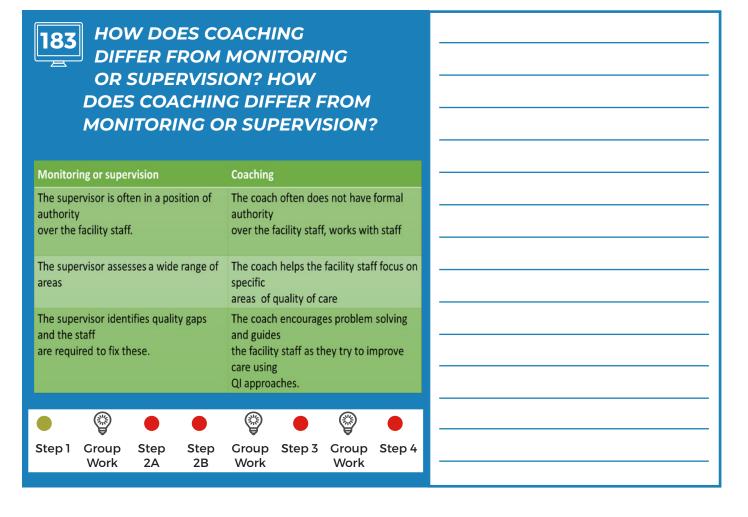


Step 1	Group Work	Step 2A	Step 2B	Group Work	Step 3	Group Work	Step 4

wants to improve the quality of care in a sustainable and effective manner should consider providing coaching support to









### The QI coaches undertake several activities

- Help healthcare teams build their skills in improving care
- 2. Help the QI teams to involve leadership at their place of work
- 3. Identify QI teams / sites which are doing well
- 4. Identify QI teams / sites which are struggling
- 5. Share learning between facilities.
- 6. Document learning from multiple QI teams & Ensure proper data flow

















Step 1 Group Group Step 3 Group Step 4 Step Step Work Work Work 2A 2B



### Principle of coaching

- **Building trust**
- Be patient and kind, be humble, Clearly communicate, discreet, look for, acknowledge
- 2. Encourage health worker autonomy and mastery
  - Helping people to learn the easier skills first, and build their confidence in applying these skills to solve real problems
- 3. Focus on results
  - Keep the team focused on the big picture
- 4. Focus on learning
  - Encourage self-learning
  - Encourage teams to measure outcome data

















Group Step 3 Group Step 4 Work

Step 1 Group Work

Step 2A

Step 2B



How should a coach build teams' QI technical skills?

QI skills

- Prioritizing problems and choosing good aim
- Working effectively in teams
- Analyzing problems to find root causes
- Developing Indicators and measurement plan
- Understanding data





Work





2A





Work







Work





- Developing change ideas
- Testing and adapting changes
- Sustaining improvement

The coach should always start by helping people to learn the easier skills first, and build their confidence in applying these skills to solve real problems

Refer Annex 9: for detail description of QI skills





















Group Work

Step 2A

Step 2B

Group Step 3 Work

Group Step 4 Work

# COACHING IN THE EARLY PHASES **OF QI WORK**

- 1. Assist in the constitution of QI teams: It is important for a coach to guide facilities in the development and composition of QI teams, provide guidelines for selection to ensure teams include the right skills of people with the mix of content expertise, passion, and motivation to make things happen.
- 2. Assist QI teams to conduct baseline assessment across all stages including:
  - Tool development/adaptation
  - Data collection





Work





Step

2A



Step

2B





Work







Group Step 3 Group Step 4 Work



- Reviewing data, data analysis, presentation, interpretation and gap identification
- Communicating results to relevant stakeholders - creating the burning platform
- Action plan preparation to fill gaps in inputs and skills

Step 1	Group	



Work



Step

2A



2B



Work







Group Step 3 Group Step 4 Work



# 3. IMPROVING DATA QUALITY

- Build the necessary level of trust among the team and facility management and encourage them to be able to continuously improve data quality and report a valid, accurate and trusted report
- Build trust among all involved in data generation, reporting, and receiving
- Monitor data quality as one of the indicators in the QI process- Data validation





















Work



Step 1 Group

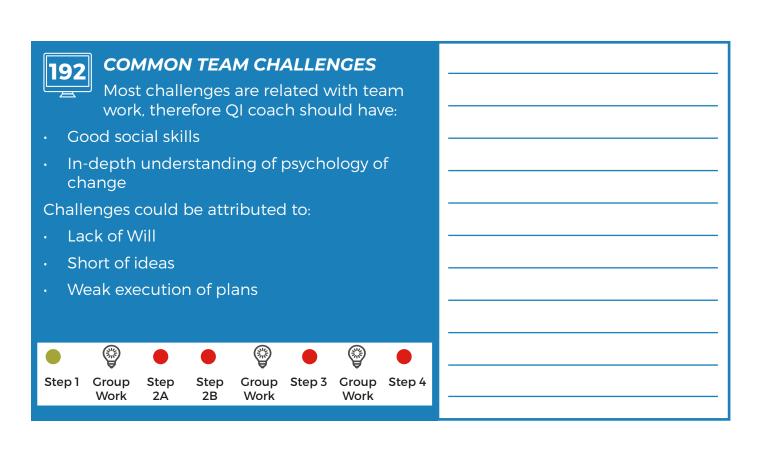
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Step 2A

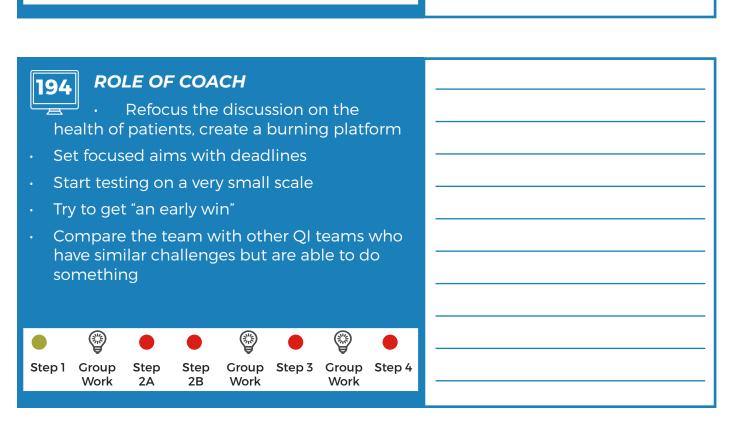
Step 2B

Group Step 3 Group Step 4 Work

# **FOLLOW-UP COACHING** Start the visit by meeting with facility leadership Observe clinical process and provide clinical mentorship/support is also an opportunity to provide real-time feedback on strengths and areas of improvement in care provided Facilitate QI team meetings: During the meetings, the QI coach should support at the different phase of the improvement process including Problem analysis, planning and reviewing PDSA, data collection and analysis, etc Step 1 Group Group Step 3 Group Step 4 Step Step Work 2Δ 2B Work Work



# **COMMON TEAM CHALLENGES** 193 **Examples: Lack of Will** Aim is not being used to focus the QI work Lack of leadership support Resources necessary for QI work are not made available No connections between QI work and organization's strategic goals Step 1 Group Step Step Group Step 3 Group Step 4 Work Work Work 2A 2B



# Examples: Short of Ideas Only few ideas have been tested Team is stuck in more of the same kind of ideas When provided, a change package is not used Discourage, no hope it can be done

Group Step 3 Group Step 4

Work

Step 1 Group

Work

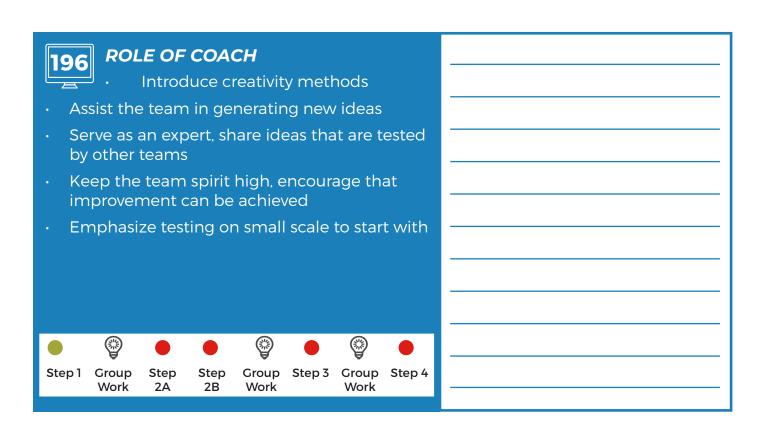
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2A

Step

2B

Work



Step 1

Group

Step

Step

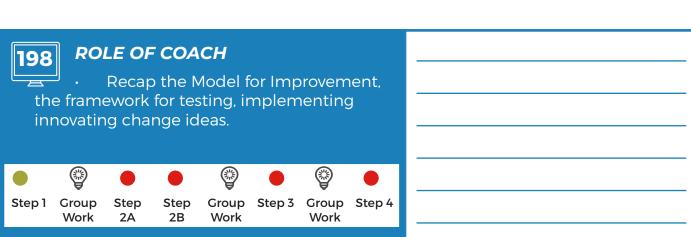
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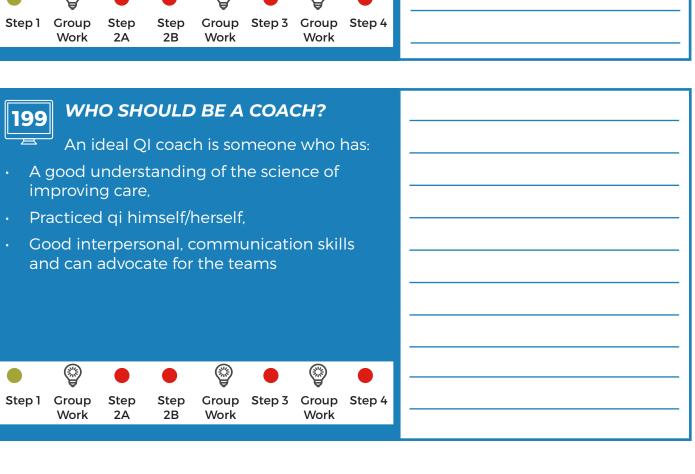
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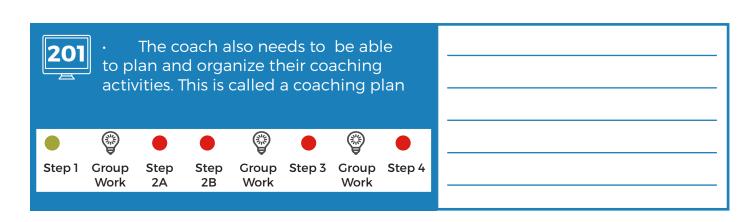
# Examples: Weak Execution of Plans Changes are not tested according to plan No use of data to build knowledge and make informed decision Numerous PDSAs have been tested but no implementation of successful changes Weak teamwork in testing, implementing change

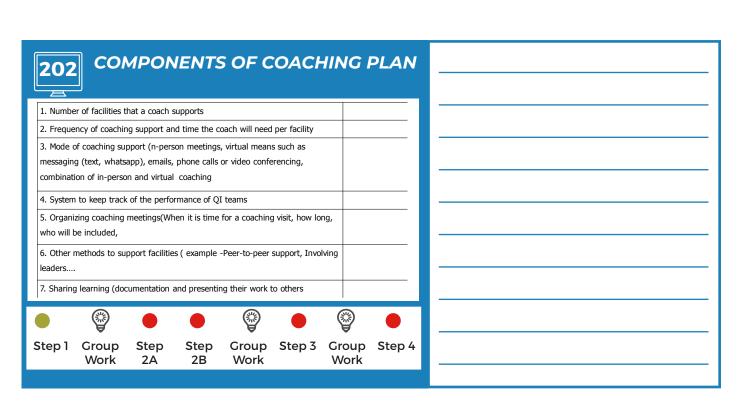
Group Step 4

Work









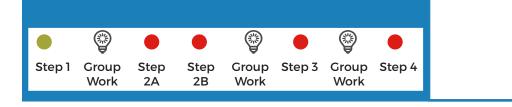
# **SUMMARY** 203 Coaching is required for successful QI project implementation Coaching should address the different stages of QI process · Coaching skills are key to address challenges related to Will, Idea generation and execution Step 1 Group Group Step 3 Group Step 4 Step Step Work 2A 2B Work Work



# STEP 6: PLAN AND FACILITATE ON-SITE QI TRAINING

· Plan and prepare for training						
· Create positive environment for learning						
<ul> <li>Facilitate on-site QI training to achieve effective learning</li> </ul>						
Monitor and evaluate QI training						
Step 1 Group Step Step Group Step 3 Group Step 4 Work 2A 2B Work Work						

# Requires a considerable amount of time and attention (Ideally should begin at least 6 months before the course). Trainer must know well in advance about Number of learners Training venue/hall/site Learning materials Supplementary learning aids Supplies and equipment (AV aid, medical equipment)





# SELECTING APPROPRIATE **PARTICIPANTS**

Selecting of participants should be based on clear, agreed-up on criteria.

Participants should be selected and notified 1 month in advance of the course

As part of the invitation, participants (and their supervisor if necessary) should be sent information about the course

- **Dates and Location**
- Logistical information
- Receive course syllabus





Work



2A



Step

2B









# **USE OF STANDARDIZED LEARNING MATERIALS**

A typical learning packages usually consists of

- Facilitator guide and note
- Participants manual /serve as a reference manual
- Supplementary Learning /job aids ( case study, checklist)
- Training evaluation tools
- AV aids, supplies and equipment

Use of standardized course materials helps ensure consistency in the transfer of knowledge and skills and in objective evaluation of learner performance

















Step 1

Group Work

Step 2A

Step 2B

Group Step 3 Work

Group Step 4 Work



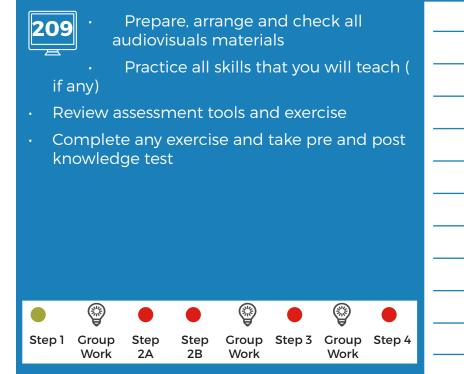
# TRAINER ORGANIZATION AND PREPARATION The foundation of effective training organization-

both at the course level and at the individual session level

Course-level preparation includes

- Review the course syllabus and schedule
- Review content materials and prepare for each session to be delivered



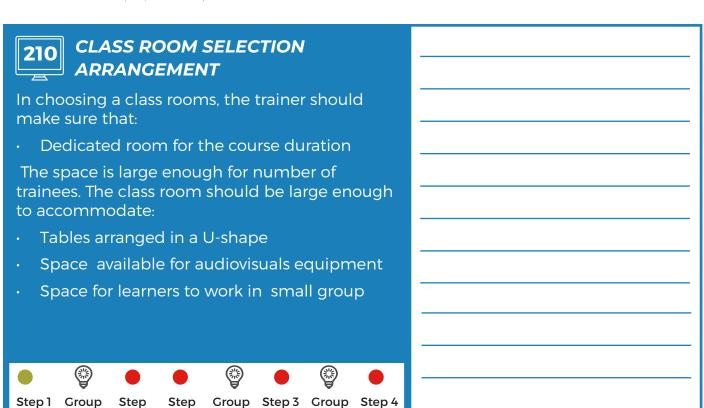


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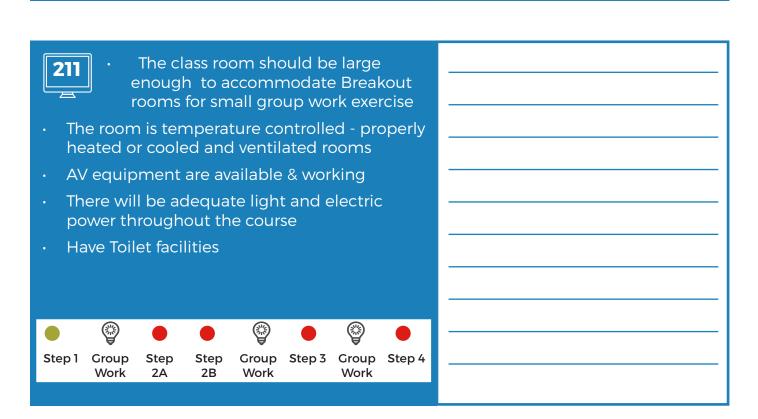
2A

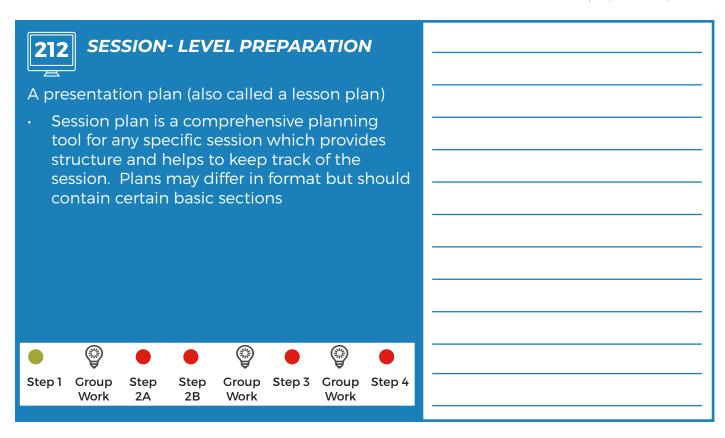
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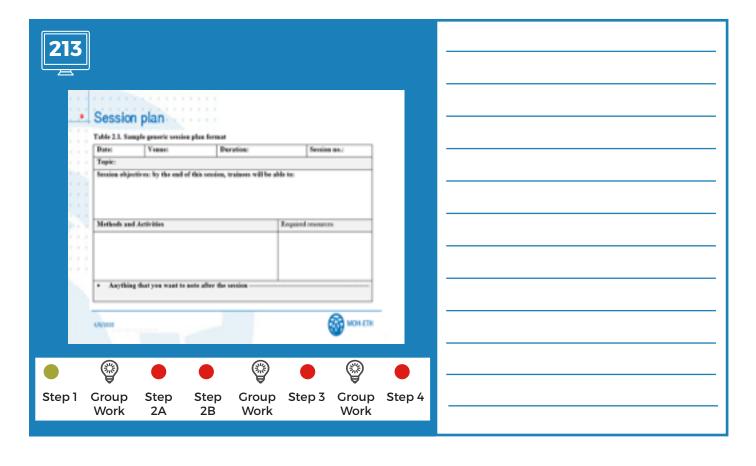
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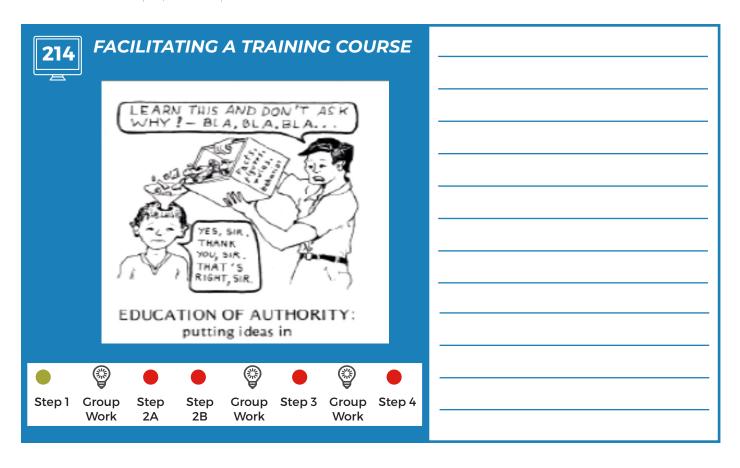


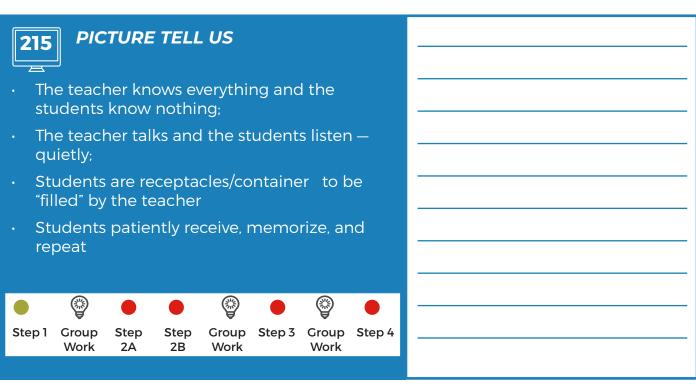
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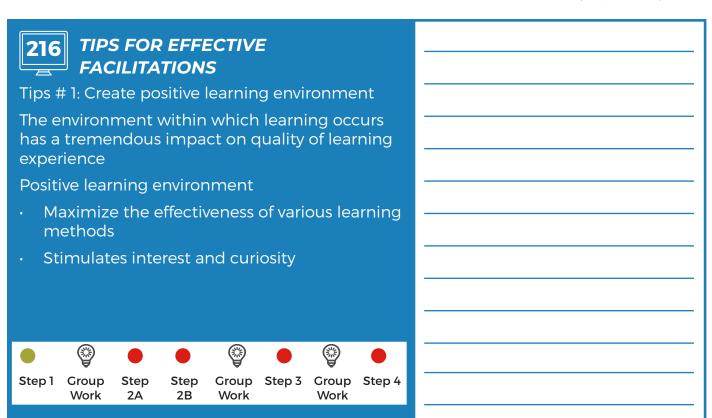


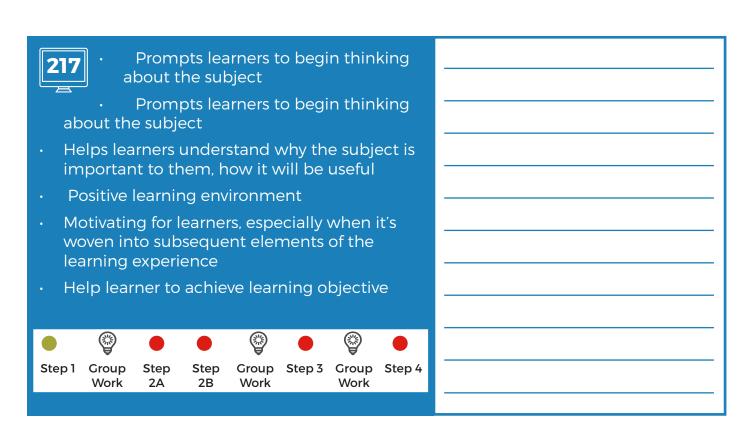














# **TECHNIQUES OF CREATING POSITIVE LEARNING ENVIRONMENT**

- Learn and call participants with their preferred names
- Treat the participants with respect and equality.
- Help learners to feel comfortable to fully participate in the training and learn from one another as well as from the trainer
- Spend time with the participants during breaks and meals

Step

2B

Step 1 Group



Work



2A





Work











# TECHNIQUES OF CREATING **POSITIVE LEARNING ENVIRONMENT**

- Respect answers and viewpoints different from yours, do not belittle learners or other trainers, and offer feedback in ways that are socially appropriate for the ethnic or cultural groups represented in the training
- Provide opportunities for learners to answer questions raised by their peers





















Step 1

Group

Step

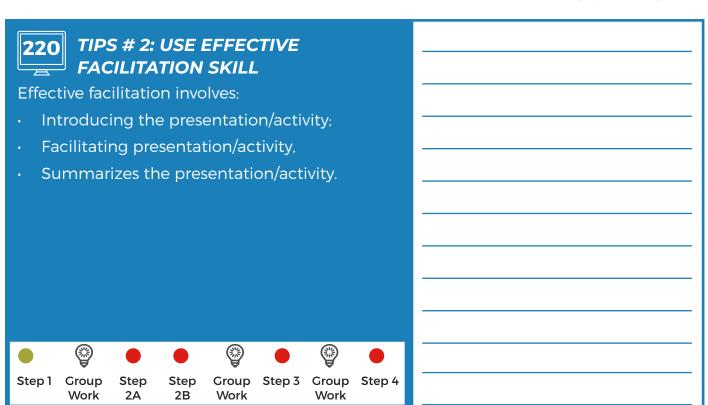
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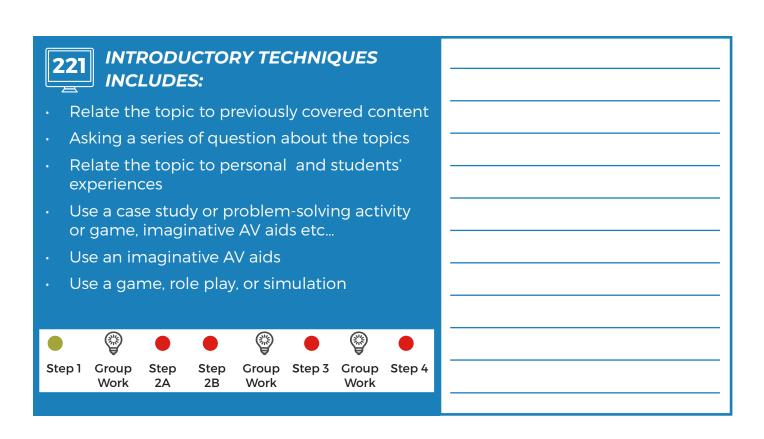
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Group Step 3

Group Step 4 Work

160





Step 1 Group

Work

Step

2A

Step

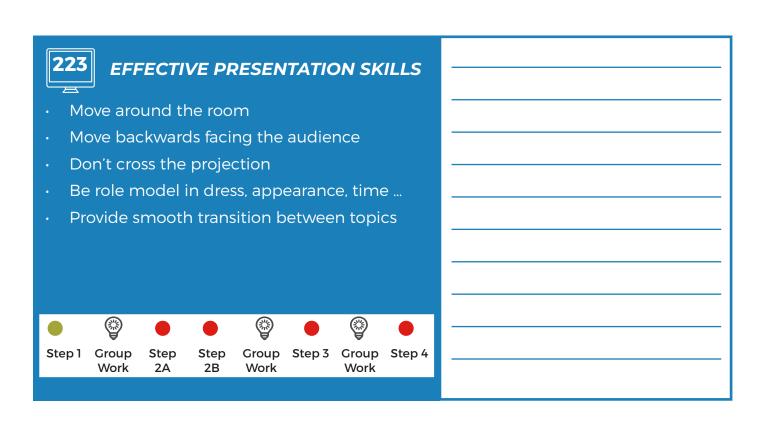
2B

Work

# Display enthusiasm about the topic and its importance Communicate in the way that is easy to understand Keep eye contact with students Use loud voice & avoid monotone Use both verbal & non-verbal communication

Group Step 3 Group Step 4

Work





# **QUESTIONING TECHNIQUES DURING YOUR PRESENTATIONS**

- Ask a question of the entire group
- State the question, pause, and then direct the question to a specific student
- Target the question to one student before asking the question
- Redirect the question to another student when the question is not answered
- Repeat a student's correct response
- Respond to partially correct answers compliment and then correct
- Provide positive reinforcement for responses

















Step 1 Group

Work

Step 2A

Step **2B** 

Work

Group Step 3 Group Step 4 Work



# FACILITATE GROUP LEARNING **ACTIVITIES**

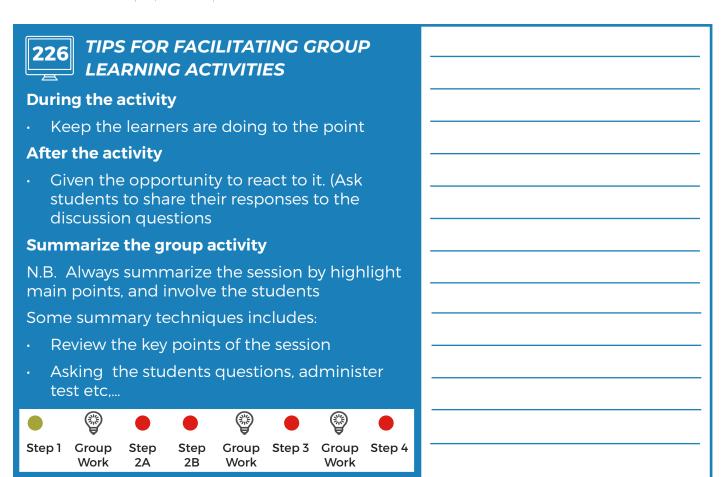
Group learning activities includes case study, role playing, group discussion, brainstorming etc..

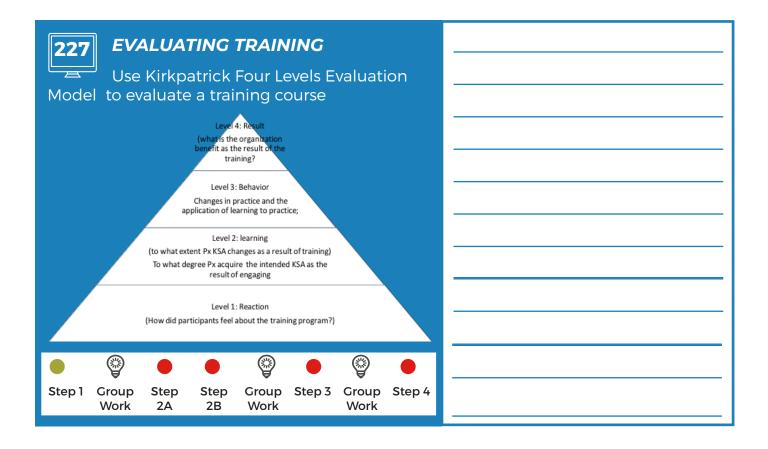
# TIPS FOR FACILITATING GROUP **LEARNING ACTIVITIES**

# Before the activity

- Tells the learners the objective of the activities
- Provide clear directions, including how to complete the activity, report the answers, Reaction activity and the time limit or due







# 228 LEVEL I : PARTICIPANT SATISFACTION

Using daily evaluation, trainer meeting, End of workshop evaluation

# LEVEL II: PARTICIPANT LEARNING/ ACHIEVEMENT OF LO

Using Pre-and post-knowledge and skill test

# LEVEL III: PARTICIPANT BEHAVIOR/ON THE JOB PERFORMANCE

 Conducting site visit, interview of individual and supervisor

# LEVEL IV RESULT

 Change in quality or quantity of service, organizational benefit); Reviewing of records, exist interview and observation





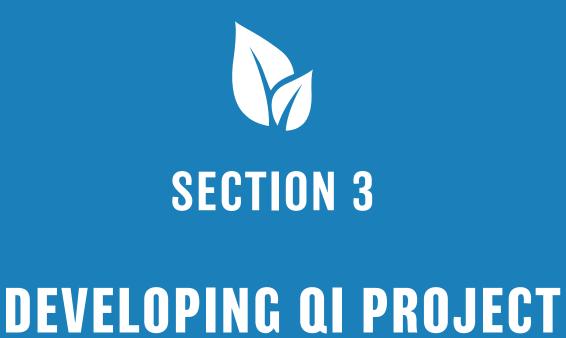
# SUPPORTING LEARNERS AFTER TRAINING (AS A TRAINER/COACH/MENTOR)

Activities that enhance the transfer of learning into workplace includes:

- Frequently meet with trainee to monitor progress of action plans
- Make all the necessary arrangement to have support by co-workers or other staff for the transfer of learning
- · Coach learners as they try new skills
- Give frequent reinforcement and immediate



<b>230</b>	SUM	1MAR	Y					
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Tips: I	Effectiv	e learr	ning					
· Cr	eate po	ositive	learni	ng env	ironm	ent		
<ul> <li>Use effective facilitation skill(introduction, body, summary)</li> </ul>								
<ul> <li>Use Kirkpatrick Four Levels Evaluation Model evaluate training</li> </ul>								
· Su	pport	learne	rs afte	r traini	ng			
•								
Step 1	Group Work	Step 2A	Step 2B	Group Work	Step 3	Group Work	Step 4	





# DEVELOPING OWN QUALITY IMPROVEMENT PROJECT

# Key points to emphasize for planning a QI project



Identifying the problem, forming a team and writing an aim statement the **PICKING AN AIM:** 

# **Key points**

We want participants to enjoy their first improvement project and find it useful so that they feel excited and encouraged to move onto a second improvement project.

Help them realize that QI is quick, easy and useful. This is the most important part of the training.

Things to think about in helping them pick their first QI project are:

- Gets RESULTS: Help the team pick a project that is likely to get results quickly within days or weeks rather than months. Factors that help to get results quickly include:
  - Identify changes that can be tested frequently.
  - The process you want to change / improve happens frequently.
  - Data to measure improvement are easily available and do not need a new system to collect.
- Most of the process they are targeting takes place in one place in their health facility.
- Does not require too many additional resources (including staff time)
- Is RELEVANT to the people doing the work and are wanting to improve. Team members feel:
  - it is important and has impact on patient outcome or patient satisfaction
  - data are as objective as possible so they can be sure that there is improvement
  - it is something that is likely to reduce work for team members (improve efficiency)
- Is **RECOGNIZED** by others
  - You want the team to get appreciation from as many people as possible
  - Projects that solve problems that leaders and teams are interested in or make patients happy are good

# Common reasons for failure

- The team selects a problem which is too complex
- The problem and choice of aim is such that changes cannot be tested quickly - results are not evident in short time:
  - Infrequent event
  - Involves follow up so the effect of the change is only available days or weeks later
- There is no easy source of objective data collection
- Data collection is done by inspection/observation or checklist which takes a lot of resources and also interferes with teamwork
- The aim is vague (not SMART)
- Inappropriate or heterogeneous team that cannot come to a common understanding and fail to agree to a common project.

# **FORMING A TEAM**

# **Key points**

# Improvement requires changing the way we work. While we might want to change people's behaviour, it usually is not pleasant when someone tells us do so. The people who will have to change how they work should be on the team and part of the process from the very beginning.

- Look for volunteers. You want people who are interested in making changes and will selfmotivate.
- Titles and hierarchy should not much influence team selection. You want people who understand the problem and have an **ability to fix** the problem at their level.
- Each step in the process needs to have a representative on the team
- Good people to have on a QI team:
  - · Are **enthusiastic!** they want to make changes
  - Are involved! they are already doing the work that needs change
  - Are influential! other people listen to them and they can get things done
- Too many people in a group is hard to manage; try to keep around 6-8 people
- The **team leader** is not necessarily the seniormost person but more a middle rung person who is **aware of the ground realities** and working conditions and at the same time can move things around.

# **Common reasons for failure**

- The team is made up of only senior people who then issue directives for junior people to change how they work. This creates problems with getting the junior front line health-care workers to agree to change the way they work. If you want nurses to do something differently, then nurses need to be involved closely in the QI team and be the main source of ideas about how the health care team should work differently.
- The team is chosen based on designation of the people rather than interest.
- Poor communication within the team. It is important to allow everyone to give their opinion.
- Many people on the team are not involved in the day-to-day work that they are **trying to improve**.
- The team is **too big** and is hard to manage and reach consensus.
- The team is too small and leads to fatigue as members are overworked.



# NAME ANALYZING THE PROBLEM AND GENERATING CHANGE IDEAS



## ANALYZING THE PROBLEM

### **Key points** Common reasons for failure People often develop or have a fixed set of solu-The team does not do any analysis tions that they fall back on when they need to fix but thinks that they already undera problem. These include: more resources, more stand the problem and jump to training and management (administrative) disolutions. rectives. Not all problems can be fixed by these The team thinks that analysis will standard solutions. take too long so decides not to do it. The purpose of system analysis is to come up with The team analyses how the process specific and different types of solutions. is supposed to work rather than **Use analysis** to find the root cause of problems. study how it actually works. Try to find the few key factors that account for The team does not have the people most of the problem (Pareto's principle). who are responsible for doing the work that needs to be improved, so Help the teams think about how reorganization deep analysis cannot be achieved. of the process of care can help in fixing the problem. The hospital management or the health care team ends up blaming Use the **right tools**. For example, individuals. Flow charts to track the steps of care and The team tries to use multiple tools, movement of patients through the system all at once, to fix the problem. Fishbone analysis to categorize different prob-Pareto chart to identify the most important causes 'Five Whys' to identify root cause

# **GENERATING CHANGE IDEAS**

# **Key points**

The only way to deliver better care for your patients is by doing something differently. This requires making changes.

After identifying the problem in the analysis stage, the team needs to come up with some change ideas

Change ideas will improve care if

- 1) they are the right ideas
- 2) they are put into action
- 3) they are properly adapted to the local context

Tips to support teams to come up with change ideas that meet these three criteria include:

# The right idea:

- Being careful with analysis helps teams develop good change ideas
- Helping teams think about all the steps that will link, implementing the change to getting the outcome you want.
- Never assume the idea is correct and will definitely succeed unless the team has tested it in the local context.

Putting the change idea into action:

- Healthcare workers are more likely to adopt changes if they are part of the team right from the planning stage. Having the people who will have to change is crucial to coming up with change ideas that you want to implement.
- Involving individuals who have authority to get the idea implemented is also crucial.

Adapting it properly to the local context:

 Almost all change ideas need to be tested and adapted (see next section) to local situation

# **Common reasons for failure**

- Change idea did not come from a careful analysis. The team is doing 'more of the same' - waiting for more resources, doing more training, giving more orders
- The idea is imposed by senior people and the 'workers' do not buy in to it or carry it out.



# **DEVELOPING A MEASUREMENT SYSTEM**

	Key points		Common reasons for failure
	Do not scare people with technical jargon, sophisticated theory and math around measurement. People do not need to learn this in the initial phase.  There is not enough time to explain the more complex issues around measurement in this training and it is not helpful to introduce complex ideas without demystifying them.  Instead focus on the basics:	_	Data collection is prioritized over undertaking changes.  Too much data are collected and not enough are used or acted upon.
_	Looking at <b>data over time</b> is crucial and more frequent measurement (daily or weekly) is better than less frequent (monthly).		
_	Only collect the data that you are going to use		
_	If possible, try to have both a process and outcome measure. Sometimes it may not be practical to have both types of measures: For example, to improve Vit K administration in newborn babies we cannot feasibly measure the negative outcome of bleeding. So we only measure the process of Vit K administration for the purposes of the QI project.		
_	If possible, try to use data that are already recorded in the health facility or that will be very easy to collect.		



# **TESTING CHANGES**

Key points	Common reasons for failure
<ul> <li>Most new ideas do not work without adapting them to the local setting.</li> </ul>	<ul> <li>Not enough small scale testing undertaken</li> </ul>
<ul> <li>Testing new ideas to learn if they are working or to try entirely new ideas is critical for getting im-</li> </ul>	<ul> <li>The team does not discard or modify the changes that did not work.</li> </ul>
<ul> <li>Encourage your team to plan some PDSA cycles that only take place on one or two patient initially or can be completed in a few days.</li> </ul>	<ul> <li>The team does not come up with new ideas based on what they have learned from previous tests.</li> <li>The team goes ahead with a test on a larger scale without knowing if the change idea will work.</li> </ul>

# STEP 5

# **SUSTAINING IMPROVEMENT**

Key points	Common reasons for failure
For successful ideas to become a part of routine work, it requires concrete action in the form of new guidelines, job responsibilities and stand-	<ul> <li>Successful change ideas are dumped after an improvement pro- ject ends.</li> </ul>
ard operating procedures in the health facility to which everyone has to comply.	<ul> <li>Ignoring the previous improvement activities once team embarks on a new QI activity.</li> </ul>
	<ul> <li>Not assigning a lead person for a completed QI project, who can share continuously the data and gains.</li> </ul>
	<ul> <li>Efforts are not made to scale up small successes across different staff, shifts, units, and departments in the hospital/ health facility.</li> </ul>
	<ul> <li>Not engaging staff beyond improvement team.</li> </ul>
	<ul> <li>Not changing the system to support the improvement.</li> </ul>





# **IDENTIFY PROBLEM, TEAM AND AIM STATEMENT**

What problem do you want to solve?
Who should be on your team? Member names and designation:
Team leader:
Recorder:
Date of first team meeting:
What is your aim statement?



# **IDENTIFY PROBLEM, TEAM AND AIM STATEMENT**

What tools will you use for the analysis?					
What information do you want from each tool that you plan to use?					
Dovolon Changes					
<b>Develop Changes:</b> What changes do you think will help solve improve care?	the problem and why do you think it will				
Change	Why do you think it will improve care?				





# **DEVELOPING MEASUREMENT**

What measures will you use?	
Process Measure:	
Numerator:	
Denominator:	
Outcome Measure:	
Numerator:	
Denominator:	
How will you collect the data?	
Process measure:	
Person responsible for data collection:	
What data sources will you use?	
What baseline data will you collect?	
How frequently will you collect and review data?	
Outcome measure:	
Person responsible for data collection:	
What data sources will you use?	
What baseline data will you collect?	
How frequently will you collect and review data?	

# **TESTING CHANGES**

PDSA cycle 1		
	Change to be tested	
	Who will test? (if this person is not on the QI team, he/she should be added)	
Plan	Over how much time will the test be done?	
	When will it take place?	
	What will you measure?	
	What do you predict will happen?	
Do		
Study	When will the team meet to review?	
Act		
PDSA cycle 2		
	Change to be tested	
	Who will test? (if this person is not on the QI team, he/she should be added)	
Plan	Over how much time will the test be done?	
	When will it take place?	
	What will you measure?	
	What do you predict will happen?	
Do		
Study	When will the team meet to review?	
Act		

# **SUSTAINING IMPROVEMENT**



# IDENTIFYING A PROBLEM, FORMING A TEAM AND WRITING AN AIM STATEMENT

Why i	is this	a good	l aim?
-------	---------	--------	--------

Why is this a good aim?	
Can you get results quickly?	
What extra resources do you think will be required?	
How important is the aim to the QI team - has the team used the prioritization matrix?	
Who else will think the aim is important?	
How can you motivate others to support this initiative?	
<b>Why is this the right team?</b> Do you have pe	eople on the team who are:
Enthusiastic about fixing this problem?	
Involved in delivering care related to this problem?	
Influential enough to get more people involved?	
STEP 2	
ANALYZING THE PROBLEM AND DEVELO	PING CHANGE IDEAS
Why is this the right analysis plan?	
Will the tools you have chosen help you to identify the right changes?	
Do you have people on the team who can	

# Will these changes address the root cause of the problem?

How do the changes you are planning address what you found in your analysis?	
, ,	
If all of your changes are related to education or management directives, how sure are you	
that lack of information or lack of direction is the root cause?	



# **DEVELOPING MEASUREMENT**

# Why is this the right measurement plan?

How difficult will it be to collect the data?	
Easy to measure valid data?	
Are these new data variables?	
Can you review these data frequently?	
What will be the plan to share and analyse the data?	

STEP 4	
SIEP 4	

# **TESTING CHANGES**

# How easy will it be to put these changes into action?

Were the staff who will have to make these changes involved in picking them?	
Will you need to change anything else to test these changes?	

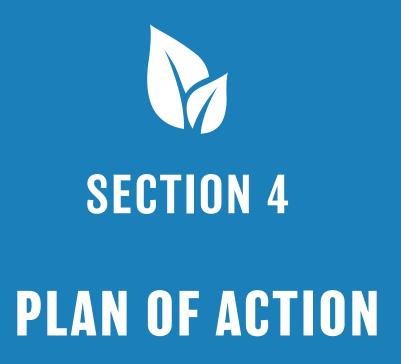
# Are you making sure that you can learn as much as possible from your tests?

Is there any way of doing the testing faster?	
What will you do if the change does not work?	

# STEP 5

# **SUSTAINING IMPROVEMENT**

How should we get other people involved?	
How can the organization and its leaders promote improvement?	

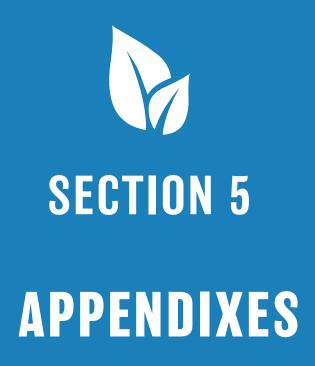




By now you must have ideas on how to practice QI projects in your own hospital/health facility. As hospital team, please prepare a plan of action to undertake upon returning to your duty station. Please use the table to prepare such a plan and complete this exercise in 15 minutes.

Be prepared to share the activities you have identified in the plenary feedback session (15 minutes).

Date of Planning	Activity	Why are we doing this/ what output is expected?	Responsible Person	By when will this be done?	Status (Not started, In progress, Completed)	Comments; Extra re- sources needed



## **APPENDIX 1: STEPS IN CONSTRUCTING A PARETO CHART**

	Steps in constructing a Pareto Chart:	
1	List all the possible categories/groups	
2	Collect the data - how many of each	
3	Arrange the categories/groups from the highest to the lowest	
4	Calculate the total, add up the frequencies	
5	Calculate percentage for each category/group	
6	Calculate the cumulative percentage	
7	Draw the axes:	
	horizontal: categories/groups	
	vertical left: frequencies/ 'raw' data	
	vertical right: cumulative percentage	
8	Plot the data in your graph	

# **APPENDIX 2: STEPS IN DEVELOPING A PRIORITIZATION MATRIX**

1	Create a table/matrix	
2	List all the health problems in the left most column (vertically)	
3	List all the criteria for rating in the top row (horizontally)	
4	Ensure you have one empty column on the right side of the table/matrix for your priority scores	
5	Determine a rating scale, for example:1 (strongly disagree), 2 (disagree), 3 (neutral), 4 (agree), and 5 (strongly agree)	
6	Weight the criteria, some criteria may have a different level of importance so you may way them twice or three times other criteria which are less important	
7	Rate the health problems against each of the criteria	
8	Calculate the priority scores	
9	You can now identify which health problem has highest priority	

# **APPENDIX 3: STEPS TO IN CONSTRUCTED A PROCESS MAP**

1	Define the process boundaries - beginning and end	
2	Spell out the focus of the process map: a Patient/Client; an expenses claim form; a patient folder etc.?	
3	Be clear on what you are mapping: current or ideal process	
4	Show the steps of the process	
5	Follow one path at a time at decision points	
6	Defer for future completion if team lacks the detail understanding to complete a section	
7	Review the completed diagram	

# **APPENDIX 4: STEPS IN CONSTRUCT A FISHBONE DIAGRAM**

1	Define problem (negative form)	
2	Draw a line horizontally along the page. This line will be the "spine" of the fish.	
3	Draw the head of the fish and write the problem inside.	
4	Brainstorm and identify the main categories (causes) contributing to the problem (ensure to include internal and external causes	
5	Draw the "bones" - label each bone with a main cause using a noun. All the bones/lines should point in the direction of the problem	
6	Brainstorm on sub-causes and why for each of cause - write them alongside the bones	
7	Review the diagram	
8	Cive an appropriate title	
9	Identify areas where immediate changes can be tested	

### APPENDIX 5: STEPS INVOLVED IN CONSTRUCTING A RUN CHART

## The following are the steps involved in constructing a run chart:

- State the question that the run chart will answer and obtain data necessary to answer this questions.
- 2. Develop the horizontal axis. This is usually is in a time scale (days, weeks, months, quarters, years etc.).
- 3. Develop the vertical axis.
- 4. Estimate the range (the smallest value to the largest value) of the data points to be plotted on the vertical axis
- 5. Then use this range to develop a vertical scale for the run chart
- 6. Be sure to construct your vertical scale so that it is high or low enough to encompass variation in future data and reference values such as your goal or a benchmark if it is meaningful to the chart
- 7. Plot the data points.
- 8. Make a dot or another symbol
- 9. The dot should always be distinguishable from the line
- 10. The data are communicated through the dots, not the line
- 11. Label the graph completely with a useful title.
- 12. Label the horizontal axis with the sequence of data (case 1, case 2 or Jan, Feb and etc.)
- 13. Label the vertical axis with the name of the measure or characteristics that you are studying
- 14. Calculate and place the median of the data on the run chart.
- 15. Add additional information to the chart.
- 16. A goal or target line if appropriate
- 17. Annotate unusual events, changes tested or other pertinent information at an appropriate time location

# **APPENDIX 6: PRESENTATION SKILLS CHECKLIST**

PRESENTATION SKILL	Check (√) when completed
INTRODUCING THE PRESENTATION	
State the objective(s) of the presentation.	
Use a variety of introductory technique to capture interest and create a positive learning climate	
USE EFFECTIVE PRESENTATION SKILLS	
Introduction followed by a smooth transition into the body of the lecture	
Follow a plan and use an outline.	
Use visual aids to illustrate and support main points	
Communicate clearly with students( communicate in a way that is easy to understand)	
Project your voice, move about the room, and maintain eye contact	
Display enthusiasm about the topic and its importance	
Provide clear transitions between topics,.	
Interact with students by asking and responding to questions, using their names, and providing feedback	
USING QUESTIONING TECHNIQUES DURING A PRESENTATION	
Ask a question of the entire group	
State the question, pause, and then direct the question to a specific student(by using that person's name)	
Provide positive reinforcement and repeat correct responses	
Respond to partially correct answers (compliment and then correct) or redirect until the correct answer is revealed.	
Restate the question when the answer is incorrect and redirect the question	
SUMMARIZING THE PRESENTATION	
Stress the main points and relate information to the objectives	
Provide an opportunity for questions	

# **APPENDIX 7: FACILITATE A CASE STUDY**

	Check ( $$ ) when completed
Introduce the case study and read the learning objectives aloud	
Read the case study, If possible, provide a copy of the case study as a handout to students	
Provide clear directions, including How to complete the case study (individual/group) How to present(report orally/written) the answers, Reaction activity The time limit or due date	
Allow time to analyze the case	
While the group works Move round Remind time	
After students have read the case study, either individually or in small groups, Given the opportunity to react to it. (Ask students to share their responses to the discussion questions Ask why to reason out their response Validate correct responses Provide clear feedback on incomplete or incorrect responses, including an explanation of why the response is incomplete or incorrect	
Summary	
Summarize the key points about the case and link back to learning objectives:	
Confirms key points and adds any other points that the group may have missed	

## **APPENDIX 8: TRAINING EVALUATION TOOLS**

## 8.1. DAILY TRAINERS DEBRIFING MEETING GUIDE

This guide is to be completed by the trainer and his/her co-trainers and be reflected on the debriefing meeting at the end of the daily's training (consider features of participants, content delivery overall context of the training course)

**To be discussed and submitted for the lead trainer
What were major participants' issues given verbally or at their daily evaluation format
What worked well? And What was a success?
****Include personal strengths of self and other trainers present today in addition to overall training delivery and context
What were the problems or limitations?
What were the problems or limitations?
****Include personal problems and limitations of self and other trainers present today in addition to participants issues and overall training delivery and context
NA/legt records to be about and are increased. In all religions the apptions to be talked?
What needs to be changed or improved, Including the actions to be taken?
Specific action plan items for tomorrow and future changes in teaching?
Date
Trainer name
Module presented (or other activity performed by the trainer filing this form)

## 8.2. DAILY EVALUATION FORM

The purpose of this daily evaluation tool is to assess how participants felt about the training program so that make all appropriate intervention to improve the training. Please read the statement and rate your response honestly.

DAY	(State the Date)	Session covered	d today		
Aspect/Areas	s of training		Agree	Neutral	Disagree
Trainer (s)					
	as well organized (arrive on time a Il the learning resources) and role				
The trainer m	asters the subject matter content	-			
	reating positive learning environn I comfortable, etc)	nent (treat respective-			
communicat	as use effective facilitation skill (di e in the way that is easy to unders dents, use loud voice , etc)				
The trainer er learning mat	ncourage me to maximally engag erials	e / participate in the			
objective of t	as effectively facilitate group learr he group activity, give clear direct feedback, and summarize the ac	ion, give opportunity			
Session obje	ctive, content & Delivery				
Objectives of	the session was clear for me				
Content was responsibility	relevant to me (related to my cur )	rent or future role &			
The content	was organized in logical sequenc	е			
	methods and activities allow me stice, reflect)	to maximally engage			
Time allocate objectives	ed to the session is adequate to ac	thieve the session			
Logistic					
Training venu	e/hall, seating arrangement, tea/o	coffee breaks was			
What did you	ike most?				
What did you	ike least and need to be improve	d?			

Any Other comment \_\_\_\_\_

## 8.3. END OF TRAINING EVALUATION

The purpose of this end of training evaluation tool is to assess how participants felt and learn about the training program so that make all the necessary action to improve the future training. Please read the statement and rate your response honestly

Aspect/AREA of the training	Agree	Neutral	Disagree
Trainer /facilitators			
The trainers were well organized (arrive on time and comes with prepared with all the learning resources) and role mode			
The trainers masters the subject matter content			
Trainers were creating positive learning environment (treat respectively, help to feel comfortable, etc)			
The trainer were use effective facilitation skill (display enthusiasm, communicate in the way that is easy to understand, Keep eye contact with students, use loud voice, etc)			
The trainer were encouraged me to maximally engage / participate in the learning materials			
The trainer were effectively facilitate group learning activities (tell, the objective of the group activity, give clear direction, give opportunity to react, give feedback, and summarize the activity etc)			
TRAINING objective, content, and DELIVERY			
Training goal and objectives were clear and achievable			
The scope and the difficulty level of the training were appropriate for me			
The topics/sessions covered for this training were adequate.			
The module session was well organized in logical sequence			
All Training content in each sessions were relevant and directly related to my current and/or future job and responsibilities			
The learning methods and activities allow me to maximally engage (to think, practice, reflect)			
The training balance both theoretical and practical parts			
The duration of the training were adequate to achieve the training goal and objectives			
Training materials and resources were up-to-date, clear and helpful			
Over all The training help me to acquire all the essential KAS and make me confident to perform QI activities			
Training logistic and coordination			
Training venue/hall, seating arrangement, tea/coffee breaks , toilets etc were appropriate and convenient			
The Training coordination were alright			
Over all, how do you rate the following aspects of the training?			

		Poor	•			Excellent	
Trainer/facilitators competence	1	2	3	4	5		
Training design and delivery	1	2	3	4	5		
Training logistic and coordination	1	2	3	4	5		
What do you like most from this train	ing?						
What do you like least that need impl	rovemer	nt					

Any idea ,	/ comment/ feedback/suggestion?	?	
-			

### 8.4: ON-SITE PERFORMANCE OBSERVATION CHECKLIST

AREAS OF OBSERVATION	
Trainee Meet his/her supervisor as soon as after the QI training ends to discuss action plan, the resources and support needed and expected changes	
Trainee Update all staff shortly after the training and share any materials	
Trainee plan on-the-job- QI training course (Selecting of participants, class room arrangement, course preparation)	
Conduct QI training	
Form QI team and help to define their roles and responsibilities appropriately	
Assist QI teams to conduct baseline assessment (tool development, data collection, analysis, action plan preparation)	
Help the team to identify and prioritize problems and write aim statement (Step 1)	
Help QI team to analyze problem (step 2)	
Help QI teams to generate and test ideas( step 3)	
meeting with facility leadership as a means of respect, building trust and relationships	
Observe clinical processes and provide clinical mentorship	
Facilitate QI team meetings	
Share learning between facilities and Document learning from multiple QI teams	
Help healthcare teams build their skills in improving care	

## **APPENDIX 9: QI TECHNICAL SKILLS**

# How should a coach build teams' QI technical skills?

People learn different QI skills at different rates. Some QI skills typically take longer to learn than others. The coach should not try to teach everything at the start. Instead, she should start by helping people to learn the easier skills first, and build their confidence in applying these skills to solve real problems. As people become comfortable with the easier skills, the coach should help them to build new skills to allow them to address increasingly complex problems.

It requires judgment to know when people are ready to learn more advanced skills. The table below outlines some of the skills that new teams usually pick up quickly and some which often take some time and experience for people to understand completely.

Some important QI skills for a coach to focus on with QI teams are listed in the table below. The coach should initially focus on the skills that are under the 'new QI team' column. Many problems are fixable just using these skills. As teams' progress and start working on more complex problems, the coach should help them use the skills in the 'more experienced QI team' column.

QI SKILL	NEW QI TEAM	MORE EXPERIENCED QI TEAM
Prioritizing problems and choosing good aims	Pick problems that are:  under the control of the team  easy to measure objectively  will not take too much additional time or resources to fix  important for all those who are involved	<ul> <li>Move on to more complex problems which may:</li> <li>need to involve team members from other units</li> <li>be more challenging to measure</li> <li>take longer to fix</li> <li>Help them focus on solving issues that lead to better outcomes of care as well as processes</li> </ul>
Working effectively in teams	Form a multi-disciplinary team involving representatives from all categories of staff whose work will need to change to reach the aim	Get more involvement and leadership from more junior members of the team (who often know the most about what is actually happening)
QI SKILL	NEW QI TEAM	MORE EXPERIENCED QI TEAM
Analyzing problems to find root causes	Use basic QI analysis tools – fishbone diagram, 5 Whys, Pareto principle, flowchart	Focus on identifying root causes related to 'place' and 'procedure' rather than 'people' and 'policy'
Developing Indicators and measurement plan	<ul> <li>Define simple indicators related to the aim</li> <li>Identify simple ways to collect the required data</li> </ul>	<ul> <li>Simplify data collection</li> <li>Understanding various types of measures process, outcome and balancing measures</li> </ul>
Understanding data	<ul> <li>Use data to know if there is improvement.</li> <li>Use MSExcel or other software to collect and display data.</li> <li>Plot data over time.</li> </ul>	Use and interpret run charts or control charts
Developing change ideas	Come up with simple doable ideas to reach the aim	Look for change ideas that move be- yond training or issuing orders
Testing and adapting changes	Test change ideas to see if they work	Try more ambitious ideas focusing on using smaller tests to adapt changes
Sustaining improvement	Based on the successful change, pre- pare standard operating procedures (SOPs) or policies to sustain improve- ments	Focus on changing systems to make improvements sustainable.

## **APPENDIX 10: FURTHER READING**

#### Websites:

All India Institute of Medical Sciences Quality Improvement: www.aiimsqi.org USAID ASSIST Project: www.usaid-assist.org

Institute for Healthcare Improvement: www.ihi.org

The International Society for Quality in Health Care: http://www.isqua.org/ HealthQual International : http://www.healthqual.org/

NHS Scotland Quality Improvement Hub: http://www.gihub.scot.nhs.uk/

### **Publications:**

Langley, Moen, Nolan, Nolan, Norman, Provost. The Improvement Guide: A Practical Approach to Enhancing Organizational Performance. 2nd edition, Jossey-Bass Pub., San Francisco, 2009

Provost, Murray. The Health Care Data Guide: Learning from Data for Improvement. 1st Edition. Jossey- Bass Pub., San Francisco, 2011.

Singh R, Singh M, Jha R, Sharma P, Livesley N. 2017. Improving Quality in Healthcare: A point of care case study. Technical Report. Published by the USAID ASSIST Project. Bethesda, MD: University Research Co., LLC (URC).

## **E-Learning QI course:**

https://www.usaidassist.org/resources/improving-health-care-quality-elearning-course

#### Videos:

A quality improvement initiative on breastfeeding practices among mothers of infants admitted to NICU. Available at: https://www.youtube.com/watch?v=XOEhoU2DJ6g

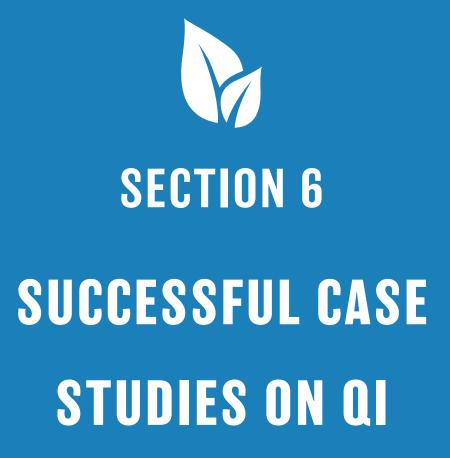
Quality improvement initiative in kangaroo mother care practices in NICU at AIIMS, New Delhi. Available at :https://www.Youtube.Com/watch?V=gondskp6mna

NICU quality initiative to improve admission temperature of preterm neonates < 32 weeks gestation. Available at :https://www.Youtube.Com/watch?V=knc9wokjnoo

A quality improvement initiative in NICU -improving the life of radiant warmer temperature probe involving mothers. Available at: https://www.Youtube.Com/watch?V=jumhywkrkl

Quality Improvement Initiatives in the Government of India's RMNCH+A Strategy: Lessons from Chamba, Himachal Pradesh. Available at: https://www.usaidassist.org/resources/quality-improvement-initiatives-government-india%E2%80%99s-rmncha-strategy-lessons-chamba-himachal

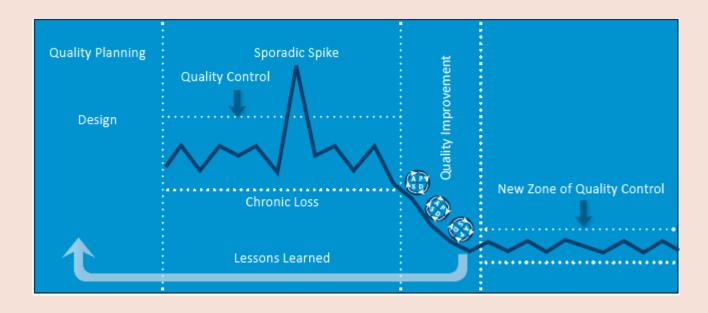
Common Pitfalls for New Improvement Teams: A Story from New Delhi, India. Available at: https://www.usaidassist.org/resources/common-pitfalls-new-improvement-teams-story-new-delhi-india





# Federal Democratic Republic of Ethiopia Ministry of Health

# Ethiopian Health Care Quality Bulletin



Continuous Health Care Quality Improvement through Knowledge Management

# Ethiopian Health Care Quality Bulletin

Continuous Health Care Quality Improvement through Knowledge Management

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# **Message from Medical Service General Director**

Ethiopia has achieved significant gains in the series of HSDP, where universal health coverage given the priority to address the priority health problems of the country. Despite the gains, still a lot remain in quality of health care service. Cognizant of this, the FMOH made quality and equity one of the four priority agenda of the health sector transformation plan. Built on the plan, the National Health Care Quality Strategy was developed and launched in 2016. The strategy aims in transforming the quality of health care in the country and gives due emphasis for experience sharing across facilities and institutions.

We all know that healthcare consists of many interlinked processes that result in a very complex system. And these complexities of healthcare operations and the vast amount of challenges we are facing make the undertaking of a quality improvement initiative seem like a distant possibility. But healthcare quality improvement is achievable when every organization identify the essential problems and begin the important work of addressing those challenges one by one by using an improvement model based on scientific methodology for which the quality improvement projects included in this bulletin are good examples.

We all play a crucial role in recognizing quality deficits within our organizations, identifying potential solutions, and driving quality improvement activities. To guide these activities and make efficient use of limited improvement resources, we need to know what works and what does not within a particular topic area. We need to engage in collaborative thinking and learn from each other to deploy proactive improvement methods.

Accordingly, we believe this healthcare quality bulletin will give us information about which QI interventions are effective and in what situations for possible adaptation in to our own context. This being the start, we envision to develop and make it a journal in the near future. Thus, we look forward to more of your contribution in future publications.

Yakob Seman

Director General, Medical Service General Directorate

## **Foreword**

In the national quality strategy, sharing best practices across facilities and institutions through which facilities and providers identify quality gap and solutions for the quality improvement has been given due emphasis. To this end, this quality bulletin is prepared and presented in two sections that includes: Major initiatives under the health service quality directorate and quality improvement projects and studies selected for learning. There are a lot of good ideas tested as presented in this bulletin and I hope it will help to further develop our collective knowledge and understanding of quality improvement in the healthcare.

This publication is made possible by the integrated effort of different individuals and organizations. Accordingly, I would like to acknowledge authors of the selected quality improvement projects and studies and their affiliated organization for sharing information and innovation. I also like to extend my appreciation to the Health Service Quality Directorate experts and the technical core group who played the crucial role in materializing this bulletin. Special thanks go to Dr Fitsume K. for coordinating and leading the core group in the review and editorial process. Lastly, I thank the World Health Organization for financially supporting the printing.

We can accomplish more when we share ideas and work together!

Dr. Hillina Tadesse

Director, Health Service Quality Directorate

# Ethiopian Health care quality Bulletin core team

Name	Organization	Position
Mr Yakob Seman	FMOH	Director General/MSGD
Dr. Hillina Tadesse	FMOH	Director/HSQD
Dr. Fitsume Kibret	FMOH	MNCH/QoC TA
Mr. Esayas Mesele	FMOH	Senior Advisor for Quality and Patient Safety
Prof. Telahun Teka	FMOH	Senior National Quality Advisor
Mrs Mekdes Assefa	FMOH	Monitoring and Evaluation Specialist
Dr. Mikiyas Teferi	FMOH	Case team coordinator
Dr. Berhane Redae	FMOH	Surgical care Advisor
Dr. Yunis Mussema	USAID	Child Health Advisor/ Program Development Specialist
Mr. Gizachew Tadele	JSI/L10K	Senior Monitoring, Evaluation and Research Advisor
Dr. Manuel K. Sibhatu	Jhpiego	Director/Safe Surgery 2020
Dr Berhanu Tekle	ICAP	Senior Quality Advisor
Dr Haimanot Ambelu	WHO	NPO/MPS
Dr. Azmach Hadush	WHO	MCH Coordinator

SECTION ONE: MAJOR INITIATIVES UNDER
HEALTH SERVICE QUALITY DIRECTORATE

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# Maternal Newborn and child health Quality of Care initiative

Following the launch of the Global strategy for women's, children and adolescent health (2016-2030), WHO developed a vision for quality of care in maternal and new born health services which sees a future in which "every mother and newborn receives quality care throughout pregnancy, childbirth and postnatal period" with a conceptual framework that encompasses **the provision as well as the experience of care** and embedded within **health system functions**.

To operationalize the vision, WHO, member states and partners have established a WHO-led Network to improve quality of care for mothers, new-born and children reinforced by the core values of quality, equity and dignity. This global Network was launched in 2017 with membership of 10 pathfinder countries, which Ethiopia is one of them. It is a country-led initiative which builds on domestic resources and national structures for quality of care and.

The Goal of the QED MNHQOC initiative is to halve institutional maternal and new-born deaths in health facilities in the learning districts and improve experience of care over a period of 5 years.

This initiative has four strategic objective named as LALA:

- **Leadership**: Build and strengthen national institutions and mechanisms for improving quality of care in the health sector.
- **Action**: Accelerate and sustain implementation of quality of care improvements for mothers and newborns.
- Learning: Facilitate learning, share knowledge and generate evidence on quality of care.
- Accountability: Develop, strengthen and sustain institutions and mechanisms for accountability for quality of care

In the last couple of years in implementing the QED MNH QoC initiative, FMOH has developed MNH QOC roadmap; adapted MNH QOC standards based on WHO guidance and conducted capacity building activities for health workers. The roadmap is being operationalized through annual FMOH and RHB plans. Technical working groups are established at national and regional levels and partners mobilized for a harmonized support to improve MNH QOC. Fourteen learning sites across the country were selected; an implementation package prepared and national and subnational stakeholders oriented on the learning site MNH QOC initiative.

Baseline assessment using the fifteen core indicators of the initiative has been conducted in the 48 learning facilities to guide quality improvement activities. Currently, prospective dataon the core indicators is being collected on monthly bases for progress tracking of the initiative.

As part of the initiative, the second global summit of the network was hosted by Ethiopia in the month of March 2019, where 250 participants from 25 counties participated. As the main purpose of the network is partnership for learning, quality improvement experiences from the the network countries along with innovations from the global community were shared. Ethiopia shared experience on MNH quality improvement through poster presentation and a bulletin that has 18 Quality improvement projects published and disseminated to participants of the summit.

Despite the overall achievements to date, a lot still remains to be done to improve use of dash board for monitoring and accountability, regularity and uniformity of the learning system, conducting regular facility level clinical audits for problem identification, regular supervision from the district to the learning facility consistency in QI coaching approaches among supporting partners.

It is believed that the QED MNH QOC initiative is a pathfinder where by other health programs can learn from and apply quality improvement in their area of work. The lesson from implementing the MNH QOC initiative has provided an opportunity for the health service quality directorate in paving the way to address existing quality gaps in the remaining priority areas of the national quality strategy.

# Saving Lives through Safe Surgery (SaLTS) initiative

The Ethiopian Federal Ministry of Health (FMOH) implemented the Health Sector Development Program 1–4 successfully that helped reform the nation's health system in the last 20 years. Currently, the FMOH launched the fifth strategic plan, called the Health Sector Transformation Plan (HSTP), which aligned with country's second growth and transformation plan. The HSTP has identified quality and equity as a cornerstone of the transformation agenda focusing mainly on essential and emergency safe surgical and anesthesia care, in addition to maternal, neonatal and child health; nutrition; chronic non-communicable diseases and infectious diseases.

Following the launch of the HSTP, and in response to World Health Assembly resolution of A68/15, the FMOH designed Saving Lives Through Safe Surgery (SaLTS) flagship initiative with a goal to make emergency and essential surgical and anesthesia care accessible and affordable as part of the universal health coverage.

In implementing this initiative, the FMOH developed a strategic plan with a focus on on availing a package of essential and emergency surgical and anesthesia care at all levels of the health care delivery system. The objective of the initiative is to ensure the delivery of quality, safe, essential and emergency surgery throughout the country to alleviate the national burden of diseases, disability and death that are preventable through safe surgery. The plan places special emphasis on strengthening primary care to provide essential surgical care. The SaLTS strategic plan has eight pillars.



Figure 1: The eight pillars of the SaLTS strategic plan

To improve equitable access to high-quality and safe essential and emergency surgical and anesthesia care as part of the universal health coverage, the SaLTS strategy has the following objectives:

• To implement a nationally coordinated national plan on surgical care.

- To define and implement an essential surgery package for all levels of the Ethiopian health care delivery system.
- To create better awareness on surgical and anesthesia care with different stakeholders.
- To improve the safety of surgical care by implementing the surgical safety checklist and improving the safety culture.
- To implement a quality improvement and audit tool in surgical care.
- To proactively identify best practices and scale up rapidly through the Ethiopian Hospital Alliance for Quality (EHAQ).

The ministry of health has been implementing the SaLTS initiative since 2009 EFY, in collaboration with the SS2020 program funded by GE foundation. Since then, a lot has been done to improve the access, safety and quality of surgical service which includes producing guide lines and documents like (SaLTS strategic plan, peri op guide line, Surgical Mentorship guide line, Anesthesia Road map and Day Care Surgery Guide line), building the health center OR blocks, supporting primary Hospitals through surgical mentorship, Supporting primary Hospitals to start the surgical service, working with Hospitals towards increasing the OR efficiency, Decreasing the surgical back log in the Hospitals, Different capacity building trainings for the surgical team in the Hospitals and introducing new services like Day Care surgery are some of the major activities which has been done by through the SaLTS initiative.

Though a lot needs to be done to fill the unmet gap between the need and service availability of the surgical care, the quality of the care being provided for those who have the access should also be increased, the major challenges here are the absence and shortage of infrastructure (water and electricity), the lack of medical supplies and equipment's, the acute shortage of the surgical work force especially anesthesia providers.

# **Learning Health Facility initiative**

In the last years, the Ethiopian FMOH has been implementing different learning mechanisms like EHAQ, EPAQ, and regular annual quality summit with the objective to learn from experience sharing and collaborative learning. The process contains assembling and analyzing data, interpreting the findings, feeding the findings back to the system, changing the practice and scaling up the best practice to other institutions in the system.

Cognizant of the real situation on the ground and learning from the past, more importantly the importance of learning system for quality improvement, Learning Health Facility initiative designed and set in Health Service Quality Directorate with a goal to create a quality culture in selected learning health facility.

## **Objectives of the learning facility initiative includes:**

- ❖ Strengthening QI and clinical governance unit that have appropriate number of professional mix with clear roles and responsibilities.
- ❖ Strengthening the learning system that continuously produces relevant data, measures performance and outcomes, and translates those data into action.
- ❖ Making the learning facilities to be a benchmarking site for others

The initiative give emphasis on learning facilities being supported to learn from their performance, work on quality improvement projects and share to others the results they have got from their efforts. It also identifies best performers and determines the basis for their success. This set of intentional processes for actively learning and improving the health system is a goal that should be articulated and demonstrated first by the actions of senior leadership and subsequently echoed by middle management and the front-line staff. Learning health system with high quality data, energetic and engaging staff, and adequate government support is very sole ingredient in provision of high quality health care delivery. Taking these core points together, the FMOH has designed a framework for this initiative (Fig 1).



Fig1. Learning Health Facility Quality Improvement Framework.

This initiative has been launched in January 2011 EFY, and is being implemented in selected 30 Federal and Regional hospitals. The support package for the learning facilities includes: Technical support, Supportive Supervision, Need based quality improvement training, Mentorship, Financial support and Material support.

Since the launch, orientation provided to the management of the hospitals, collection and analysis of base line data on the selected quality of care measures and joint supportive supervision has been cascaded as part of major task. The encouraging start of these facilities in implementing the initiative, most have developed a quality improvement project based on the identified gaps. The ministry in collaboration with the regional health bureau and the facility I management would track each of these quality improvement projects for learning and wider dissemination.

SECTION TWO: QUALITY IMPROVEMENT
PROJECTS AND STUDIES SELECTED FOR
LEARNING

# Quality Improvement for Better Vitamin A Uptake at Community Level, Ethiopia

Authors: Zenebu Yimam<sup>1</sup>, Lioul Berhanu<sup>1</sup>, Tamirat Abebe<sup>1</sup>, Leyila Shemsu<sup>2</sup>, Tasew Tadesse<sup>2</sup>, Abdulbasit Hamza<sup>1</sup>,

Roman Oumer<sup>1</sup>, Birhanu Gonfa<sup>1</sup>, Belete Alemu<sup>2</sup>, Tamirat Teferi<sup>2</sup>

Affiliation: 1. Save the Children/USAID, Growth through Nutrition Project, Addis Ababa, Ethiopia

2. Federal Ministry of Health, Addis Ababa Ethiopi

### **Abstract**

**Background:** Vit-A deficiency in children 6-59 months is the major public health problem in Ethiopia. Vitamin A supplementation reduced death from measles by 50%, from diarrhea by 40% and overall child mortality by 24%. Vitamin A supplementation coverage in Growth through Nutrition activity supported health facilities was 57%, 18% and 68% in Yefereziye HP, Tede HC Gino HC, respectively. The objective of this project was to improve the uptake of Vit-A supplementation in these, Growth through Nutrition supported facilities, Ethiopia.

**Methodology:** The health centers applied Model for Improvement (MFI) along with Kaizen 5-S in Under five children unit and HP. HEWs and health workers were interviewed and pictures have been taken before and after applying Kaizen 5-S.

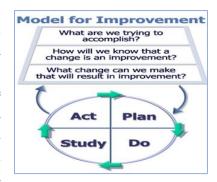
**Result:** After the intervention (MFI and Kaizen 5-S), Vit-A supplement increased from 54%-86% (Yefereziye HP), 18%-100% (Tede) and 68%-100% (Gino). Tested change ideas include community mobilization, HEWs and HDAs linkage, orientation on Vit-A and peer supervision.

Conclusion: Uptake of Vit-A supplementation increased significantly by applying MFI that result in reducing child morbidity and mortality through prevention of Vit-A deficiency in children aged 6–59 months. Improvement has shown that there is a need to involve HDAs in mobilizing community, strengthening linkage between HDAs and HEWs, monitoring performances on a regular basis to improve vitamin A uptake and sustain the program. In general, this QI project proves that HEWs and HWs, thereby PHCUs can apply MFI and use data for decision-making at community level to improve delivery of quality services.

To site: Yimam Z, Berhanu L, Abebe T, Shemsu L, Tadesse T, Hamza A, et al. Quality Improvement for Better Vitamin A Uptake at Community Level, Ethiopia. EHQB 2019; 1: Page 9-13.

## Introduction

The World Health Organization (WHO) recommends that all children aged 6–59 months should receive Vitamin A supplements if they live in a community where VAD is a public health problem. Vit A deficiency in children 6-59 months is the major public health problem in Ethiopia. Vitamin A supplementation reduced death from measles by 50%, from diarrhea by 40% and overall child mortality by 24%. Growth through Nutrition Activity is a multisectoral USAID funded



nutrition and WASH project (2016-2011) designed to improve the nutritional status of women and young children focusing on the first 1,000 days. It is implemented in the four agrarian regions of the country. Working through the health system, Growth through Nutrition aims to improve utilization of quality nutrition services in Ethiopia. The project supported primary health care units (PHCUs) in implementing MFI and Kaizen 5-s to develop health facility capacity to identify issues, implement changes and track progress in the effective delivery of nutrition services. These models were drawn from FMOH National Health Care Strategy, Quality Improvement training manual and Growth through Nutrition's past experience.

Vitamin A supplementation coverage in Growth through Nutrition activity supported health facilities was 57%, 18% and 68% in Yefereziye HP, Tede HC Gino HC, respectively. The objective of this QI project was to increase the uptake of Vit A supplementation from 54%-80% (Yefereziye), 18%-80% (Tede) and 68%-80% (Gino) among eligible children.

### **Methods**

To initiate the process, health facility personnel and woreda working on nutrition were trained in the MFI, Kaizen 5-s, and tools. Staff developed the skills to identify root-causes of problems using tools including Five Whys and cause-and-effect diagrams, and how to implement the process for quality improvement in their health facility. After the training the staff formed PM & QI teams at their facilities composed of one representative from each case team including a staff member involved in records and management systems and a person designated to be the QI officer as per the national recommendation. three sub-teams are formed at ANC, under five children units and HP to closely monitor performance and be flexible enough to respond to the ongoing challenges of quality improvement. HEWs, three HDAs' representatives, Kebele coordinator and HEW's supervisor are members of QI sub-team at community or health post level.

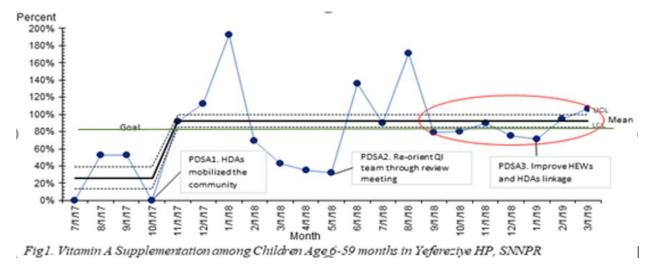
In addition, facilities used checklists using LQAS technique to measure performance of the nutrition services and data quality in a continues manner. LQAS is a classification technique designed to identify areas of 'adequate' or 'inadequate' performance using small sample size.

Vitamin A supplementation is one of the key nutrition performance indicators. Baseline data collected before the intervention using HMIS registers and client charts, observation and HEWs and health workers were interviewed and pictures have been taken before and after applying Kaizen 5-s. Run chart and control charts are used to establish whether the observed outcomes were due to the intervention.

## Result

Quality improvement teams identified vitamin A supplement gaps, prioritized, developed aim statement, set aim, identified the root-causes of the problems using Fishbone diagram, set indicators, selected change ideas and tested using Plan, Do, Study and Act (PDSA) cycle at both HC and HP level, (see Fig 1-3).

In Yefereziye HP, the teams decided to test community mobilization using HDAs, reorientation of QI team through review meeting, and improve HEWs and HDAs linkage. The team increased the provision of vitamin A supplement from a starting point of 54% to 86% of targeted population. The P-chart exhibits strong special causes and consistent with a rising percent of children 6-59 months who received Vit-A. The control chart revealed that there are shift and too many and few improvement signals and the process is stable starting from September 2018 (see fig 1).



In Tede health facility, the team increased the provision of vitamin A supplement from a starting point of 18% to 100% of eligible clients. Change ideas include staff orientation on vitamin A and report and requisition form, and put a peer supervision system in place. The control chart showed significant improvement after applying change ideas and the signals are trend, shift and too many

and too few (see fig 2).

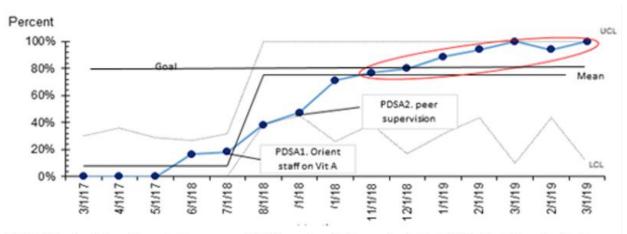


Fig2. Vitamin A Supplementation among Children Age 6-59 months in Tede HC, East Oromia Region

In Gino HC, the teams decided to test staff orientation on vitamin A and monitor data on monthly basis. The team increased the provision of vitamin A supplement from a starting point of 68% to 100% of eligible clients. The P-chart exhibits strong special causes and consistent with a rising percent of children 6-59 months who received Vit-A. The control chart revealed that there is a shift signal (see fig 3).

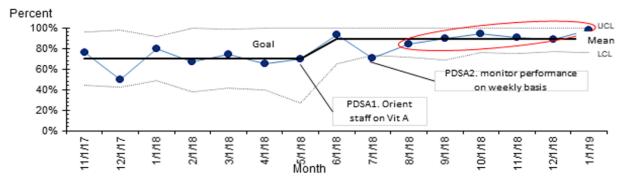


Fig3. Vitamin A Supplementation Among Children Age 6-59 month in Gino HC East Oromia

Most of facility staff involved in the QI process reported that before the intervention, they did not give due attention to nutrition service including vitamin A supplementation, monitor performance less frequently, the coaching or mentoring support was not strong and regular, less engagement of HDAs to improve nutrition services and limited data use for decision-making. After the intervention, facility staff started to give emphasis to nutrition services, monitor and utilize data for decision-making, improve HEWs and HDAs linkage result in improving vitamin A uptake sustainably.

## Limitation

Particular issues identified as limitations during the QI process were high staff turnover in facilities reduced the capacity to implement the approach a few staff did not see the value in what they perceived as "extra" work and limited engagement of zonal health department.

## **Lessons Learned**

The QI process worked best when the nutrition assessment conducted and performance monitored on a regular basis, strong and frequent coaching by trained Woreda health staff, the community QI team frequently coached by trained HEW's supervisor, HDAs actively involved in the process, involving all health facility staff in QI training or orientation, and clarifying their specific roles in the QI process and the outcomes desired also contributed to success.

### Conclusion

Uptake of Vit-A supplementation increased significantly in Yefereziye HP, Tede HC and Gino HC by applying MFI that result in reducing child morbidity and mortality through prevention of Vit-A deficiency in children aged 6–59 months. Thus, there is a need for involving HDAs in mobilizing community, strengthening linkage between HDAs and HEWs, monitor performances on a regular basis to improve vitamin A uptake and sustain the program. Project showed that HEWs and HWs can apply MFI and use data for decision-making at community level to improve delivery of quality services. The QI process has put the responsibility for identifying and seeking solutions to poor quality of services in the hands of service providers and helped them to realize their ability to identify and address the gaps in service provision. The QI models need expanding to additional health facilities through training, and by offering study and learning visits across facilities to share their experiences and best practices in improving the quality of nutrition and related services. QI models will serve as an important means to achieving the 2015-2020 Health Sector Transformation Plan which emphasizes the need to improve quality of health programs.

# Improving Iron and Folic Acid Supplement Uptake by Pregnant Women at Primary Health Care Unit in Ethiopia

Authors: Zenebu Yimam<sup>1</sup>, Lioul Berhanu<sup>1</sup>, Mulawshum Zewdu<sup>1</sup>, Abebaw Ayele<sup>1</sup>, Addis Zikargie<sup>2</sup>, Tilahun Mequanint<sup>1</sup>, Wubhareg Dellie<sup>2</sup>, Tamirat Abebe<sup>1</sup>, Tasew Tadesse<sup>2</sup>, Gutema Jambare<sup>2</sup>, Hailu Gebisa<sup>1</sup>, Gizachew Eshetu<sup>1</sup>, Roman Oumer<sup>1</sup>, Birhanu Gonfa<sup>1</sup>, Belete Alemu<sup>2</sup>

Affiliation: 1. Save the Children/USAID, Growth through Nutrition Project, Addis Ababa, Ethiopia 2. Federal Ministry of Health, Addis Ababa, Ethiopia

### **Abstract:**

**Background:** Deficiencies in iron and folic acid (IFA) during pregnancy can potentially negatively impact the health of the mother, her pregnancy, as well as fetal development. Use of IFA supplements is associated with a reduced risk of iron deficiency and anemia in pregnant women. IFA uptake of pregnant women was 57%, 0%, 35% 54% and 42% in Emdibir, Gino, Yetmen, Walkitane Gudane and Gelamatebia health centers, respectively, so the aim of the project is to increase IFA uptake of pregnant women in these health centers in Ethiopia.

**Methodology:** Facilities applied Model for Improvement (MFI) along with Kaizen 5-s in ANC clinics. Quality improvement teams of each health center formed PM and QI team, identified problems, prioritized, developed aim statement, set aim, identified the root-causes of the problems using Fishbone diagram, set indicators, selected change ideas and tested using Plan, Do, Study and Act (PDSA) cycle. Qualitative data was collected using health worker interview and pictures have been taken before- and after applying Kaizen 5-S

**Result:** After the intervention, IFA supplementation increased from 57% to 100% (Emdibir), 0%-91% (Gino), 35%-100% (Yetmen), 54%-100% (Walkitane Gudane) and 42%-100% (Gelamatebia). The P-charts exhibit strong special causes and consistent with a rising percent of pregnant women who received iron folic acid by implementing change ideas such as monitor RRF, quantify IFA based on the caseload & timely request, borrowed from other facilities, purchase and request adequate amount using emergency order. By applying Kaizen 5-s, health workers reported that clean and well-organized work place motivated, feel confident and helped them to save time. Health workers also revealed that despite close monitoring and counseling of pregnant women, access to consistent IFA supply from PFSA and purchasing IFA from private using revolving fund are the big challenges.

**Conclusion:** IFA supplementation Uptake increased significantly in all health centers by applying MFI reduces child morbidity and mortality. Thus, there is a need for continuous monitoring and timely requesting of IFA supplement by health facilities. Timely procurement and distribution of the supply from federal to woreda level and increased market availability of the supply as alternative also needs to be considered to ensure sustainable availability and quality of service delivery.

To site: Yimam Z, Berhanu L, Zewdu M, Ayele A, Zikargie A, Mequanint T, et al. Improving Iron and Folic Acid Supplement Uptake by Pregnant Women at **Primary Health** Care Unit in Ethiopia. EHQB 2019; 1: Page 14-17.

## Introduction

Pregnant women require additional iron and folic acid (IFA) to meet their own nutritional needs as well as those of the developing fetus. Deficiencies in iron and folic acid during pregnancy can potentially negatively impact the health of the mother, her pregnancy, as well as fetal development. Use of IFA supplements is associated with a reduced risk of iron deficiency and anemia in pregnant women. Growth through Nutrition Activity is a multisectoral USAID funded nutrition and WASH project (2016-2011) designed to improve the nutritional status of women and young children focusing on the first 1,000 days. It is implemented in the four agrarian regions of the country. Working through the health system, Growth through Nutrition aims to improve utilization of quality nutrition services in Ethiopia. The project supported primary health care units (PHCUs) in implementing MFI and Kaizen 5-s to develop health facility capacity to identify issues, implement changes and track progress in the effective delivery of nutrition services. These models were drawn from FMOH National Health Care Strategy, Quality Improvement training manual and Growth through Nutrition's past experience.

IFA uptake of pregnant women in Growth through Nutrition activity supported health facilities was 57%, 0%, 35% 54% and 42% in Emdibir, Gino, Yetmen, Walkitane Gudane and Gelamatebia health centers, respectively, so the aim of the project is to increase IFA uptake of pregnant women from 57%-80% (Emdibir), 0%-85% (Gino), 35%-100% (Yetmen), 54%-80% (Walkitane Gudane) and 42%-80% (Gelamatebia) during a given period of time.

### **Methods**

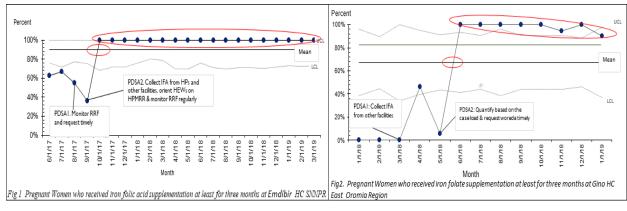
Before applying MFI and Kaizen 5-s, health facility personnel and woreda working on nutrition were trained on quality improvement training. Staff developed the skills to identify root-causes of problems using various tools including cause-and-effect diagram, and how to implement the process for quality improvement in their health facility. After the training, the staff formed PM & QI teams at their facilities composed of one representative from each case team including a staff member involved in records and management systems and a person designated to be the QI officer as per the national recommendation. two sub-teams are formed at ANC and under five children units to closely monitor performance and be flexible enough to respond to the ongoing challenges of quality improvement.

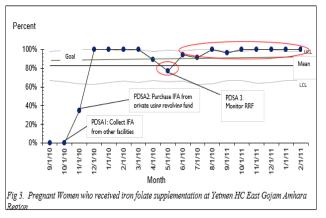
Facilities reviewed the client charts, conducted observation and interview clients periodically using checklist. Facilities used LQAS technique to identify areas of 'adequate' or 'inadequate' performance using small sample size while reviewing the client charts.

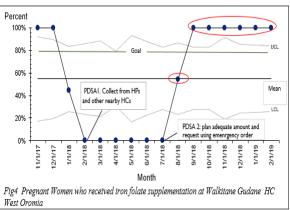
IFA supplementation is one of the key nutrition performance indicators. Baseline data collected before the intervention using HMIS registers and client charts, observation and health workers were interviewed and pictures have been taken before and after applying Kaizen 5-s. Control chart are used to establish whether the observed outcomes were due to the intervention.

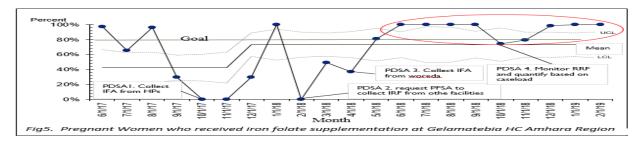
#### **Results**

Facilities developed or selected change ideas and tested one change idea at a time using Plan, Do, Study and Act (PDSA) cycle. In Emdibir HC, the team decided to test monitor Report and Requisition Form (RRF) and collect IFA from nearby facilities until receiving from PFSA and orient staff as well. The team increased the provision of IFA supplement from a starting point of 57% to 100% of eligible clients (see fig 1). In Gino HC, the team tested collect IFA from nearby facilities until receiving from PFSA, Quantify IFA based on the caseload and timely request. The team increased the provision of IFA supplement from 0% to 91% of eligible clients (see fig 2). In Yetmen HC, the team tested collect IFA from nearby facilities, purchase IFA from private using revolving fund and monitor RRF. The team increased the provision of IFA supplement from 35% to 100% of eligible clients (see fig 3). In Walkitane Gudane HC, the team tested collect IFA from nearby facilities until receiving from PFSA and request adequate amount using emergency order. The team increased the provision of IFA supplement from 54% to 100% of eligible clients (see fig 4). In Gelamatebia HC, the team tested collect IFA from nearby facilities until receiving from PFSA, collect IRF from woreda, monitor RRF and quantify based on caseload The team increased the provision of IFA supplement from 42% to 100% of eligible clients and control chart showed shifting and too many and too few signals (see fig 5). In all these facilities, the control chart revealed that there is too many and too few signal and the process is stable. the P-chart exhibit strong special causes and consistent with a rising percent of pregnant women who received IFA.









Facility staff involved in the QI process reported that before the intervention, staff did not think of other alternatives to prevent the IFA supply interruption, the performance monitoring and data use for decision-making were minimal, the coaching or mentoring support was not strong and regular and the work place was not well-organized. After the intervention, facility staff reported that they started to think out of the box to fill identified gap, monitor and utilize data for decision-making and the clean and well-organized work place motivated, feel confident and helped them to save time. Health workers also revealed that despite close monitoring and counseling of pregnant women, access to consistent IFA supply from PFSA and purchasing IFA from private using revolving fund are still the big challenges.

#### **Limitation and Lessons Learned**

The QI process is not without its challenges related to both implementing the process itself and overcoming the obstacles to providing IFA without interruption. High staff turnover in facilities reduced the capacity to implement the approach, IFA for pregnant women as a supplement is not included in the essential drug list to ensure adequate funds for procurement at each health center and the limited engagement of woreda offices. The QI process worked best when the health workers calculated facility order quantities based on caseload, monitored RRF regularly and timely request of the supply from PFSA, increased market availability of the supply, facility management and the woreda health staff were regularly involved. Involving all health facility staff in QI training or orientation, and clarifying their specific roles in the QI process and the outcomes desired also contributed to success.

#### **Conclusion**

IFA supplementation Uptake increased significantly in all health centers by applying MFI reduces child morbidity and mortality. Thus, there is a need for continuous monitoring and timely requesting of IFA supplement by health facilities. Timely procurement and distribution of the supply from federal to woreda level and increased market availability of the supply as alternative also needs to be considered to ensure sustainable availability and quality of service delivery. Project showed that health workers can apply MFI and use data for decision-making at community level to improve delivery of quality services. The QI models need expanding to additional health facilities through training, and by offering study and learning visits across facilities to share their experiences and best practices in improving the quality of nutrition and related services.

### Quality improvement project to reduce ANC waiting time: the case of

#### Woreta

Authors: Abera Biadgo<sup>1</sup>, Shambel Asrat<sup>2</sup>, Alemnesh Abebe<sup>2</sup>, Birkety Mengistu<sup>3</sup>

Affiliation: 1. Institute for Healthcare Improvement, Bahirdar Ethiopia

2. Woreta health center, Woreta Ethiopia

3. Institute for Healthcare Improvement, Addis Ababa, Ethiopia

#### **Abstract**

**Background**: One of the main reasons that discourage mothers from completing the recommended four ANC visits is the unreasonably long time they spend in the health facility, away from their home. Pregnant mothers on average spend eight hours in Woreta health center when they come for ANC check-up.

#### **Interventions:**

<u>Aim:</u> To decrease waiting time for Antenatal care (ANC) mothers from eight hours to four hours from July 1, 2009 to August 30, 2010 EFY.

After identifying the work flow bottle neck points, the team came up with four change ideas which might help to reduce these delays. These were:

- To use mother support group in the registration of pregnant mothers and to withdraw their ANC cards directly from the card room;
- Assigning additional room and a midwife nurse for a second ANC check-up room;
- Giving priority in investigating pregnant mothers at the laboratory; and
- Placing the ANC drugs at the ANC room.

**Result:** During the initial four months of testing, we were able to reduce the waiting time from eight to less than three hours. Currently, our health facility has adapted these changes and has continued monitoring the waiting time.

**Conclusion:** QI tools such as process map help in visualizing redundancies and inefficiencies. We were able to improve the quality of care, as we added intentionality into what we do by eliminating unnecessary steps and by ensuring efficient workflow.

To site: Biadgo A, Asrat S, Abebe A, Birkety Mengistu B. Quality improvement project to reduce ANC waiting time: the case of Woreta. EHQB 2019; 1: Page 18-22.

#### **Background**

The Institute for Healthcare Improvement (IHI) Ethiopia office collaborates with the Federal Ministry of Health (FMoH) as of 2013, to demonstrate the application and incorporation of quality improvement (QI) projects into the Ethiopian health system by focusing on maternal and newborn health as a learning platform. Through QI trainings, quarterly learning sessions, and in-service coaching visits, IHI seeks to institute continuous quality improvement activities within the health facilities. In this initiative, healthcare providers are supported to implement locally designed QI projects using the model for improvement. This program began in five prototype woredas/districts in five regions which has now expanded to additional 21 Test of Scale (ToS) woredas in these regions.

Fogera woreda is one of the prototype districts which started the woreda wide collaborative as of june 2017. The initiative started with a QI team establishment, basic QI training and retrospective baseline data collection from the health facilities.

Woreta health center is one of the ten health centers of the Collaborative<sup>1</sup>. From the baseline data, the median for Antenatal (ANC) 4 visits was found to be low (70%) compared with First ANC though first ANC visit coverage is high (close to 100%). Dropout rate from ANC 1 to ANC 4 was 28% (administrative report). This is similar to the national pattern where the coverage of the first ANC is 62% while only 32% completed the recommended four visits<sup>1</sup>. The QI team listed the different factors associated with low ANC 4 visits coverage using fish bone analysis (Figure 1). One of the main reasons that discourage mothers from completing the recommended visits is the unreasonably long time they spend in the health facility, away from their home.

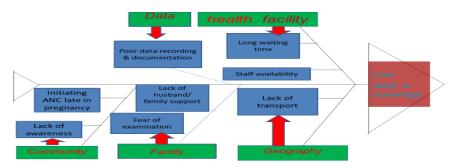


Figure 1: Factors associated with the low coverage of ANC 4

<sup>&</sup>lt;sup>1</sup> Collaborative includes Fogera woreda and Woreta town administration

The QI team prioritized the reduction of ANC waiting time. They developed a process map to track the time and the path a pregnant mother would take to get checked for ANC. It was found that the long waiting time happened mainly at the card room where pregnant mothers waited for an average of two and a half hours, alongside all other patients. At the next step, in the ANC waiting area, she would wait for another one and a half hour before she gets checked by the midwife nurse. She would then spend another two hours waiting to have a blood test and the result. Finally, to collect her iron folate or any additional drug she is prescribed with, she spends another hour. This makes the total time a pregnant mother spends in the health center, eight hours.

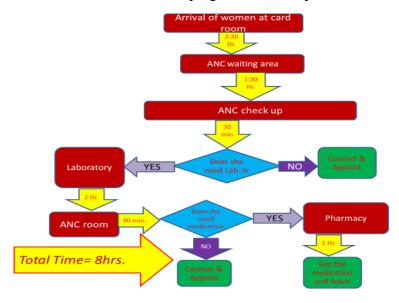


Figure 2: ANC flow chart of Woreta health center as of Hamle 15, 2009 E.C.

#### **Interventions**

Using the flow chart, the team identified the work flow bottle neck points. They then came up with change ideas which might help in reducing these delays. To reduce the waiting time at the card room, the team proposed to use the mother support group (MSG<sup>2</sup>) in the registration of pregnant mothers and to withdraw their ANC cards directly from the card room. To reduce the waiting time at the ANC waiting area the team proposed to assign additional ANC room along with a midwife nurse for a second ANC check-up room. To reduce the time spent waiting to get laboratory investigation, the team proposed to give priority for pregnant mothers. Finally, to eliminate the need to wait at the pharmacy to get the ANC drugs, the team proposed to place the ANC drugs at the ANC room. These proposed changes were predicted to lead to a waiting time of less than three hours (Figure 3).

<sup>&</sup>lt;sup>2</sup> Mother support group are organized to counsel and support other mothers living with HIV/ADIS.

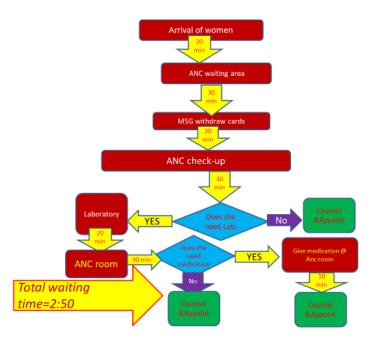


Figure 3: Proposed flow chart of ANC with the new change ideas for Wereta health center

The team started the test by collecting baseline data on the average waiting time from ten ANC clients. The health information technology staff, (Alemnesh), measured the time spent of ten randomly selected ANC clients in the health center (from arrival until they completed the visit and left the compound). The team also provided orientation for the MSG and card room staff on the new card withdrawal procedure of ANC cards. In this new procedure, the pregnant mothers would first give their identification card for the MSG who would use their ID to withdraw the ANC card from the card room to place it to one of the two ANC rooms. The team also availed all the ANC related drugs in the two ANC rooms. As process measures, we started measuring percentage of ANC cards withdrew by MSG and number of days of ANC drug availability at the ANC rooms.

#### Result

Baseline data collected using ten mothers showed an average waiting time of five hours. Following the deployment of the change ideas, more than 80% of the ANC cards started to be retrieved by the MSG.

As can be shown in Figure 4 below, we were able to reduce the waiting time from 8 hours to less than 4 hours during the initial four months. Currently, our health center has adapted these changes and has continued monitoring the average waiting time of ANC clients.

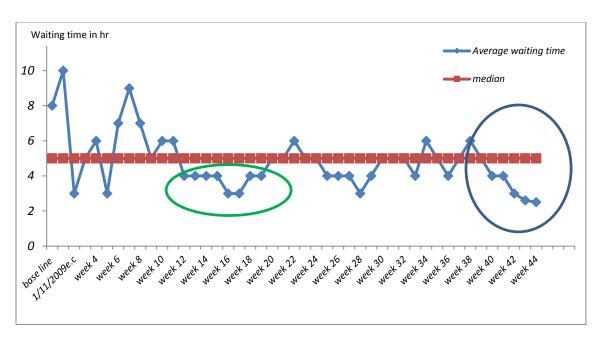


Figure 4: Run chart on average waiting time of ANC mothers in Woreta health center, from Hamle 08, 2009 EC to Miazia 30, 2010 EC.

#### **Lessons learnt**

By exploring in-depth the process of care provision using QI tools, we were able to identify the workflow bottle necks. We then proposed four change ideas that might help in reducing these delays which were applicable and appropriate to our facility context.

#### Conclusion

QI tools such as process map help in visualizing redundancies and inefficiencies. We were able improve the quality of care, as we added intentionality into what we do by eliminating unnecessary steps and by ensuring efficient work-flow.

### Improving pediatric Emergency Care Service Quality Score at St. Peter's specialized Hospital

Authors: Dawit Yifru<sup>1</sup>, Tigist Mefin<sup>1</sup>, Alelign Dejene<sup>1</sup>, Rediet Kacha<sup>1</sup>,

Affiliation: 1. St. Peter Specialized Hospital, AA, Ethiopia

#### Abstract

**Background**: Properly designed and implemented hospital based emergency medical care services will reduce patient emergency triage and treatment times, increase provider efficiency and staff and client satisfaction as well as improve overall quality of care.

To site: Yifru D, Mefin T, Dejene A, Kacha R. Improving Emergency Care Service Quality score at St. peter Specialized Hospital. EHQB 2019; 1: Page23-27.

**Project goal**: Improving Pediatric emergency service score more than 90% at Saint Peter Specialized Hospital

**Methodology**: Time series test design is used. Root cause analysis was done using the driver diagram and change ideas were proposed for improvement by the quality improvement team.

**Results**: analysis done using shewhart and run charts shows that there is a significant improvement in the pediatric ER service score by introducing prioritized change ideas.

**Conclusion**: Feasible and sustainable interventions like modifying the triage paper, availing guidelines and introducing scope of practice has significantly improve the pediatric ER score.

#### **Background information:**

St. Peter's TB Specialized Hospital was established in 1953. St. Peter's has been serving the nation as the only tuberculosis hospital for more than four decades. But for the past few years, the hospital grew from a single disease hospital into multi services health institution.

Hospital based Emergency care is part of the patient flow in a hospital setting and includes the processes and procedures needed to ensure the efficient flow of patients between services. As stated in the national hospital transformation guideline, properly designed and implemented hospital based emergency medical care services will reduce patient emergency triage and treatment times, increase provider efficiency and staff and client satisfaction as well as improve overall quality of care. Pediatric age group is one of the priority health concerns nationally. Pediatric emergency care should be given a due emphasis by the hospitals due to its time sensitivity and complications.

Clinical audit done pediatric emergency department by quality improvement team reveals that the quality of care in was found to have major gaps related to the provision of an appropriate triage service, providing comprehensive clinical evaluation, outlining a correct management. Such gaps in quality of care provision had definitely contributed to the poor child health outcomes following each child health service in our hospital.

Emergency care includes a well-designed Patient triage, proper Case management, and providing basic and timely Laboratory, pharmacy and diagnostic service. The rationale of this project is improving the pediatric ER service score which is defined by

- Appropriately triaged / triage paper is filled and attached, appropriate triage classification as per standards and management of emergency condition as per the ETAT guideline
- Justifiable diagnosis following a comprehensive evaluation (pertinent history, pertinent physical examination and justifiable investigations all documented
- Appropriate management was outlined with correct good dispensing practice

#### **Project Goals:**

• Improving Pediatric emergency service score more than 90% at Saint Peter Specialized Hospital.

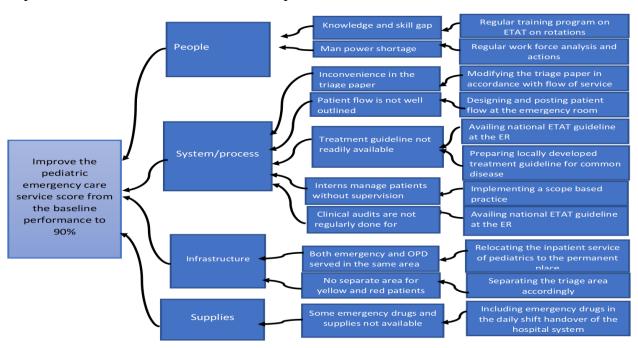
#### **Specific objectives**

- Improving ETAT implementation >90 %
- Provision of comprehensive clinical evaluation by the right clinician >90%
- Appropriate outlining of management plan >90%

#### Methodology:

Time series test design with planned grouping is used.

A multidisciplinary QI team was formed to design, implement and monitor the project. The team consisted of a pediatrician, the case leader physician, the head nurse and two quality officers. Rootcause analysis was done using the driver diagram and change ideas were proposed for improvement by the quality improvement team. Then interventions were prioritized using ease of implementation of the interventions and importance of the interventions.



Ramp 1 Aim improving patients with correct triage paper and correct classification

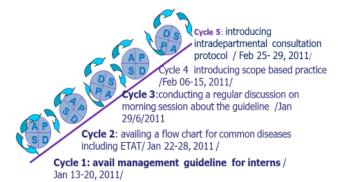
Cycle 5: testing during night time / Jan 3- 11, 2011/
Cycle 4 testing the new triage paper during day time /Dec17- Jan 3, 201

Cycle 3:modifying the triage paper according to sequence patient flow /Dec 8-12/2011

Cycle 2:studing why these parts left unfilled / Oct. 19-22, 2011 /

Cycle 1: studying whether there is a specific part of the triage paper left unfilled / sept 24-29, 2011/

Ramp 2 Aim improving physician evaluation and treatment of pediatric patients



#### **Data collection and analysis:**

- 4 charts were selected using simple random sampling
- Chart audit checklist was used to fill the audit findings for each case; Audit findings was fed to an excel data base (1 if standards of interest are met and 0 for any missing component from a particular standard), a hard copy of the audit finding was be also maintained for each chart
- Weekly, the outcome measures of interest was calculated and fed to a summary sheet
- Run and shewhart charts was used to display the data
- When adequate data points are there, run chart rules of interpretation was used to look for evidence of improvement

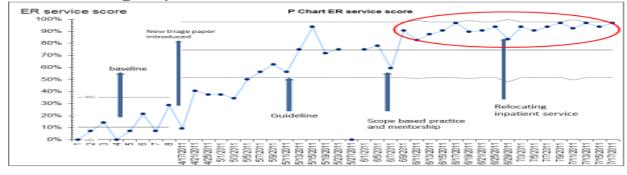
#### **Measures**

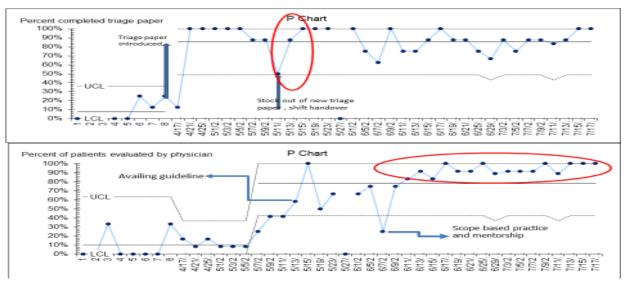
- Outcome measures
  - o Proportion of patients received minimum standard pediatric emergency care
- Process measures
  - Proportion of weekly cases in the week who are triaged appropriately and correct triage classification given
  - Proportion of weekly cases with a justifiable diagnosis following a comprehensive evaluation (pertinent history, pertinent physical examination and justifiable investigations)
  - Proportion of weekly cases for whom appropriate management was outlined with correct good dispensing practice.
- Balancing measures
  - Average emergency waiting time at pediatrics ER

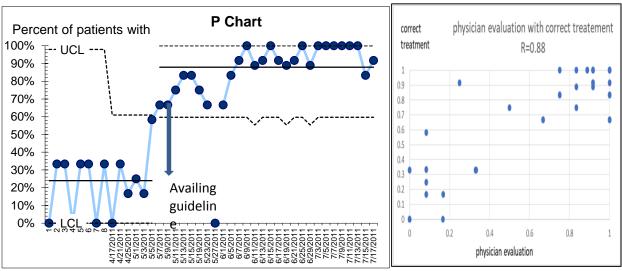
#### **Results**

#### MEASURES

- Outcome measures
  - Proportion of patients received minimum standard pediatric emergency care







#### Limitation

The project was introduced and timely update was given to the ER team. This may lead to a Hawthorne effect on the data analysis. Planned experimentation was not conducted on the intervention which has led to inability to see the effect of combining different change intervention.

#### Conclusion

Improper follow-up of pediatric emergency patient's results is a gap in health care quality that contributes to increased complications and mortality. Feasible and sustainable interventions such as user friendly triage papers and training have increase our ability to successfully triage and classify patients. In addition, introducing a scope based practice, availing clinical guideline at the ER room has successfully improved pediatric emergency service quality score.

### Improving Early Post Natal Care within the First 8 hours in Kebado Primary Hospital, Dara Woreda, Sidama zone, SNNP

Authors: Berhanu Bekele<sup>1</sup>, Aynalem Hailemichael<sup>1</sup>, Zerihun Mengesha<sup>2</sup>, Tsega Mekonen<sup>2</sup>, Amare Assefa<sup>2</sup>, Abayneh Assefa<sup>2</sup>, Tadelech Sinamo<sup>2</sup>, Daniel Kebede<sup>2</sup>, Fikru Sime<sup>2</sup>, Agegnhu Gebru<sup>2</sup>.

Affiliation: 1. USAID Transform Primary Health care project1, Addis Ababa, Ethiopia

2. Kebado Primary Hospital, Kabado, Ethiopia

#### **Abstract**

**Background:** Postnatal Care(PNC) within first early hours after delivery is very critical to reduce neonatal and maternal death because national standards for early PNC service for the mother, to monitors blood loss, fundal height and blood pressure should be measured every 15 minutes for the first two hours, every half hour for the third hour and hourly for subsequent three hours and PNC service for the newborn baby in this critical hours enables to identify and respond to complication as early as possible. In Kebado Primary Hospital this practice was not given attention, which was evidenced by no documentation on immediate/early postnatal care before QI initiation.

**Objectives:** The aim of this quality improvement project was to improve Postnatal Care within first 8 hours from 28% in March 2018 to 95% June 2019.

**Method:** Driver diagram used to develop different change ideas to be tested. Repeated PDSA cycles were used for testing the change ideas developed by the team using driver diagram. Progress was monitored using data collection and plotting it against over time. Facilitate learning from provision of early postnatal care for mothers and newborn. P chart was used to analyze early PNC with 24 hours in the facility March 2018 to March 2019.

Results of the project: The P chart on the outcome measure showed that, there are more than a single data point out side upper control limit that is in month of September 2018 upper control limit(ULC) is 74.93% and early PNC performance is 93.10% and starting from November 2018 to March 2019 ULC are 85.29%, 80.98%, 74.93%,81.47%,80.6% and early PNC performances are 93.33%, 100%, 89.29%,100% and 95% respectively which is in a desired direction with project aim. On process measure soon after birth bundle compliance to improve early PNC in hospital the chart complies with run chart rule 1 shift which means our project has brought an improvement.

**Conclusion:** Improving the Safe childbirth checklist (Soon after birth bundle) compliance is a contributing factor for early postnatal services provision for the mothers and newborn babies in the facility, So follow up and coaching/mentoring for the midwives on safe childbirth checklist (soon after birth bundle) is critical to improve the early postnatal care provision for every women and babies on timely manner.

To site: Bekele B, et.al. Improving Early Post Natal Care within the First 8 hours in Kebado Primary Hospital, Dara Woreda, Sidama zone. EHQB 2019; 1: Page 28-31.

#### **Background**

A large proportion of maternal and neonatal deaths occur during the first 24 hours after delivery. 45 to 50 % of maternal and neonatal deaths occur during the first 24 hours after delivery. For both the mother and infant, prompt postnatal care is important for treating complications that arise from delivery and providing the mother with important information on caring for herself and her baby. The EDHS (2016) found that among women age 15-49 giving birth in the 2 years before the survey, 17% had a postnatal check during the first 2 days after birth. Four in five women (81%) did not receive a postnatal check.

USAID Transform: Primary Health Care Project supported the Kebado primary hospital to improve quality service delivery by the health service providers in the hospital, together with the hospital quality improvement team (QIT) did baseline assessment on MNH service and found that From June 2017 to Aug 2018, the hospital provided delivery service to 1021 women, with an average of 77.25 deliveries per month. During this period, it is only few mothers who delivered in the hospital did receive early postnatal care for themselves and their newborn babies based on national protocol. It is then that the QIT has developed QI project to solve their problems. The purpose of the quality improvement project was to improve Postnatal Care within first 8 hours from 28% in March 208 to 95% June 2019.

#### **Methods**

During the QI training, QIT has started to develop a QI project on early PNC and develop driver diagram to identify root cause, then they have develop different change ideas to be tested to address the gaps. Repeated PDSA cycles were used for testing the change ideas. Progress was monitored using data collection and plotting it against over time. Facilitate learning from provision of early postnatal care for mothers and newborn. P chart was used to analyze early PNC with 24 hours in the facility from March 2018 to March 2019

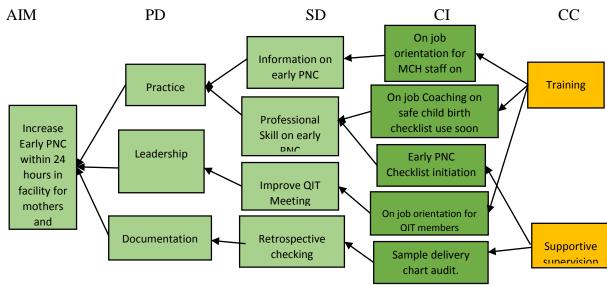
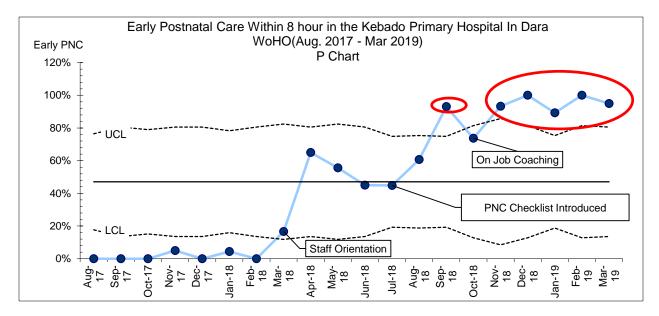


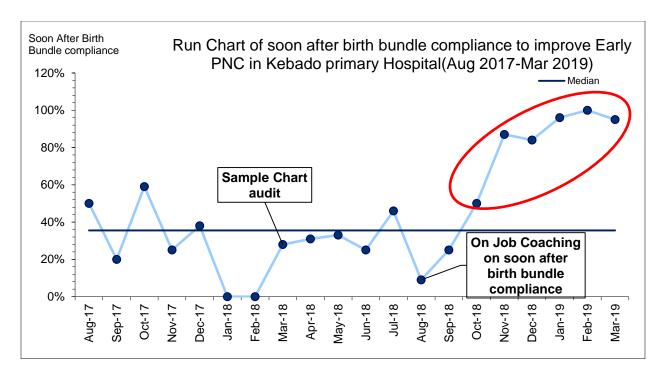
Figure 1. Driver Diagram

**Measures: Outcome measure** was PNC service provision and **process measure** was safe child birth checklist (SCBC) on soon after birth bindle compliance. Based on the measurement, data was tracked every week by the QIT and plotted on the run chart.

**Results of the QI project:** The P chart on the outcome measure showed that, there are more than a single data point out side upper control limit that is in month of September 2018 upper control limit(ULC) is 74.93% and early PNC performance is 93.10% and starting from November 2018 to March 2019 ULC are 85.29%, 80.98%, 74.93%,81.47%,80.6% and early PNC performances are 93.33%, 100%, 89.29%,100% and 95% respectively which is in a desired direction with project aim, it means that QI project show that there is special cause that contributed for improvement it is not happened due to chance.



The run Chart on the process measure which is soon after birth bundle compliance to improve early PNC in hospital the chart complies with run chart rule 1 shift (Six consecutive data points above Midian line) which is with direction of our project aim that signals special cause.



**Conclusion:** Improving the Safe childbirth checklist (Soon after birth bundle) compliance is a contributing factor for early postnatal services for mother and newborn baby in the facility, So close follow up/ support, and on job coaching and mentoring for the midwives in improving safe childbirth checklist (soon after birth bundle and the before pushing bundle) is critical to improve the early postnatal care provision.

**Limitation:** When we tested more than one change idea it is advisable to conduct planned experimentation to identify which change idea synergize each other for improvement but in this case, we did not conduct planned experimentation due to different reason.

#### Reference

- 1. Ethiopia Demography and Health Survey, 2016
- 2. Health care data guide LLOYD P. PROVOST AND SUNDRA K. MURRAT

## Reduction of Neonatal Mortality at GebreTsadiq Shawo Hospital by Decreasing Neonatal Hypothermia

Authors: Nebiyou Wendwessen<sup>1</sup>, Birkety Mengistu<sup>1</sup>, Hema Magge<sup>1</sup>, Esetu Debaro<sup>2</sup>, Affiliation: 1. Institute for Health care improvement, Addis Ababa, Ethiopia 2. Gebre Tsadiq Shawo Hospital, SNPPR,

#### **Abstract**

**Introduction:** According to EDHS 2016 the country has a high neonatal mortality rate at 29 per 1,000 live births. Similarly, in GebreTsadikShawo general hospital (GTSGH) the median neonatal mortality among NICU admitted neonates is 22.5%. The three major causes of neonatal mortality in GTSGH were: prematurity, infection, and perinatal asphyxia with co-morbidity of neonatal hypothermia (Median of 77.1%).

**Project Aim**: To reduce the prevalence of neonatal hypothermia during NICU Admission from median of 71 % to 10 % at GTSGH by the end of June 2019.

**Methodology:** Based on the Model for Improvement, the QI team developed the following change ideas for testing: Maintain delivery room and NICU suite temperature between 25°C -27°C, Pre-heated clothing, Prevent heat loss during transportation, Skin to skin contact or Kangaroo mother care.

**Result:** After the QI project, hypothermia in NICU during admission decreased to a median of 50% starting in the week of November 2018 from the baseline median of 72.8% in October 2018, percentage of neonates delivered in labor ward showed improvement through an upward shift. Average days between neonatal death increased from a baseline of 2.9 days between death per week to 4.3 average days between neonatal deaths per week.

**Conclusion:** Neonatal hypothermia was a common co-morbidity for newborns in GTSGH. By implementing innovative change ideas like using plastic bag during neonatal transportation and pre-warming neonatal clothes, we were able to bring significant reduction on neonatal hypothermia and associated neonatal death.

**Lessons Learnt:** By introducing organized changes, it is possible to improve the quality of care which in turn helps to save lives.

Key Words: Neonate, Hypothermia, Mortality, Quality improvement and GTSGH

To site:
Wendwessen N,
Mengistu B,
Magge H,
Debaro E.
Reduction of
Neonatal
Mortality at
GebreTsadiq
Shawo Hospital
By Decreasing

Neonatal Hypothermia.

EHQB 2019; 1:

Page 32-38.

#### **Background**

The neonatal period is a highly vulnerable time for an infant completing many of the physiologic adjustments required for life outside the uterus. According to the World Health Organization (WHO) estimates, a significant proportion (40%) of all under-5 deaths occurs in the neonatal or perinatal period. Of the estimated 130 million infants born each year worldwide, 4 million die in the first 28 days of life (1,2). Worldwide, the most common causes of neonatal deaths are preterm birth, birth asphyxia, sepsis, and pneumonia (3). According to the 2016 Ethiopia Demographic and Health Surveys, the country is experiencing a high neonatal mortality rate at 29 per 1,000 live births (4).

Similarly, in GebreTsadiq Shawo general hospital (GTSGH) there are high rates of early neonatal morbidity and mortality. On average, per month, 35 neonates admitted in the Hospital NICU with the major Admission causes include hypothermia, sepsis, prematurity, hypoglycemia, meconium aspiration syndrome, and perinatal asphyxia. The median neonatal mortality among NICU admitted neonates accounts 8 in number (22.8 %). The three major causes of neonatal mortality in G/TsadiqShawo Hospital prematurity, infection, and perinatal asphyxia with co-morbidity of Hypothermia.

Hypothermia is defined by the World Health Organization (WHO) as a core body temperature < 36.5°C, or a skin temperature < 36°C (5). Globally, newborn hypothermia remains a challenge in both resource poor and resource-rich settings and across all climates (6). Hypothermia prevalence rates in low and middle income countries varied widely, with rates during home delivery of 32 - 85% and institutional delivery of 11 to 90%(7). In Ethiopia studied showed that prevalence of hypothermia is ranging from 53 to 69.8% (7). In G/tsadiq Shawo Hospital the prevalence of neonatal hypothermia estimated to be 72.1%.

GTSGH is a part of a woreda-led QI collaborative work intervention Chena Woreda with support from the Institute for Healthcare Improvement (IHI). Woreda and Hospital-based coaches provided support on QI activities for the Hospital for the last 1 year by conducting QI training, coaching and preparing learning sessions. As a result, GTSGH QIT prioritize reduction of neonatal hypothermia as QI project.

#### **Problem statement**

In GTSGH on month October 2018, neonatal mortality among NICU admitted neonate was median of 22.8%. The finding on neonatal outcome triggered the Quality improvement team (QIT) to make in-depth assessment on the factors and co factors that contributes for underlying neonatal mortality. We collected the last six-month data to learn whether hypothermia was a problem in babies admitted to the neonatal intensive care unit(NICU) at GTSGH and found that 72.1% median of newborn admitted in NICU were hypothermic (auxiliary body temperature <36.5°C). Every new born baby is at risk of hypothermia in the first 12 hours of life. Immediately at birth, if no

action is taken to maintain warmth and heat, the core and skin temperature of a baby can decrease by 0.1c and 0.3c respectively. This decrease in temperature can lead to problems such as hypoglycaemia, respiratory complications and metabolic acidosis. Taking the above concept into consideration, we designed a quality improvement (QI) project to eliminate reduce hypothermia by 85 % within 12 months.

#### **Rationale of QI Project**

Across all gestations, admission temperature was shown to be a strong predictor of mortality and morbidity. For every 1°C decrease in admission temperature, the odds of in-hospital mortality increased by 28% and the odds of late-onset sepsis increased by 11% (8). More recently, the study assessed the association between admission temperature and neonatal mortality and revealed that an admission temperature < 35°C was associated with increased early and late neonatal death (9). So QIT aim to reduce the rate of hypothermia in order to reduce neonatal mortality in GTSH NICU. To achieve QI project goal the team goon through different literatures to find best intervention that could reduce the rate of Hypothermia.

Ethiopia applies thermal care principle which is one of the components of essential newborn care (ENBC) recommended by WHO. Despite this intervention, the problem of hypothermia remains a challenge in Ethiopia (10). So, innovative ideas like using plastic bags during transport when KMC was not possible alongside with the routine thermal care process was introduced (11).

**Specific aim of this project:** To reduce the prevalence of neonatal hypothermia during NICU Admission from median of 71 % to 10 % at GTSGH by the end of June 2019.

#### **Methods**

In October 2018, we identified that a median of 72.1% of neonates were hypothermic when admitted to NICU. QIT in GTSH decided to use the model for improvement to tackle this problem. The QIT formed consisting of QI unit Head, QI unit Officer, Hospital CEO, matron, NICU head, labor ward Head, IESO and HMIS officer and was supported by external QI coach from IHI. We then used process fishbone diagrams to identify factors contributing to hypothermia.

#### **Interventions**

We select intervention listed below based on the available evidences.

- 1: Consistent use of the WARM bundle, including:
  - Maintain delivery suite temperature at 25 °C and NICU suite temperature at 27°C. To monitor the room temperature, wall thermometers were purchased and hanged on the wall of labor ward and NICU. To maintain optimal temperature in these wards, radiant warmer and heater are switched on by midwives, before conducting delivery and admitting neonates to NICU.

- Pre-heated clothing for newborns (pre heated hat, cloths and towels): The QI unit head and the labor ward head, negotiated with the hospital administrative and purchased towels, socks and baby hats. The towels and the hats were pre-heated using radiant warmer before a newborn is delivered.
- Prevent heat loss during transportation (use polyethylene plastic bag to cover a newborn during transportation): The hospital administrative purchased plastic bags to cover a newborn during referral to NICU.
- Keeping the mother and the newborn baby together and applying skin to skin contact or Kangaroo mother care during the first one hour of birth.
- 2. Staff orientation on hypothermia prevention for NICU and labor ward staffs

#### Measures

#### **Outcome measures**

- Number of early neonatal death among NICU admitted newborns
- Percentage of neonatal hypothermia during NICU admission

#### **Process measure**

- Percentage of new born with pre heated hat
- Percentage of new born covered with plastic bag during transportation to NICU
- Percentage of preterm/ low birth weight new born putted on KMC
- Percentage of NICU and Labor ward health staff oriented on neonatal Hypothermia prevention

#### **Balancing measures**

• Cost incur to purchase QI materials

#### Result

We collected data on hypothermia and analysed these on run charts and Shewhart charts. We also collected data on neonatal mortality in the labour rooms and NICU and analysed it on statistical process T- charts. For the temperature data, we calculated the baseline median using the first 6 data points in month and recalculated the median whenever we identified a shift in the data using rules to define a shift (13). From the baseline median of 72.8% in October 2018, Hypothermia in NICU during Admission decreased to a median of 50% starting in the week of November 2018 (figure1).

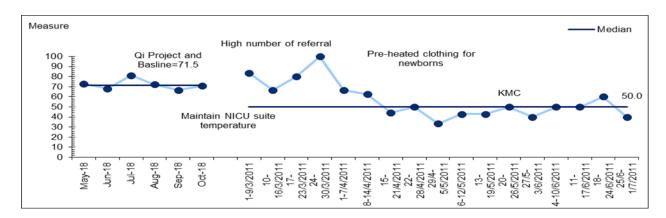


Figure 1; Percentage of neonatal Hypothermia among NICU admitted newborns at GTSGH, 2019 The percentage of neonates delivered in labor ward showed improvement by full filling run chart rule (Shift) (figure2).

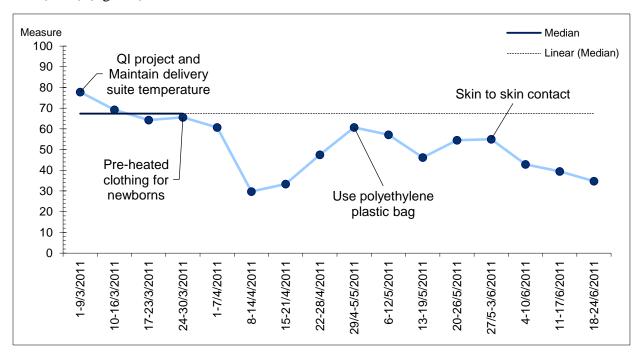


Figure 2: percentage of neonatal hypothermia among new born delivered in labor ward at GTSGH, 2019

We collected data on the number of deaths per week occurring in the NICU and plotted these on T- chart. Average days between neonatal death increased from a baseline of 2.9 days between death per week to 4.3 average days between neonatal death per week (Figure 3).

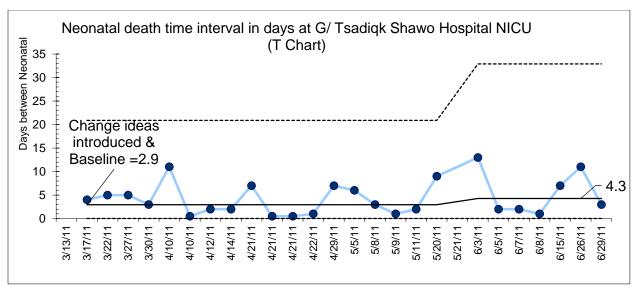


Figure 3: Average days between neonatal death at GTSGH NICU, 2019

#### **Lessons and limitations**

We were able to successfully use QI approaches to keep babies warm and increase the average dates between neonatal death from all-cause mortality. This was the first time our team had used QI methods. We started by training staff on the general importance of keeping babies warm, but we did not see any real improvement until we started to identify specific barriers to thermal care and developed practical solutions to those barriers. Using diagnostic QI tools, such as flow charts and fishbone diagrams, and reviewing the causes when babies arrived at the NICU cold helped us identify these barriers. Making iterative tests using PDSA cycles helped us to refine the solutions until they were effective and efficient. In general, we learnt that, by introducing organized changes, it is possible to improve the quality of care which in turn helps to save lives.

A limitation is that we need more time to determine if preventing hypothermia using our change ideas reduce neonatal death, as this is a relatively rare event. We believe that some aspects of this work are not generalizable to other hospitals, while other elements are likely to be very generalizable because some the problems may be specific for our setting. Moreover, our finding couldn't reveal which factors or intervention more likely contribute for reduction of neonatal hypothermia, which could benefit from additional study, including planned experiment analysis.

#### Conclusion

Neonatal hypothermia was a common co-morbidity for newborns in G/Tsadiq Shawo hospital. By forming a team that included staff from the labour room, staff from the NICU leadership and QI officers, we were able to identify the factors contributing to hypothermia at each location and systematically address these factors. By implementing innovative change ideas like using plastic

bag during neonatal transportation and pre-warming neonatal clothes, we were able to bring significant reduction on neonatal hypothermia and associated neonatal death.

We strongly believe that the QI project will be completely hand over by Hospital QIT team for additional and innovative implementations and sustain the gain found through the process. This finding also provides direction to conduct experimental studies on Hypothermia management and prevention contributors in resource limited areas. The project findings also presented and will be presented in QI learning collaborative sessions/review meetings, meetings and conferences.

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### Quality of Maternal Screening and Counseling in Primary Health Care Units in Ethiopia

Authors: Zenebu Yimam<sup>1</sup>, Lioul Berhanu<sup>1</sup>, Mulawshum Zewdu<sup>1</sup>, Abebaw Ayele<sup>1</sup>, Addis Zikargie<sup>2</sup>, Tilahun Mequanint<sup>1</sup>, Wubhareg Dellie<sup>2</sup>, Tamirat Abebe<sup>1</sup>, Tasew Tadesse<sup>2</sup>, Sebsibe Tariku<sup>2</sup>, Hailu Gebisa<sup>1</sup>, Jemal Idris<sup>1</sup>, Roman Oumer<sup>1</sup>, Abdulbasit Hamza<sup>1</sup>, Belete Alemu<sup>2</sup>

Affiliation: 1. Save the Children/USAID, Growth through Nutrition Project, Addis Ababa, Ethiopia 2. Federal Ministry of Health, Addis Ababa, Ethiopia

#### Abstract

**Background:** Nutrition counselling is a widely used strategy to improve the nutritional status of women during pregnancy. Identification of undernourished pregnant women and enrolling them in nutritional programs, and providing continuous nutritional counseling on diversify food, consumption of one additional food, iron folic acid supplement, iodized salt and WASH are among the key nutrition interventions. MUAC tape measure and maternal counseling were neglected activities in Growth through Nutrition supported health facilities and the achievements were 27%, 33%, 2%, 0% and 18% in Yetmen, Tulu Bolo, Tede, Emdibir and Gelamatebia HCs, respectively. Therefore, the objective of this project was to increase nutritional screening and link to counseling service for pregnant women in these Growth through Nutrition supported facilities, Ethiopia.

**Methodology:** The facilities applied Model for Improvement (MFI) along with Kaizen 5-S in ANC clinic. Quality improvement teams identified problems, prioritized nutritional screening and nutrition counseling for pregnant women, developed aim statement, set aim, identified the root-causes of the problems using Fishbone diagram, set indicators, selected change ideas and tested using Plan, Do, Study and Act (PDSA) cycle. Health facilities nutrition screening and counseling performance were tracked regularly over time since implementation of the two QI models (see Fig 1-5). Health workers were interviewed about their experience in applying QI models and pictures have been taken before and after applying Kaizen 5-S

Result: After the intervention (MFI and Kaizen 5-s), maternal nutrition screening and counseling increased among pregnant women from 27%-100% (Yetmen), 33%-100% (Tulu Bolo), 2%-100% (Tede), 0%-100% (Emdibir) and 18%-100% (Gelamatebia). A few undernourished pregnant women were identified from these facilities, counseled on maternal nutrition, and linked to cooking demonstration sessions. MUAC improved among pregnant women in all HCs except Gelamatebia HC mainly due to severe food insecurity in the woreda (see fig 6). The P-chart exhibit strong special causes and consistent with a rising percentage of pregnant women who were screened and counselled. Health workers reported they did not give due attention to nutrition services before implementing MFI. Kaizen 5-s resulted in creating a conducive work environment mainly through improving cleanness and document organization. Health workers also revealed that despite continuous screening and counseling of pregnant women, access to uninterrupted Targeted Supplementary Feeding(TSF)supply for undernourished pregnant women in food insecure woredas is a challenge.

Conclusion: MUAC tape measure and maternal counseling increased significantly by applying MFI and Kaizen 5-s that result in maintaining good nutrition for pregnant women except Gelamatebia health center, there was no improvement on MUAC. This calls for uninterrupted targeted supplementary feeding and livelihood program. i.e. there is a need for linking screened and counseled undernourished pregnant women to cooking demonstration and nutrition programs like TSFP and livelihood program to maintain optimal nutrition of pregnant women in sustainable way. Project also showed that health center can apply quality improvement tools, Model for Improvement in this case to set their priority, aim, test change ideas and bring about positive change.

To site: Yimam Z, Berhanu L, Zewdu M, Ayele A, Zikargie A, Mequanint T, et al. Quality of Maternal Screening and Counseling in Primary Care Units in Ethiopia. EHQB 2019; 1: Page 39-43.

#### Introduction

Maintaining good nutrition and a healthy diet during pregnancy is critical for the health of the mother and unborn child. Low Mid-Upper Arm Circumference (MUAC <23 cm) is significantly associated with poor maternal health and birth outcomes. Nutrition counselling is a widely used strategy to improve the nutritional status of women during pregnancy. Identification of undernourished pregnant women and enrolling them in nutritional programs, and providing continuous nutritional counseling on diversify food, consumption of one additional food, iron folic acid supplement, iodized salt and WASH are among the key nutrition interventions. Growth through Nutrition Activity is a multisectoral USAID funded nutrition and WASH project (2016-2011) designed to improve the nutritional status of women and young children focusing on the first 1,000 days. It is implemented in the four agrarian regions of the country. Working through the health system, Growth through Nutrition aims to improve utilization of quality nutrition services in Ethiopia. The project supported primary health care units (PHCUs) in implementing MFI and Kaizen 5-s to develop health facility capacity to identify issues, implement changes and track progress in the effective delivery of nutrition services. These models were drawn from FMOH National Health Care Strategy, Quality Improvement training manual and Growth through Nutrition's past experience.

MUAC tape measure and maternal counseling were neglected activities in Growth through Nutrition supported health facilities and the achievements were 27%, 33%, 2%, 0% and 18% in Yetmen, Tulu Bolo, Tede, Emdibir and Gelamatebia HCs, respectively. Therefore, the objective of this project was to increase nutritional screening and link to counseling service for pregnant women from 27%-100% (Yetmen), 33%-100% (Tulu Bolo), 2%-100% (Tede), 0%-100% (Emdibir) and 18%-100% (Gela matebia) during a given period of time.

#### **Methods**

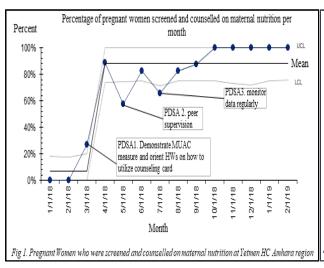
Before applying MFI and Kaizen 5-s, health facility personnel and woreda working on nutrition were trained on quality improvement training. Staff developed the skills to identify root-causes of problems using various tools including cause-and-effect diagram, and how to implement the process for quality improvement in their health facility. After the training, the staff formed PM & QI teams at their facilities composed of one representative from each case team including a staff member involved in records and management systems and a person designated to be the QI officer as per the national recommendation. A sub-team is formed at ANC unit to closely monitor performance and be flexible enough to respond to the ongoing challenges of quality improvement.

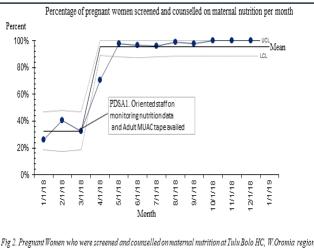
Facilities reviewed the client charts, conducted observation and interview clients periodically using checklist. Facilities used LQAS technique to identify areas of 'adequate' or 'inadequate' performance using small sample size while reviewing the client charts.

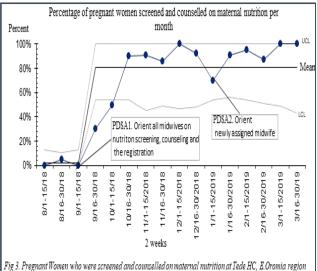
Maternal screening for acute malnutrition is one of the key nutrition performance indicators. The project teams measured proportion of pregnant women who received maternal nutrition and counseling in a given period of time. Baseline data collected before the intervention using HMIS registers and client charts, observation and health workers were interviewed and pictures have been taken before and after applying Kaizen 5-s. Control chart are used to establish whether the observed outcomes were due to the intervention.

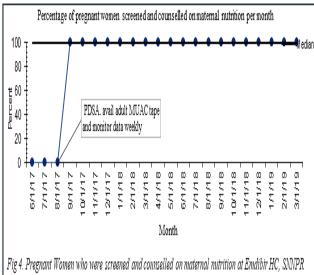
#### Result

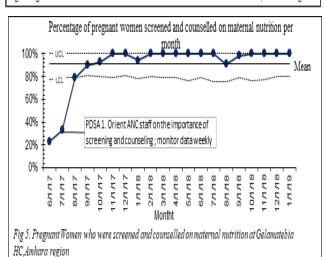
Facilities developed or selected change ideas and tested one change idea at a time using Plan, Do, Study and Act (PDSA) cycle. In Yetmen HC, the team decided to test demonstrate MUAC measure and orient HWs on how to utilize counseling card, peer supervision and monitor data regularly. The team increased the provision of quality nutritional screening and counseling services from a starting point of 27% to 100% of pregnant women visited ANC clinic (see fig 1). In Tulu Bolo HC, the team tested staff orientation on monitoring nutrition data and avail adult MUAC measuring tape and abled to increase the provision of quality nutritional screening and counseling services from 33% to 100% of pregnant women visited ANC clinic (see fig 2). In Tede HC, the team tested orient all midwives on nutrition screening, counseling and how to fill out register, orient newly assigned midwife and increased the provision of quality nutritional screening and counseling services from 2% to 100% of pregnant women visited ANC clinic (see fig 3). In Emdibir HC, the team tested avail adult MUAC measuring tape and monitor data weekly and abled to increase the provision of quality nutritional screening and counseling services from 0% to 100% of pregnant women visited ANC unit (see fig 4). In Gela matebia HC, the team tested orientation of staff working at ANC unit on the importance of screening and counseling, monitor data weekly and abled to increase the provision of quality nutritional screening and counseling services from 18% to 100% of pregnant women visited ANC unit (see fig 5). In these facilities, the control chart revealed that there are shift and too many and too few signals and the process is stable. the P-chart exhibit strong special causes and consistent with a rising percent of pregnant women who received nutritional screening and counseling services. MUAC measure did not improve among pregnant women in Garamuleta HV despite significant improvement in nutrition screening and counselling mainly due to severe food insecurity in the Woreda (see fig 6).

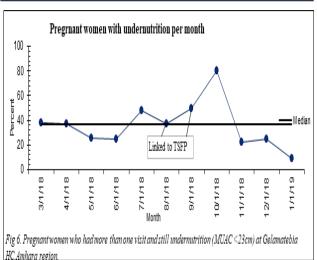












Facility staff involved in the QI process reported that before the intervention, staff never gave due attention to maternal screening and counseling, staff had doubt about how to screen and counsel pregnant women correctly, difficulty in accessing adult MUAC measuring tape, performance monitoring and data use for decision-making were minimal, coaching or mentoring support was not strong and regular and the work place was not well-organized. After the intervention, facility staff reported that they began to pay due attention to maternal nutrition screening and counseling, monitor and utilize data for decision-making, received regular support from woreda and partner and the clean and well-organized work place motivated, feel confident and helped them to save time. Health workers working at Gela matebia stated that even if they managed to provide continues screening, identifying undernourished pregnant women and counseling, the shortage of supplementary feeding supply is still a challenge to bring about the ultimate goal.

#### **Limitation and Lessons Learned**

High staff turnover in facilities and woreda, staff commitment to continuing provide quality nutrition and counseling and access to uninterrupted targeted supplementary feeding in case of food insecure woreda are challenges. The QI process worked best when the health workers apply Kaizen 5-s, monitor data closely, recognition mechanism in place, malnourished pregnant women have access to cooking demonstration and TSF, facility management and the woreda health staff were regularly involved. Involving all health facility staff in QI training or orientation, and clarifying their specific roles in the QI process and the outcomes desired also contributed to success.

#### **Conclusion**

MUAC tape measure and maternal counseling increased significantly in Yetmen, Tulu Bolo, Tede, Emdibir and Gelamatebia HCs by applying MFI and Kaizen 5-s that result in maintaining good nutrition for pregnant women except Gelamatebia health center, there was no improvement on MUAC. This calls for uninterrupted targeted supplementary feeding and livelihood program. i.e. there is a need for linking screened and counseled undernourished pregnant women to cooking demonstration and nutrition programs like TSFP and livelihood program to maintain optimal nutrition of pregnant women in sustainable way. Project also showed that health center can apply quality improvement tools, Model for Improvement in this case to set their priority, aim, test change ideas and bring about positive change. Project showed that health workers can apply MFI and use data for decision-making at community level to improve delivery of quality services. The QI models need expanding to additional health facilities through training, and by offering study and learning visits across facilities to share their experiences and best practices in improving the quality of nutrition and related services.

### Increase Long Acting Reversible Family Planning In Manbuk Catchment Area Of Benishangul Gumz Region

Author: Aklilu Yeshitla<sup>1</sup>, Dereje Woldyes<sup>2</sup>, Salah Ebrahim<sup>3</sup>, Tilahun Addisu<sup>4</sup> Affiliation: 1, USAID Transform HDR, OI and OA Advisor, Addis Ababa Ethiopia

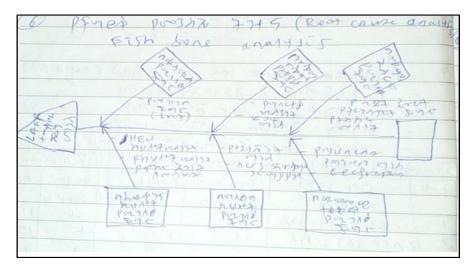
Manbuk Health center, QI officer, Manbuk, Ethiopia
 USAID T-HDR, Regional Manager, Assosa Ethiopia
 USAID T-HDR, RMNCH Officer, Assosa Ethiopia

#### **Background**

Despite the promising improvements in Total fertility rate and use short term FP among reproductive women (15-49 age group), the use of LARC in this age group remains unchanged in Ethiopia. The national figure of total fertility rate (TFR) and Unmet need of FP is 4.6 and 22.3 respectively (EDHS 2016). The TFR and the unmet need of FP of the Benishangul Gumz region is 4.4% and 21.1% respectively. However, when we compare this fact with SDG target, it needs massive effort to achieve the target. USAID-supported Transform: Health in Developing Regions (Transform-HDR) project, is working with the FMOH and RHBs to drive large-scale improvements in Family Planning (FP) service uptake including quality improvement.

In Manbuk HC catchment area in the last two years LARC service coverage is too low and which has great contribution for Maternal child health problems. As consequence, low up take of FP well leads to maternal & child health complication and final to death.

To Site: Yeshitala A, Woldeyes D, Ebrahim S, Addisu T. Increase long acting reversible family planning in Manbuk catchment area of Benishangul Gumz Region, EHQB 2019; 1: Page 44-46.



#### **Objective**

The Quality Improvement Team (QIT) of the health center was using fishbone analysis and multiple whys to identify and reach the root cause of the low long acting Reversible contraceptive (LARC) rate in their catchment area. The QIT identified category of low awareness of community on long acting family planning from fishbone for multiple whys analysis to find out the root cause

#### **Interventions**

USAID T-HDR provided Capacity building training on quality Low coverage of Long acting reversible FP

Why???

Low community Awareness

Why???

Due to poor counseling skill of HWs

Why???

HWs did not well adhere to effective counseling protocol

Why???

Lack of on Job training through onsite coaching

improvement approach and comprehensive FP method including LARC training. Following the QI training, the HC established QIT, assigned Trained health workers (HWs) at FP room and provided responsibility to trained HWs to play their role on catchment based onsite coaching at respective Kebeles. Through the PDSA model, onsite coaching was provided using effective counseling protocol for four months in seven kebeles. A total of 15 HEWs from respective health posts received onsite coaching and a kebele community mobilizer committee mobilize target community during FP outreach sessions. Eleven outreach sessions were conducted as backup with the support of Manbuk HC.

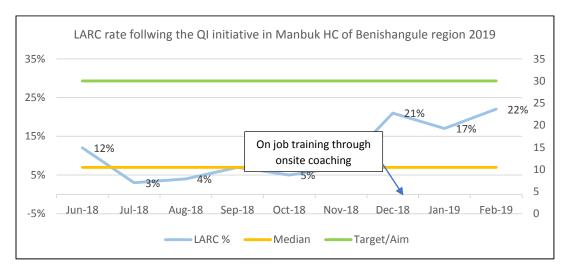
#### Measurement

The QIT was reviewing progress every month following outreach sessions (**outcome indicator**-number comprehensive family planning including long-term family planning acceptance rate, **process indicator**- number of counselled reproductive age during outreach sessions and number of outreach sessions conducted). Monthly data quality assessment done with support of HMIS officer and QI officer of the HC in collaboration with USAID T-HDR officers.

#### Result

A total of 772 reproductive age groups were counselled using counselling protocol and 229 of them received LAFP from Dec 2018 to Feb 2019 following the mobilization. Twenty-two percent (22%) of the target reproductive age group received LARC service by the end of Feb 2019 and the

medical eligibility criteria was used by providers to minimize the complication following LARC insertion.



#### Lessons learnt

Involving Health center leaders and community representative in QI project were helped to generate local solution for improving the LARC in the catchment area. Besides, onsite coaching was handled on duty station with minimal effective expenses for improving LARC rate. Health worker motivation, QIT members' commitment and communication from HC to HPs were main contributing factors for success.

#### **Conclusion**

The QIT adopted the intervention in the health facility for sustainable improvement of LARC across all catchment HPs/Kebeles. The number of short-term acceptance rate following target population mobilization showed an increment over time in the Manbuk health center catchment area. USAID transform HDR project will replicate the intervention across four regions as change package.

# Proper and Complete Use of Partograph to Improve Quality of Maternal and Neonatal Care Services at Durbetie Health Center, West Gojam zone, Amhara Region

Authors: Wondwossen Tebeje, Aynalem Hailemichael, Almaz Bekele, Sisay Mellese, Amsalu Gerem, Niguss Kebede Affiliation: USAID Transform: Primary Health Care Project, Addis Ababa, Ethiopia

#### **Abstract:**

Background: Partograph is most commonly used as a labor monitoring tool which is recommended by World Health Organization (WHO), but its utilization is very low. According to Ethiopian Emergency Obstetric and Newborn Care (EmONC) assessment (2016), only 74% of health facilities use partographs but proper and complete usage among these facilities is very low. In the Amhara region, an assessment by Jhpiego and Bahirdar University (2013) showed that 36.2% of health facilities use partographs, of which only 10.6% applied correct and complete usage. In Durbetie health center, partograph utilization was as low as 20% leading to a high rate of stillbirths. To address this challenge, a Quality Improvement Team (QIT) with the support of the USAID Transform: Primary Health Care project have planned to improve utilization of partographs correctly and completely.

**Methods:** The QIT developed a quality improvement (QI) project to increase the proper and complete use of partographs for all eligible laboring mothers from 20% in March 2017 to 80% by December 2018, using selected change ideas and continuously monitoring the results. The team conducted a root cause analysis, developed and tested different change ideas, used repeated PDSA cycles to test the change ideas, during which data was collected and monitored daily and weekly.

**Results:** The efforts from the QIT and subsequent results were remarkable; the coverage of proper and complete partographs increased from 20% to 100% which was evidenced by more than 6 data points above the median. The team has also observed that maternal complication and stillbirth rates decreased since using correct and complete partographs for all laboring mothers.

**Conclusion:** Despite the good knowledge of providers on the partograph usage, the actual utilization of the tool was low which made implementation of continuous quality improvement by the facility QIT for improving system performance critical. Developing the capacity of the management team and frontline health workers in QI methods through training, coaching/mentoring, close monitoring of measurement/data were key factors for success in the center.

To site: Tebeje W. Hailemichael A, Bekele A, Mellese S, Gerem A, Kebede N. Proper and Complete Use of Partograph to Improve Quality of Maternal and **Neonatal Care** Service at Dubertie

Health Center,

West Gojam Zone, Amhara

Region, EHQB

2019; 1: Page

47-49.

#### Introduction

Partograph is most commonly used as a labor monitoring tool which is recommended by World Health Organization (WHO), but its utilization is very low. According to Ethiopian Emergency Obstetric and Newborn Care (EmONC) assessment (2016), only 74% of health facilities use partographs but proper and complete usage among these facilities is very low. In the Amhara region, assessment done by Jhpiego and Bahirdar University (2013) showed that only 36.2% of health facilities use partographs, of which only 10.6% applied correct and complete usage. In March 2017 at the start of project, Durbetie health center QIT has done baseline assessment on MNH services using MOH clinical auditing tool and the assessment revealed that partograph utilization was as low as 20%, this low utilization contributed to stillbirths and early neonatal birth and maternal complication.

As one of the project site USAID Transform: Primary Health Care Project supported Durebet health center to improve the MNH quality of the service delivery there by decrease still birth, maternal and neonatal mortality. After identification of their gap on parthograph utilization the health center QIT team planned to improve parthograph utilization and the team also wanted to look not only utilization, they wanted to see proper and complete use so that the midwife detect complication as early as possible and respond to it properly. The purpose of this QI project was to increase percentage of parthograph utilization from 20% in March 2018 to 80% by December 2018 and ensuring proper and complete use of partographs for all mothers who are admitted to labour and delivery ward.

#### **Methods**

Following the gap identification, the QI team, started to work on proper and complete utilization of parthograph using repeated PDSA cycle to test different change ideas to reach to their objective. They have provided orientation to the midwives on correct & complete use of parthograph, Peer to peer review of cards, provided regular coaching and mentorship by the QIT, provide proper orientation to the midwives as they assigned to labur and delivery ward.

#### Measures

Input measure was availability of parthograph, process measure was the number of staffs provided orientation on correct & complete use of parthograph during labour and delivery. The outcome measure was the percentage of women whose labour and delivery followed with parthograph. Ensuring the parthograph was filled correctly and completed with all or none criteria.

#### **Analysis**

Data was collected on daily and weekly bases by the midwives at the labor and delivery and regular card auditing and peer to peer coaching and mentorship was done by the QIT. Data was analyzed

using data over time and the team has used the QI chart templet to put data on the run chart on monthly bases.

#### Result

The team ensured utilization of the parthograph correctly and completely for all women during labor and delivery in the facility which is evidenced by data over time (run chart), the coverage of proper and complete partographs increased from 20% to 100% which was evidenced by more than 6 data points above the median. The team has also observed that maternal complication and stillbirth rates decreased since using correct and complete partographs for all laboring mothers.

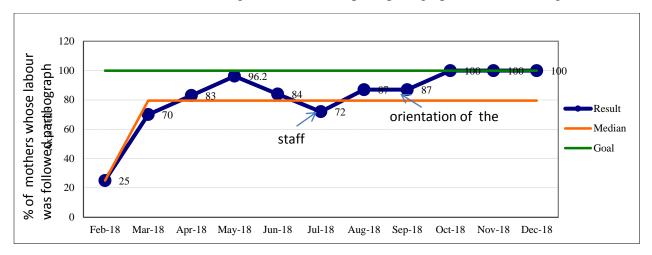


Figure: Run chart on complete and correct use of partograph Utilization, in Durbetie Health Center, West Gojjam Zone, March 1-December 31, 2018.

#### **Conclusion**

Despite the good knowledge of providers on the partograph usage, the actual utilization of the tool was low which made implementation of continuous quality improvement by the facility QIT for improving system performance critical. Developing the capacity of the management team and frontline health workers in QI methods through training, coaching/mentoring, close monitoring of measurement/data were key factors for success in the center.

The case of Durbetie health center is a good example of how the project is impacting quality of care provided by health facilities. The project used a design that was flexible enough to adapt to a local context, did not require substantial resources for scale-up and promoted sustainability

#### **Improve Community TB detection in Wacha Primary Hospital**

Authors: BiniamTeshome<sup>1</sup>, NebiyouWendwessn<sup>2</sup>, Birkety Mengistu<sup>3</sup>

Affiliation: 1. Wacha Primary hospital, Quality Unit Head, Chena District, Ethiopia

- 2. Institute for Health care improvement, Senior Project Officer, Hawasa, Ethiopia
- 3. Institute for Health care improvement, Quality Advisor, Addis Ababa Ethiopia

#### Abstract

**Background:** Wacha primary hospital is located in Southern Nation Nationalities and Peoples' Region (SNNPR), Keffa zone, Chena woreda about 513 km south of the capital, Addis Ababa, Ethiopia.

Local problem: Keffa zone has the lowest performance in SNNPR where TB detection is at 37.4%. Our hospital detection rate is at 19% showing the need to do improvement.

**Methods:** By using model for improvement we started to do a fish bone analysis to isolate the main gaps, after identifying those gaps the QI team had a brainstorming session for change ideas that could be implemented to bring about the changes needed. We analyzed the data using Microsoft excel and followed the data using a run chart.

The designed interventions were: provide refresher training for HEW, Train HEW on Sputum smear preparation for suspected cases, community awareness raising using Flyers and provide Health education on Tb for patients and attendants in the waiting areas twice a week

**Result:** The data was collected every week, and cross checked with HEWs log book. The result was a clear rise in number of patients sent from the community to the hospital. For the first weak there was a rise from the baseline of 0 to 6 patients, which was a clear increase this then followed a trend which persisted for the next 8 weeks. The interventions were a success and the detection rate increased to 70% from the baseline of 19% surpassing our target.

**Conclusion:** Ethiopia remains to be among the 30 countries reported with high burden of TB, TB/HIV and DR-TB for 2015 to 2020. Wacha primary hospital had a low TB detection rate, but after the QI intervention we saw an improvement. By using locally created change ideas we can create changes that can resonate at a national level, and bring our country to the frontier of the global health system.

Key words: Quality improvement, TB detection, sputum smear, health extension workers, FMOH, Ethiopia, Keffa

To site: Teshome B, Wondwosen B. Mengistu B. Improve Community TB detection in Wacha Primary Hospital. EHQB 2019; 1: page 50-54.

#### **Background**

Worldwide, TB is one of the top 10 causes of death and the leading cause from a single infectious agent (above HIV/AIDS). Millions of people continue to fall sick with TB each year. Diagnosis and successful treatment of people with TB averts millions of deaths each year (an estimated 54 million over the period 2000–2017), but there are still large and persistent gaps in detection and treatment. [1].

Ethiopia is among the 30 High TB, HIV and MDR-TB Burden Countries, with annual estimated TB incidence of 177/100,000 populations and death rate of 25 per 100,000 populations for 2016. One of the main targets of the five-year National TB strategic plans of FMOH to End TB 90-(90)-90 by 2020 is to ensure 90% of all people with tuberculosis will be diagnosed and treated [2].

As a region the TB detection rate is at 60 % and out of these 32% are at the community level. However, Keffa zone has the lowest performance in SNNPR where detection is at 37.4%. Our hospital TB detection rate is 19% showing the need to do improvement work.

#### Rationale

By using model for improvement we decided to start with identifying why our TB detection was low, so we started by doing fishbone analysis to identify the major root causes. After that by using the plan, do, study and act (PDSA) cycle, we started to test the change ideas. We followed the data using a run chart divided in weeks and plotting the data points each week. Once a change has been developed, it could be further explored and refined by testing giving this model the edge to explore changes without huge resource loss.

#### **Project Aim**

Increase community TB detection from a baseline of 19% to 50% by the end of May, 2019 in Wacha primary hospital, Ethiopia

#### **Interventions**

Refresher training: HEWs (health extension workers) were given training on the signs and symptoms of TB, how to refer TB patients and how to identify extra pulmonary TB. The training was given on two different occasions.

Sputum smear preparation: The major problem identified was lack of transport and the distance between the health posts and the hospital, which made it difficult for suspected patients to come for checkups. Most people with presumptive TB didn't want to travel for two days to have a checkup for TB either because of money, no place to stay while waiting for gene xpert result, because of the distance they had to travel or mainly lack of transport because of no road infrastructure. So our change idea was to train HEWs on preparation of sputum smear slides for suspected patients, so that the HEW would be able to bring the prepared slides to the hospital. We

prepared a reference manual which provides a step by step guide to the procedure which was printed and distributed to the health posts. The training also included about safety to the workers and how to dispose the sputum cups. The training was conducted by a general practitioner and laboratory technician.

Awareness raising using Flyers: Flyers on the signs and symptoms of TB were prepared by the quality unit which was easy to understand with pictures. It was prepared by the local language and was deployed to raise awareness of the general public.

Health education: Health education about Tb was given by nurses and Health officers for patients and attendants in the waiting areas twice in a week. The dates were chosen, because of the patient flows were higher than the other days.

### **Study of the Interventions**

The impact of the intervention was assessed by testing the change idea on small scale and later following the data with continues data display using run chart. We collected the data for the past 6 month and we used the run chart to plot the data over time and check if it fulfills the rules of run chart for improvement.

### Measures

### **Outcome measures**

According to the national TB guideline 2017, annually there are 177 TB positive patients per 100,000 populations in Ethiopia. Our catchment area has a population of 3500 which means that our hospital should diagnose 60 patients per year and 5 patients per month. To find one positive patient there must be 10 patients sent from the community, which means for a total of 5postive patient there should be 50 total referrals.

So the outcome measures were:

Number of patient referred from the community/ number of patient expected to be referred
 \* 100

NB: Number of patient expected to be referred = 50

### Process measures

- Refresher training: Number of HEW at the refresher training / total number of HEW at the catchment \* 100
- Sputum smear preparation: Total number of sputum smear slides that were brought to the hospital
- Awareness raising using Flyers: Total number of flyers distributed
- Health education: Number of health education given/ Total number of health education planned \*100

N.B: Total number of health education per week is 2 and per month 8.

Data completeness and accuracy was checked by tallying the number of patients sent from health extension log books and this was cross cheeked with laboratory gene Xpert log sheet by the TB focal. The data were put in to excel and calculated the median from the past 6 month. We followed the data by using run chart to see the effect of time as a variable.

### **Results**

All HEW in our catchment area were given the training on slide smear presentation and refreshment course which was 100%. We also gave the health education 16 times over two month. The main outcome of the project was an increase in total patient sent from the community from a baseline of 19% to 70%

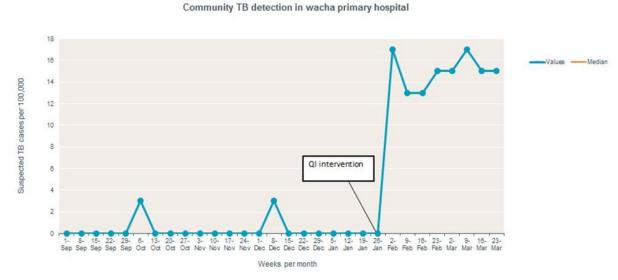


Fig: TB detection in Wacha primary hospital 2019

After the QI project was implemented, we saw improvement in the TB detection which can be seen in the run chart above, which fulfills the shift rule confirming improvement.

### **Limitations and Lessons Learnt**

A couple of the interventions need resource, especially the sputum slide preparation. There should be an extensive training on how to prepare and store slides, on how to dispose sputum cups and transport them safely. There should be a dedicated focal which follows and assists the HEW's. The cost to make and distribute flyers is also high; we used resources set for the quality unit to achieve our goal.

Resource limitation was one key factor but by working hand in hand with the Woreda health office and the hospitals senior management we were able to move forward and achieve our target. Other limitation is loss of referral paper of patient and for those we tried to recover the referral copy from the HEWs.

### **Conclusions**

One of the main targets of the five-year National TB strategic plans of FMOH to End TB 90-(90)-90 by 2020 is to ensure 90% of all people with tuberculosis will be diagnosed and treated, by doing so we decrease number of transmission and the fear of developing drug resistant TB. This project which is created in conjunction with a rural community will in a sense help alleviate the burden people face where the disease exploits the community's economy. By choosing a low TB detection area like us and implementing projects like this will help the country achieve its goals and become part of the system.

As long as there is a dedicated administrative setup, a strong TB focal and slide preparation training for the new HEW the change will be sustainable. But if one is missing from the three it is hard to talk about sustainability.

To take and spread this project to other sites the major ingredient is like any other projects, which is making people understand the importance of the project.

The next step for the project is promotional activities to encourage people to come for screening by using mass media and using local organized programs.

### Reference

- 1. Global tuberculosis report 2018. Geneva: World Health Organization; 2018. Licence: CC BY-NC-SA 3.0 IGO.
- 2. Federal democratic republic of Ethiopia ministry of health national guidelines for tb, dr-tb and leprosy in Ethiopia 2017

### Improving the quality of Nursing Care Plan at Pediatrics ward, Worabe Hospital

Authors: Mohammed Meded, Redi Essa, Abdella Seid, Nesredin Nursebo, Ekima Hussen, Abdurazik Abdella, Jemal

Shifa

Affiliation: Worabe Comprehensive Specialized Hospital, Worabe, Ethiopia

### **Abstract**

**Background:** Nursing care plan will support practice modalities by meeting physical, psychological, social and spiritual needs of patients. The incompleteness of nursing care plan is a significant problem that affects the quality of health care services in hospitals. It lacks completeness and comprehensiveness of data leading to poor patient outcomes, an increase in health care costs, clinical condition were worsening, occurrence of adverse events and effective nursing care plan reduces the probability of medical errors.

In our hospital base line survey were done randomly 68 selected IPD discharged patient charts in order to assess the quality of nursing care plan. Among evaluated 68 patient charts 45(66 %) quality of nursing care plan. We designed quality improving project to improve quality of Nursing Care Plan from 66% to 100% from November 23/2018 - February 23/02/2019.

**Methods:** - The Plan Do Study Act (model for improvement) method of quality improvement was used for this project.

**Intervention:** Onsite orientation for pediatric ward staff, Case team meeting, regular mentoring and coaching was conducted, nursing care plan format was availed &patient chart audited

**Results:** Hundred percent pediatrics ward nursing staffs was oriented/trained on Nursing care plan ,96% of patient discharge had complete Nursing care plan, every week case based discussion was conducted for 11weeks, 95% of patient satisfied with the nursing care provision, 90% of medical record completed, decreased patient average length of stay &Increased work over lode

**Conclusion:** - At the end of this project the changes are implemented, NCP performance became 96% and according to run chart rule there was improvement and patient satisfaction was increased, patient length of stay decreased, good documentation, staff to staff & patient to staff communication habit was adhered and, Sustainability activities was planed

**Key words:** Nursing Care Plan, Problem, Rationale, Process, Systems & Intervention

To site: Meded M, Essa R, Seid A, Nursebo N, Hussen E, Abdella A, Shifa Y. Improving the quality of Nursing Care Plan at Pediatrics ward, EHQB 2019; 1: Page 55-59.

### **Problem statement**

For the past 03 months only 66% of admitted patients had quality nursing care plan in worabe comprehensive specialized hospital pediatrics ward, which leads to increased length of stay and decreased patient satisfaction. In pediatric ward after performance assessment was done, Inadequate nursing care plan, Medical record incompleteness, Prolonged length of stay (LOS) and Poor hand hygiene practice Were identified and criteria was designed based on Importance, Severity, Magnitude Feasibility to prioritize the problems since resource are limited, according to the criteria, the Inadequate nursing care plan was the 1<sup>st</sup> top problem as result this project was developed

### **Aim Statement**

We Worabe comprehensive specialized hospital pediatrics health quality Team (QIT) aims to improve quality of nursing care plan service in Pedi wards from 66% to 100% within the next 4 months (November 23/2018 - February 23/2019).

### Intervention

### 1. Training

Onsite orientation was provided for pediatric ward nursing staffs at November 25/2018 by senior nurse who is experienced and trained on nursing care plan.

### 2. Team meeting and mentoring

Every week case team meeting, regular mentoring and coaching was conducted.

### 3. Availing format

Adequate amount of Nursing care plan format for 6 months' consumption was availed.

### 4. Collecting data

Nursing care plan audit checklist was developed.

Weekly patient chart auditing (16 consecutive weeks) was conducted.

### Study of the intervention

a. The Plan Do Study Act method of quality improvement is used

### PDSA Cycle 1

Plan	Change Idea	Orientation ,Case based discussion & mentorship					
	What	Provide orientation, case based discussion & mentorship					
	How	Onsite & patient side					
	When	Orientation at once then fellow case based discussion & mentorship					
		Every week at (Wednesday)					
	Who	Pedi QIT					
	Where	Pedi ward					
	Data to be	Number of patient chart which have Completed NCP					
	collected	Number of trained staffs, case based cessation &mentorship					

	Prediction	All pediatrics ward admitted patient which have quality of NCP					
Do	Run the test	08 Staffs oriented, case based discussion cessation conducted, Attendance has been taken, Weekly chart auditing for discharged patient					
Study	Result	100 % staffs oriented on NCP, 96 % of patient discharge having complete NCP. This is a little more than expected.					
Act	Adapt	Reinforces the need to do more work in other wards (Adjust with some					
	Adopt /Adjust	modification)					
	Abandon/Reject						

### PDSA Cycle 2

Plan	Change	Orientation, Case based discussion & mentorship							
	Idea								
	What	Provide orientation, case based discussion & mentorship							
	How	Onsite & patient side							
	When	Orientation at once then fellow case based discussion & mentorship Every							
		week at (Wednesday)							
	Who	Pedi QIT							
	Where	Pedi ward							
	Data to be	Number of patient chart which have Completed NCP							
	collected	Number of trained staffs, case based cessation &mentorship							
	Prediction	All pediatrics ward admitted patient which have quality of NCP							
Do	Run the test	08 Staffs oriented, case based discussion cessation conducted, Attendance							
		has been taken ,Weekly chart auditing for discharged patient							
Study	Result	• 100 % staffs oriented on NCP, 82 % of patient discharge having							
		complete NCP. This is a little more than expected.							
Act	Adapt	Reinforces the need to do more work in other wards (Adjust with)							
	Adopt	some modification)							
	/Adjust								
	Abandon/R								
	eject								

Run chart rule is used to decide whether the observed outcomes were due to the interventions

### Measure

Measures chosen for studying processes and outcomes of the intervention(s), including rationale for choosing them, their operational definitions, and their validity and reliability

• Percentage of patient chart which have Completed Nursing care plan **Rational:** - Percentage of patient charts which have Completed Nursing care plan can tell us the end results of our QI project aim

 $\begin{tabular}{ll} \textbf{Operational definition:} & - \begin{tabular}{ll} \textbf{Patient charts which have completed Nursing Care Plan/ Total number of discharged patients $X100$ \\ \end{tabular}$ 

Validity: - No sampling was used because all the admitted patients chart were reviewed/surveyed and also well trained and skilled facilitator was chosen to ensure validity

**Reliability:** - Results were consistent over time and an accurate representation of the client's chart reviewed.

### Accuracy and completeness of the data: -

During assessment all the nursing care plan components were reviewed for its completeness and verified by observing documentation of the data by nursing staffs at patient side and analysis was done by the team.

 Number of nursing staffs trained case based discussion cessation and mentoring and coaching cessation conducted

**Rational:** -Those that tell us the **changes** of our quality improvement efforts make to the inputs or steps that contribute to system outcomes. **Validity:** - All nursing staffs are trained by well-trained and skilled senior

### Approach used to ongoing assessment: -

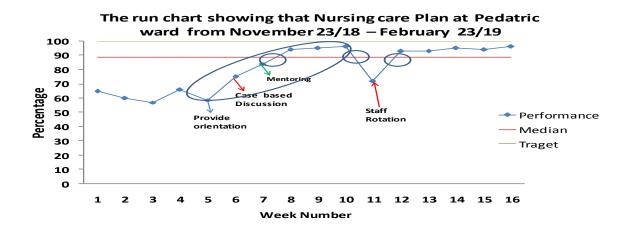
Client Chart review:

- Assessment checklist was prepared and assessment was done over 16 weeks.
- Weekly NCP Data collection & monitoring tally sheet

Document review: -

- Training Attendance for nursing staffs
- Minutes of meting
- Mentoring and coaching files

### Results



To describe whether the change idea leads to improvement or not, we used run chart rules and seen the trend more of rules of run chart: -

- o Rule2: trend is present five or more consecutive point move up
- Rule3: Too Few Runs is present number of runs cut the median line is 3 and add one the number of runs four and sixteen data points the probability table showing that there was statically significant and have sign of improvement.
- o 100% of pediatrics ward nursing staffs was oriented/trained on Nursing care plan
- o 96% of percent of patient discharge having complete Nursing care plan, which is almost the same to the aim
- Case based discussion cessation was conducted for eleven weeks
- o Mentoring cessation was conducted for eleven weeks
- o Ninety-five Percent of patient satisfied with the nursing care provision
- Ninety percent of medical record completeness
- o Decrease patient average length of stay

### **Limitations and Lessons Learnt**

- NCP Performance was decreased during Staff rotation
- o There was shortage of nursing staff during the project implementation

### **Conclusion**

At the end of this project patient satisfaction was increased, patient length of stay decreased, good documentation, staff to staff & patient to staff communication habit was adhered

- o Conducting regular case based discussion, case team meeting and mentoring
- o Providing refreshment training on NCP for the nursing staff
- o Providing onsite training on NCP for newly recruited nurses
- o Availing formats constantly
- Benefits of the change are widely communicated, immediately obvious, and supported by evidence
- o Recognizing best performers

### Reducing Newborn Hypothermia at Birth in Chencha Primary Hospital, Gamo Zone, SNNPR, Ethiopia

Author: Dawit Hailu<sup>1</sup>, Abiyou Kifle<sup>2</sup>, Hema Magge<sup>2</sup>, Birkety Mengistu<sup>2</sup>, Wendimagegn Nigatu<sup>3</sup>, Zenebe Chewa<sup>3</sup>,

Mathewos Maja<sup>3</sup>

Affiliation: 1. Institute for Healthcare Improvement, Hawassa Ethiopia

2. Institute for Healthcare Improvement, Addis Ababa, Ethiopia

3. Chencah Primary Hospital, Chencha, Ethiopia

### **Background:**

Newborn hypothermia occurrence is common throughout the world. Newborns regulate their body temperature unwell and lose heat more easily. As part of Institute for Healthcare Improvement's Supported Collaborative, we designed a QI Project in Chencha Primary Hospital Located 38 Km from Arbaminich town in SNNP region with a cold weather of 14°c, which causes 30% of NICU admitted newborns to be hypothermic.

Improve the newborn babies average body temperature from 35.3°c to normal body temperature (36.5°c to 37.5°c) at one hour of birth between September 2018 and April 2019. The team identified the root causes for higher rates of hypothermia and proposed the following change ideas.

- Orientation of midwives on reliable practice of skin to skin contact, initiation of breast-feeding time, preparation of delivery room readiness.
- Template prepared and used for documenting each activity (duration of skin to skin contact, initiation of breastfeeding time and score of delivery room readiness).
- o Monthly discussion on each activity.
- o Backup towels prepared and used.
- Radiant warmer and delivery room door maintained.
- o Window sealed.

Average weekly newborn temperature shows an increase of  $1.1^{\circ}$ c in the central line measure of the X bar S chart from the baseline of  $35.3^{\circ}$ c to  $36.4^{\circ}$ c. The team measured average duration of skin to skin contact which stands at 20 minutes after birth during the QI project period. Along with this, the average central line for breast-feeding initiation time is 44 minutes; the delivery room temperature increased from an average of  $19^{\circ}$ c to  $24.8^{\circ}$ c in central line at birth (x bar and s chart). The average continuous skin to skin contacts at birth is maintained for 20minutes. The other interventions to raise the room temperature enabled the room temperature to raise by  $2.6^{\circ}$ c. As a result, the temperature of newborns increased by  $1.1^{\circ}$ c. More interventions will be required to prolong skin to skin contact and ultimately improve the newborn temperature for better survival.

To site: Hailu D, Kifle A, Magge H, Mengistu B, Nigatu W, Chewa Z, Maja M. Quality Improvement (QI) Project to Reduce Newborn Hypothermia at Birth in Chencha Primary Hospital, EHQB 2019; 1:Page 60-66

### **Rationale**

Different studies indicate preventing hypothermia in newborns increase the chance of newborn survival by preventing heat loss and maintain the body temperature with in normal range. Through conserving energy for growth and development, preventing hypoglycemia, hypoxia, cold injures and necrotising enterocolitis. Therefore, this intervention will reduce the risk of hypothermia related complications.

### **Specific Aim**

To increase the proportion of newborns with normal temperature at one hour of birth from 7% to 100% between Sep 2018 and April 2019 in Chencha Primary Hospital.

### **Intervention(s)**

Quality team, MCH case team and Hospital leaders were briefed on the one-week baseline assessment findings and last year's NICU admission report which; was associated with hypothermia. Based on Ethiopian Hospitals Service Transformation Guideline the quality unit already established sub quality improvement team and this team further brainstormed on the associated factors by using a fish bone analysis (Figure 1).

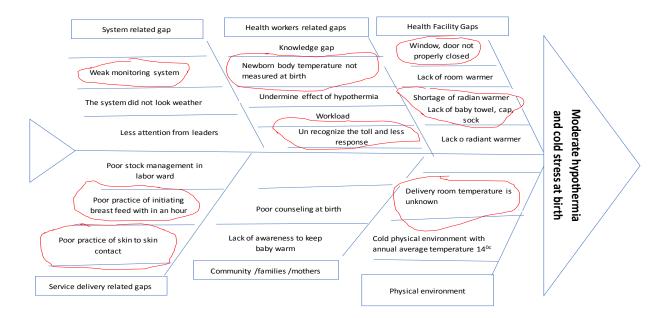


Fig 1: Root cause analysis for newborn hypothermia at birth in Chencha Primary Hospital

### **Methods**

Model for improvement framework was used

What are we trying to accomplished?

• To increase the proportion of newborns with normal temperature at one hour of birth from 7% to 100% between Sep 2018 and April 2019 in Chencha Primary Hospital

What change can we make that will result in an improvement?

- Midwives were orientated on reliable practices of recommended standards (reliable practice of skin to skin contact, initiation of breast feeding within an hour and delivery room readiness at birth)
- Separate template was prepared and used to document duration of skin to skin contact, initiation time for breast feeding and score of delivery room readiness.
- Monthly discussion was held to study the data captured and the progress.
- Backup towels were prepared and used to fill gap (as some mothers do not bring towel it is difficult to provide care at birth without back up towel).
- Radiant warmer and delivery room door were maintained.
- Windows were sealed (the electric line passed through the window to the delivery room disabling the window closure that affected room temperature)

### **Measures**

### Outcome measure

• % of newborns with normal body temperature at 30', one hour and two hours of birth.

### Process measure

- Average delivery room temperature.
- Average time in minutes of skin to skin contact after birth.
- Average time in minutes between birth and breast feeding.
- Successive delivery room score on practice of using radiant warmer, use of towel, closing window and door at birth.

### Balancing measure

• Number of hypothermia admission at NICU /if practice of hypothermia at birth increase it will decrease percent of hypothermia at admission in NICU/

### **Analysis**

PDSA template was used to plan, do, study and act on proposed change ideas. Each process was documented on data collection tool for routine QI team meeting and the corrective actions taken. Each process event over different condition was observed and ramp of PDSA cycle carried out.

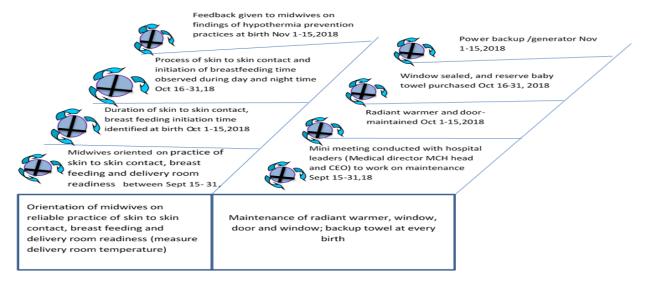


Figure 2: Ramp of PDSA Cycle

Statistical Process Control (SPC) chart software was used to understand variation on processes of each activity among all normal term newborns.

### **Results**

Average weekly newborn temperature shows an increase of 1.10c in the central line measure of the X bar S chart from the baseline of 35.30c to 36.40c due to implementation of three separate change ideas: orient midwives on practice of skin to skin contact and document duration, early initiation of breast feeding in one hour and maintenance of radiant warmer door and window and monitor room temperature see the figurers below.

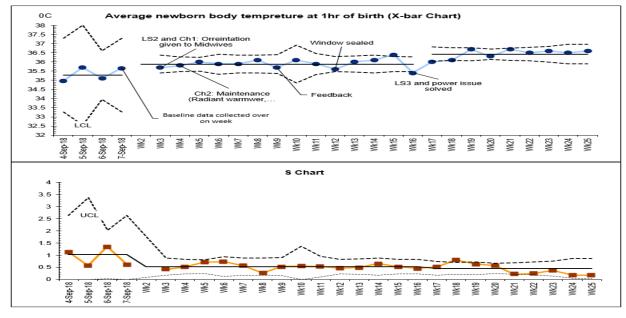


Figure 3: Newborn body temperature at one hour of birth X bar S chart

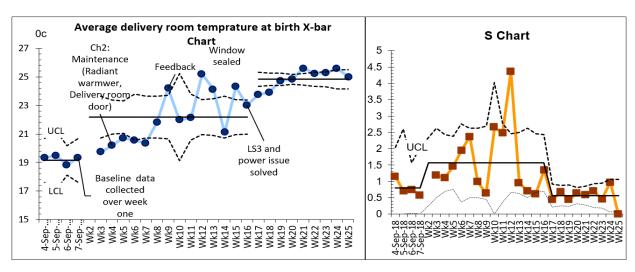


Figure 4: Delivery room temperature at birth

This work helped us to learn that reliable practice of skin to skin contact at birth is interrupted while providing an essential immediate newborn care and during transferring mothers from delivery room to postnatal room. Then after also its continuity in a postnatal room is affected by multiple factors. Our team measured duration of skin to skin contact at birth /during third stage of labor/ and the average time is 20 minutes. According to WHO 1-2hr is recommended after birth therefore our team is exploring ways of maintaining skin to skin contact in postnatal care unit as well.

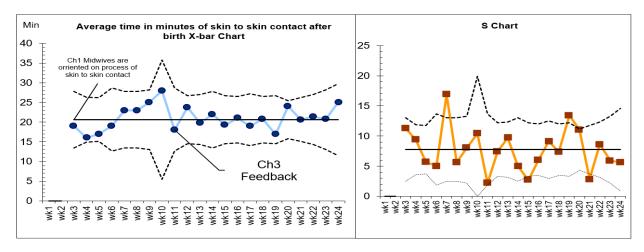


Figure 5: Duration of skin to skin contact at birth

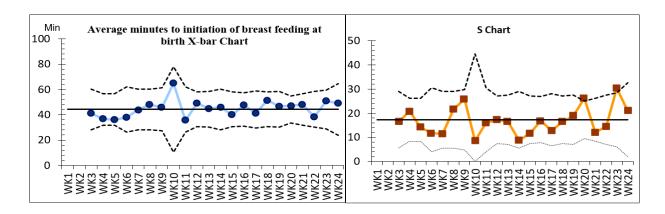


Figure 6: Breast feeding initiation time in minute at birth

The physical environment of the woreda is cold with annual average temperature of  $14^{0}$ c. The improvement team worked and improved the delivery room temperature from an average of  $19^{0}$ c to  $24.8^{0}$ c

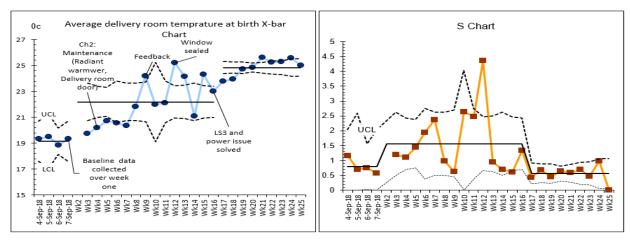


Fig 7: Average delivery room temperature at birth

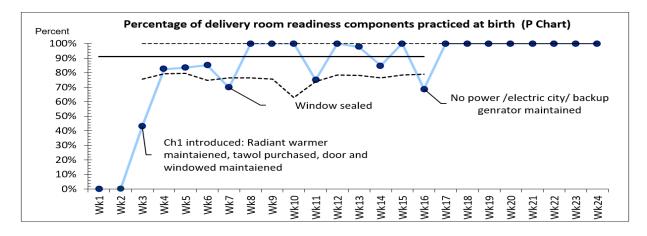


Fig. 7 Data on delivery room readiness

Score on delivery room readiness

- 0 not documented the practice
- . 1 when only door and window closed at birth
- · 2 when towel is present and used at birth
- · 3 when radiant wormer is functional at time of delivery used at birth
- · Note: way of collecting data:
  - a. 1, =score 1
  - b. 1,2/1,3 =score 2
  - c. 1,2,3=score 3

There is slight linear correlation between delivery room temperature, initiation of breast feeding and newborn average body temperature at birth.

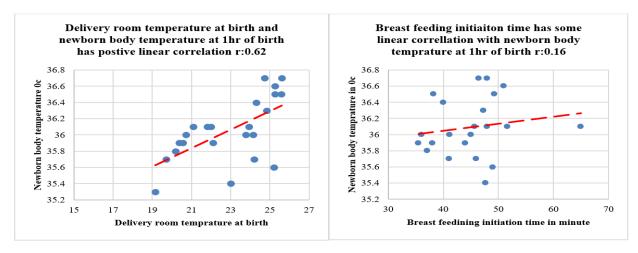


Fig 8: correlation of delivery room temperature and breast feeding with newborn body temperature at birth

### Limitation

- This is specific quality improvement work and generalizability is not work.
- Confounding variables were not controlled.
- Baseline data only captured of one week and lead less confidence for compression.

### Lesson learnt

Our team learnt that the higher management team/leadership of the hospital was easily convinced to respond to the evidence-based information without delay. In our setup in most health facilities measuring newborn body temperature at birth was not common. In our case measuring the newborn body temperature immediately after birth at an hour of birth helped us to diagnose the magnitude of hypothermia and this data urged the leadership to respond in addressing the delivery room readiness gap.

### Conclusion

Average continuous skin to skin contact was 20 minutes at birth. Other interventions to raise the room temperature enabled the room temperature to raise by 2.6°c. All these increased the newborn temperature by 1.1°c. More interventions will be required to prolong skin to skin contact and ultimately improve the newborn temperature for better survival.

# Utilization of Safe Childbirth Checklist to Improve Quality of Care Provided to the Mother and Newborn: A Case of Molalie Health Center, North Shoa Zone, Amhara Region

Authors: Wondwossen Tebeje<sup>1</sup>, Aynalem Hailemichael<sup>1</sup>, Almaz Bekele<sup>1</sup>, Sisay Mellese<sup>1</sup>, Habtamu Belachew<sup>1</sup>, Niguss

Kebede<sup>1</sup>

Affiliation: 1. USAID Transform: Primary Health Care Project, Addis Ababa, Ethiopia

### **Abstract:**

**Background:** Safe Childbirth Checklist (SCBC) was designed by World Health Organization (WHO) as a tool to improve the quality of care provided to women giving birth. The checklist is an organized list of evidence -based essential birth practices/clinical bundles, which targets the major causes of maternal deaths, intrapartum related stillbirths and neonatal deaths that occur in health-care facilities around the world. In Ethiopia, the use of SCBC started as a new initiative in 2018 and the utilization is very low. In the Amhara region, the utilization of the checklist in the health facilities was very low. Molalie health center's team recognized that the health center was not using the SCBC during labor and delivery. Therefore, the quality improvement team (QIT) with support from the USAID Transform: Primary Health Care project planned to introduce the checklist/bundle to improve the care given to the mother and newborn during labour and delivery.

To site: Tebeje W, Hailemichael A, Bekele A, Mellese S, Belachew H, Kebede N. Utilization of Safe Childbirth Checklist to Improve Quality of Care Provided to the Mother and Newborn: A Case of Molalie Health Center, North Shoa Zone, Amhara Region, EHQB 2019; 1: Page 67-

**Methods:** Following the gap identification, the QIT developed a QI project which aimed to introduce the SCBC/clinical bundle utilization for women during labor/delivery. Based on the root cause analysis using driver diagram, the team developed and tested change ideas. The QIT used repeated PDSA cycles to test the change ideas and while the PDSA cycles implementation the team has collected and monitored the data on daily and weekly bases on all the four bundles/poses such as on admission bundle, before pushing bundle, soon after birth bundle and, on discharge bundle. While testing the change ideas, the team has to collect data on measurements.

**Result:** The team managed to utilize the SCBC correctly and completely for all women during labor and delivery in the facility which is evidenced by data over time (run chart), then the team decided to make the SCBC as part of the routine system in the health center to reduce maternal and neonatal deaths. The past one-year's data shows that the delivery of evidence-based essential birth practices at each birth event increased from zero out of 522 practices prior to introduction of the checklist to 437 out of 446 practices after the checklist had been introduced.

**Conclusion:** To improve system performance, building the capacity of quality improvement teams, coaching/mentoring and use of data as a tool for decision making were key factors for QI project success.

### Introduction

The WHO Safe Childbirth Checklist (SCBC) was designed as a tool to improve the quality of care provided to women giving birth. The Checklist is an organized list of evidence-based essential birth practices, which targets the major causes of maternal deaths, intra-partum-related stillbirths and neonatal deaths that occur in health-care facilities around the world. Each Checklist/bundle is a critical action that, if missed, can lead to severe harm for the mother, the newborn, or both. In Ethiopia, use of WHO SCBC started as a new initiative in 2018 but the utilization is very low. In the Amhara region, the utilization of the checklist in the health facilities was very low.

As one of the project site USAID Transform: Primary Health Care Project supported Molalie health center to improve the quality of the service delivery. Health center QIT team as part of their baseline assessment, they have done clinical auditing on MNH service using MOH audit tool and have identified that the health center was not using the SCBC during labor and delivery. To address this challenge the QI team planned to improve SCBC utilization. The purpose of this QI project was to improve SCBC/clinical bundle utilization for women during labor/delivery from 0% in February 2018 to 80% by December 2018.

### **Methods**

Following the gap identification, the QI team, started to work on SCBC utilization using repeated PDSA cycle to test different change ideas to reach to their objective. They have provided orientation to the midwives on correct & complete use of SCBC, Peer to peer review, provided weekly mentorship.

### **Measures**

Input measure was availability of safe child birth checklist, process measure was the number of staffs provided orientation on correct & complete use of SCBC during labour and delivery. The outcome measure was the percentage of women whose labour and delivery followed with SCBC/checking compliance to all the four bundles in the SCBC such as on admission bundle, before pushing bundle, soon after birth bundle and, on discharge bundle, and parthograph utilization was followed as a balancing measure. Data was collected on daily and weekly bases by the midwives at the labor and delivery and regular card auditing and peer to peer coaching and mentorship was done by the QIT. Data was analyzed using data over time and the team has used the QI chart templet to put data on the run chart on monthly bases.

### Result

The team managed to utilize the SCBC correctly and completely for all women during labor and delivery in the facility which is evidenced by data over time (run chart), then the team decided to make the SCBC as part of the routine system in the health center to reduce maternal and neonatal

deaths. The past one-year's data shows that the delivery of evidence-based essential birth practices at each birth event increased from zero out of 522 practices prior to introduction of the checklist to 437 out of 446 practices after the checklist had been introduced.

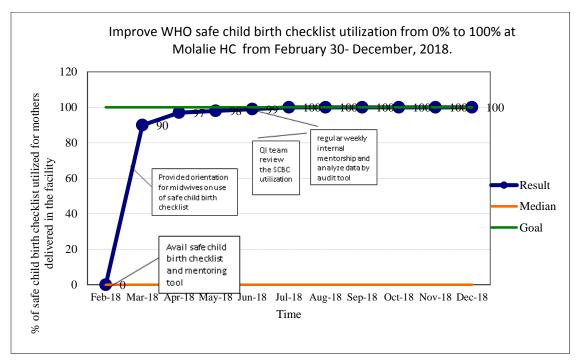


Fig: Utilization of safe childbirth checklist, in Molalie HC, North Shewa, Feb. - Dec. 31, 2018.

### Conclusion

The QIT has learned that the use of SCBC is very critical to monitor labour birth to detect complication as early as possible and respond to it. So the team has adopted the intervention as a standard of care in the health center and has developed protocol for labour and delivery management. To improve system performance, building the capacity of quality improvement teams, regular coaching/mentoring and use of data as a tool for decision making were key factors for QI project success.

## Increase Partograph Use through Quality Improvement Intervention in Bambasi Health Center, Benishangul Gumz Region, Ethiopia

Author: Aklilu Yeshitla<sup>1</sup>, Yared Abera<sup>1</sup>, Yared Argeta<sup>2</sup>, Tilahun Addisu<sup>1</sup>, Tewodros Adem<sup>2</sup> Affiliation: 1. USAID Transform HDR, Addis Ababa, Ethiopia 2.Bambasi Health Center, Bambasi, Ethiopia

### **Background**

Developing regions of Ethiopia, pregnancy related mortality ratio is higher compare to national figure (412 per 100,000 live Birth). Partograph is cost effective and easily applicable health interventions, that can help prevent the majority of maternal and neonatal deaths. However, use of partograph of birth care providers vary throughout the country, and its use in pastoralist region is the worst. Therefore, this QI project is aimed to improve use of partograph and its associated factors among birth care givers in Bambasi health center in Benishangul Gumz region, Ethiopia. Model of improvement used to improve the use of partograph in the facility.

To site: Yeshitla A. Abera Y. Argeta Y, Addisu T, Adem T. Increase Partpgraph Use through Improvement Intervention in Bambasi Health Center, Benishangul Gumuz Region, Ethiopia, EHQB 2019; 1: Page 70-72.

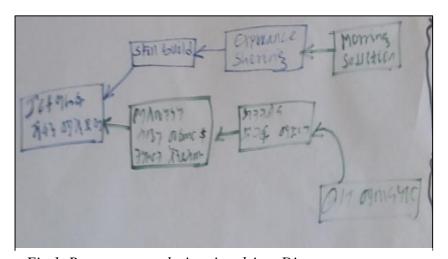


Fig 1. Root cause analysis using driver Diagram

### **Objective**

This QI project is aiming to increase use of Partograph in obstetric service provision from 20% to 100% by the end of March 2019 in Bambasi HC of Benishangul Gumz Region.

### Intervention

Training on QI approach, and BEmONC provided by USAID T-HDR. The project RMNCH officers conducted onsite coaching and close follow up on Obstetric service provision including Partograph use. The HC established Quality Improvement Team to strengthen the quality service and performance of the health facility. The team identified low partograph use, which is 20% in baseline assessment. Using QI tools, onsite orientation on Partograph completion, documenting & recording of activities done by the health facility health officer and midwifery, who are trained and experienced in delivery service. The peer to peer support continued at delivery room for linking experience health workers with less experience professionals. The QIT carried out routine follow up to delivery unit as per supportive supervision planned weekly.

### Measurement

The performance of partograph use was reviewed by HC QIT in monthly basis and measurement used to monitor the progress (outcome indicator- number maternal and child death, process indicator- partograph used to assist delivery and number of deliveries happened in the facility). Bimonthly random data quality to ensure data consistency and completeness was done by QI officer and HMIS officer (registration, report and complete Partograph).

### Result

Partograph use orientation and onsite coaching given to six health workers. A weekly peer to peer support made among six health workers using paired modality (experienced HW with non-experienced). A total of 298 pregnant women delivered in the HC and 297 of them are live births. Out of these deliveries, 75% of them assisted using partograph and documentation completed as required from Oct 2018 to Jan 2019. This shows 55% increment compare with previous period partograph use in Bambasi HC. During the QI project period, twelve onsite coaching and close follow up conducted to ensure partograph use and provision of care following delivery. Oxytocin administration given to 224 delivered mothers and early initiation of breast feeding started for 297 babies. Before this intervention in the health facility 3 newborn death documented but, in this intervention period the health facility experienced only one death, which caused by late referral from nearby HF. The monthly LQAS score showed above 86% during QI project implementation.

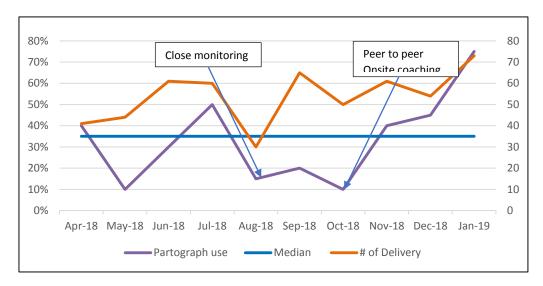


Fig 2. Delivery and Partograph use, Bambasi Health center

### **Lessons Learnt**

Peer to peer support contribute the interaction and early adoption of partograph use. Close follow up and mentoring by supervisor were facilitated the use and documentation of provision of care on partograph. As result of partograph use in the health center, documented increment on number of deliveries, reduction of newborn death and consistent use of partograph that contributes for quality service improvement.

### **Conclusion**

The health facility QIT adopted intervention and decided to continue the intervention in the coming period. Partograph use helped to improve the quality of service related to labor & delivery care and which contribute for improvement of facility delivery. The number of deliveries showed an increment over time following partograph use in the facility, which indicate improvement of quality service in the health facility. USAID transform HDR will scale up to 32 health centers to improve labor monitoring and take appropriate action on time using partograph.

### Reducing Outpatient waiting time to consultation at outpatient department, Worabe hospital

Authors: Nesredin Nursebo $^1$ , Mohammed watero $^1$ , Aman Kedir  $^1$ , Yitagsu Kebede $^1$ , Kedir Ahmed  $^1$ , Abubaker Mohammed $^1$ , Jemal Shifa  $^1$ 

Affiliation: 1. Worabe Comprehensive Specialized Hospital, Worabe, Ethiopia

### **Abstract**

**Background:** outpatient waiting time is important health care in hospital service, if waiting time is much long patient perceive as barriers to actually obtaining services. Improving patient's satisfaction towards healthcare services by reducing their Waiting time, attending the patient in time and sympathetic approach will create a positive image of hospital in the minds of people and will also help the hospital to build an image in the community.

In our hospital baseline taken quarter one key performance indicator (KPI) report for outpatient waiting time to consultation survey was 72 mints. This is higher from the target indicator which is 40 minute or less.so We designed quality improving project to reduce waiting time from 72 minute to 40 minute over the next three months (October 01 - December 30/2018)..

**Methods**: - The Plan Do Study Act cycle method of quality Improvement was used for this project.

<u>Intervention</u>: Onsite orientation Provided for all outpatient staffs, time management, additional staffs recruited, done benchmarking from other better hospitals, medical record room renovated and repeat patient card searching system well designed and Smart data base system established at central triage room and community based health insurance/ CBHI/ registration room, then direct link the repeat patient to OPD without waiting.

**Results:** assessment checklist was developed and used regularly, all out patient staffs well oreiented, staff number increased, easly finding repeat patient card, outpatient waiting time reduced to 39 minute, increased patient satisfaction (96%), assigned data collectors and doing survey every weeks, average waiting time in mints taken as outcome measure.

<u>Conclusion</u>: - At the end of this project the changes are implemented, OPD waiting time reduced to 40 minute, patient satisfaction increased (96%), staff work load decreased, good patient card arrangement/documentation/, so follow and strengthen the change ideas to be sustained.

**Key words:** outpatient waiting Time, quality improvement, medical record, and community base health insurance

To site:
Nursebo N,
Watero M,
Kedir A, Kebede
Y, Ahmed K,
Mohammed A,
Shifa J. Reducing
Outpatient
waiting time to
consultation at
outpatient
department,
worabe hospital
EHQB
2019;1:page 73-

77.

### **Problem statement**

For the past six Months the average outpatient waiting time to consultation in Worabe Comprehensive Specialized Hospital (WCSH) Outpatient Department (OPD) was 72 minute, this is higher from the national indicator 40 minute or less, which leads to increased length of stay and decreased patient satisfaction. Then assessment was done by OPD staffs to identify and prioritize the problems at outpatient department, The major problems were long outpatient waiting time to consultation, frequent stock out of drug and supplies, Low coverage of ANC 4<sup>th</sup> and Poor referral linkage among this list of the problem the team was used Problem identification and prioritization Matrix tool based on the criteria was designed magnitude, severity, importance and feasibility the team was selected the first rank long outpatient waiting time to consultation taken as quality improvement project. So after assessing problems which makes OPD waiting time more long was Medical record registration system no more organized, poor time management, knowledge and skill gap,MR room not well arranged and shortage of staffs.

**Aim Statement** we worabe comprehensive specialized hospital outpatient quality team aim to reduce outpatient waiting time to consultation from 72 mint to 40 minute within the next 3 month (October 01– December 30/2018).

### Intervention

After identifying the detail problems, action taken like provided onsite orientation for all outpatient staffs about QI project, patient take long waiting time at medical record registration in order to solve such problem, Patient which have pervious medical record number consider as repeat patient and searching their MRN by assigned one health informatics technician and availing computer and Smart care data base at central triage room, then direct link the repeat patient to served OPD without waiting for medical record registration and the card was facilitated by assigned OPD runners. Central triage starting time shaped to start early, outpatient consultation time regularly monitored by human resource department and outpatient department coordinator. In order to improve MR management specially retrieving and shelving ,medical record Staffs was attended benchmarking activity at black lion Specialized Hospital & St, Paul's Hospital Millennium Medical Collage (SPHMMC), after benchmarking done re-filling patient chart, renovate medical record room.on CBHI service previously only one centralized Community Based Health Insurance(CBHI) unit provide the service, so one patient at maximum four times touching for CBHI unit for medical record service, Laboratory service, Imaging service and Pharmacy service as a result patient take long waiting time, to solve the problem Purchased two additional CBHI data base and provided CBHI data base usage training for cashiers and installed at Laboratory and community pharmacy service points and Recruited additional staffs (Runner (08), HIT (01) Nurse (03), Cahier (04) & Medical record staffs (07) by human resource department.

### Study of the intervention

Plan, Do, Study and Act (PDSA) cycle method of quality improvement model was used and update outpatient waiting time to consultation survey tool, based on the survey checklist collect the data over the time, plot the data on run chart, a noted the intervention and decide whether the observed outcomes were due to the interventions by used Run chart rules.

By using outpatient waiting time survey checklist and the Average waiting time in minutes to measure the outcome. Regarding to validity and reliability using the sampled size of 20 patients per week at different times of working days considering high patient flow days like Monday and low patient flow day like Thursday, then taken the average waiting time of Monday and Thursday. The following data over the past 12 weeks on waiting time (at the time of patient entry at central triage and ending at the time of starting the consultation of medical staff).

Week Number	Wk 1	Wk 2	Wk 3	Wk 4	Wk 5	Wk 6	Wk 7	Wk 8	Wk 9	Wk 10	Wk 11	Wk 12
Total waiting time (minutes)	1330	1300	1880	1040	1040	1080	1000	980	960	880	820	780
Number of patients	20	20	20	20	20	20	20	20	20	20	20	20

Average outpatient waiting time to consultation, number of CBHI data bases purchased, number of CBHI unit added, number of mentoring and coaching cessation conducted, number of additional window added, number of Benchmark cessation conducted, Percentage of patient Satisfaction, Percentage of client compliant received & number of Staff recruited.

Outpatient waiting time refers to the time a patient waits in the hospital starting from the triage and ending at the time of starting consultation by medical staff at OPD.

### **Measures**

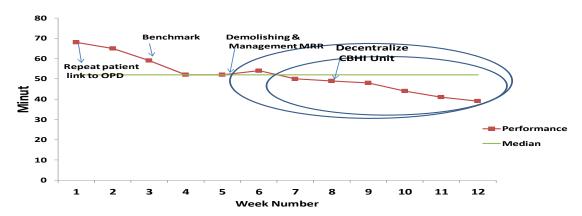
The data accuracy and completeness was maintained by provided training for data collectors, the team members weekly monitoring and reviewed the data.

### **Analysis**

Quantitative data analysis: -Structured outpatient waiting time survey tool was used to measure the outcome (Average waiting time in minute) and also used percentage and number to determine the process and balance measure like percentage of patient satisfaction, Number of Benchmark session conducted, Percentage of client compliant received, Number of CBHI data bases purchased and Number of Staff recruited. Collected the data over the time and plot the data on run chart to analysis understanding of variation within the data either common cause and special cause variation. The run chart definitely tells us there was special cause variation.

### Result

### The run chart Showing that average outpatient waiting time to consultation at WCSH from October 1 – December 30/2018



To descried whether the change idea leads to improvement or not, we used run char rules and seen the trend more of rules of run chart

Rule1: - Shift is present six consecutive points move down wards the median value

Rule 2: - Trend is present seven consecutive points move down wards on the same direction

Rule3: -Too Few Runs is present number of runs cut the median line is one and add one the number of runs Two and Ten data points the probability table showing that there was statically significant and have sign of improvement.

### **Probability Table**

Total no of data points that do not fall on the median		Upper limit for no. of runs (>this no. of runs is "too many"		
10	3	9		
11	3	10		
12	3	11		
13	4	11		
14	4	12		

Average outpatient waiting time to consultation before project implementation was 72 mints and at the end of the project intervention period average outpatient waiting time to consultation was reached to 39 minute, this result almost comparable with national target of waiting time to consultation and implementation and sustain the next plan for the hospital.

Patient satisfaction survey tool was used to measure patient Satisfaction was ninety-six percent and measure patient compliant through registration book and complaint receiver two

Regarding to process measure we have two CBHI data bases purchased and installed, based on CBHI data base availability two additional CBHI unit added at community pharmacy and laboratory departments and trained cashier on CBHI data base usage already provide service and reduce patient waiting time and improve patient fellow path way, one times benchmark cessation conducted at Black line Hospital and St. Paul's' Millennium medical Collage based on experience sharing improve MR management specifically retrieving system shelving, re-filling, add additional window and demolishing medical record room which decrease patient waiting time at medical record registration. Recruited number of staffs Runner (08), HIT (01), Nurse (03), Cahier (04) & Medical record staffs (07).

Patient satisfaction survey tool was used to measure patient Satisfaction was ninety-six percent and measure patient compliant through registration book and complaint receiver two percent of client compliant was received.

### Limitation

Staff shortage, all service not automated/networked each other, shortage of budget

### Conclusion

After completion of this QI project Average outpatient waiting time to consultation was reduced to 39 minute which is comparable to national target by intervention of decentralized CBHI unit, improve management of medical record room by demolishing the room, re-filling the patient chart and add additional window, time management, repeat case directly link to OPD, benchmarking, and staff recruitment which improves quality of health care, decrease length of waiting time, reduce cost, increase patient satisfaction and reduce patient complaint.

Sustain the result by involving senior management team (SMT), all staffs working in outpatient department, create accountability, continuously measure, monitor, follow up the result, and automated/networked OPD service.

### Surge plan; a Quality Improvement Booster

Author: - Ephrem Alemayehu

Affiliation: Mizan-Tepi University Teaching Hospital, Clinical Governance and Quality Improvement Unit Head, Mizan

Aman, Ethiopia

### Abstract

**Background:** The number of the services given by Mizan- tepi university teaching hospital, like every health facilities in our country, has increased since it was founded three decades ago. This specifically was since after its transformation into a teaching hospital three years back. However, the quality of care couldn't keep up with both the catchment population as well as the services provided by the hospital. This was apparent on the self assessed EHSTG performance in the 1st to 3rd quarter of 2010 EFY. An average of 53%, which was one of the lowest performances recorded among hospitals in SNNP. In addition to this, the patient satisfaction was 5/10, during that same period. Our Quality improvement unit then designed this plan in order to address the aforementioned problems.

**Methodology** The quality unit came up with an idea which has not been practiced elsewhere to our knowledge, which is the surge strategy. This booster strategy is constituent of interventions which intensify activities in optimum pace, as well as build the capacity of the sub quality teams (QITs). The surge plan, starting from end of third quarter, lasted for 3 months of the 4th quarter of 2011EFY. Initially what we did was to list all the unmet standards of the EHSTG. After that, we sorted each problem in order of feasibility of their intervention based on resource and time. Next, the plan focused on division of the quality unit members to mentor and work with each sub QITs (Five for each of us). Each of us, along with sub QITs, directly involved at each sub QITs level on daily basis until standards which can be met in short and intermediate term are met. Focus matrix was utilized to prioritize interventions which can be done easily the effect of the intervention was assessed by serial measurement of patient satisfaction and EHSTG.

**Results:** Significant improvement was seen in patient satisfaction which grew from 5.1 to 8 and then 8.5 in the last quarter of 2010EFY and first quarter of 2011EFY respectively. In addition to this, the whole process built the knowledge and skills of the sub QITs as they were the integral part of problem solving crew.

**Conclusion:** Working in the front line by the quality improvement unit focusing on the large number of interventions which can be achieved easily in a resource limited setting can bring dramatic change than by just passing order down the hierarchy. Besides, it will give it structure and strengthen training deficient sub QITs and hence sustain the changes.

To Site: Alemayehu E, Surge plan; a Quality Improvement Booster. EHQB 2019;1: Page 78-81

### **Problem description**

Mizan – Tepi university Is one of the oldest universities in Ethiopia. The hospital was transformed into a teaching institution three years back. Currently the hospital serves a catchment population reaching 2.5 million. Since its establishment in 1986 it has been increasing its number of services in order to satisfy the ever increasing demand of the community. However increasing just only the number of services comes at a cost of quality. It's for the most part due to unmet demand in medical equipment, essential medications, and human resource.

FMOH is currently focused on improving the service quality delivered at each healthcare facility. In order to achieve this, it has introduced Ethiopian health service transformation guideline (EHSTG) which has twenty chapters, 197 standards, for teaching hospitals. The guideline, if followed strictly, is important to facilitate interventions which could bring sustainable change. However, understanding the guideline and implementing all the activities requires adequate hands-on training. As it is true everywhere, only handful people are trained on EHSTG and quality improvement. This was the major cause of our problem. The hospital has only three quality trained staff, the rest were working by common sense.

After the re-structuring of all twenty chapters, the sub QIT leaders were trying their best to accomplish the goals as per the EHSTG. However, they felt short to do that in the required pace. Although unsuccessful, we tried to build the capacity of our sub QIT leaders by giving short course of quality improvement training. It was after all these trials that we started looking for other options.

### **Rationale**

The idea of intensive coaching and mentoring on daily basis was the option that seemed appropriate in our setup. This is because for one, the sub QIT leaders are the busiest staff as they are in ample committees that they do the quality works as a side job and the other is they always do their best, within their limit, to lift the hospital quality activity up. So, it was for these two reasons that we designed the surge plan.

### **Specific aims**

The aim of the surge plan was to increase the EHSTG performance of the hospital from 60% to 80% and by extension increase patient satisfaction from 5.1 to 8 out of 10 in just six months.

### Methodology

The quality unit came up with an idea which has not been practiced elsewhere to our knowledge, which is the surge strategy. This booster strategy is constituent of interventions which intensify activities in optimum pace, as well as build the capacity of the sub quality teams (QITs). The surge plan, starting from end of third quarter, lasted for 3 months of the 4th quarter of 2011EFY. Initially

what we did was to list all the unmet standards of the EHSTG. After that, we sorted each problem in order of feasibility of their intervention based on resource and time. Next, the plan focused on division of the quality unit members to mentor and work with each sub QITs (Five for each of us). Each of us, along with sub QITs, directly involved at each sub QITs level on daily basis until standards which can be met in short and intermediate term are met. Focus matrix was utilized to prioritize interventions which can be done easily. The effect of the intervention was assessed by serial measurement of EHSTG performance.

After we divided the tasks among ourselves each of us —the quality unit members who had taken the quality improvement training- joined the sub QITs to tackle each problem. The whole team members in each sub QITs participated in bringing change ideas using logical and lateral thinking with the guidance of the quality unit members. Then, change ideas that seemed feasible were chosen and carried out. In order to evaluate our activities we used the standards and bulletins from the EHSTG assessment book. In addition to this we also assessed patient satisfaction as one of the outcome measure.

This whole process enabled us to conduct supervision as well. What was planned yesterday? What did we accomplish yesterday? And what is our plan for today? Were the three most important questions governing our project. Using these questions we were able to efficiently accomplish each listed out activities as well as supervise and assure that the changes were being sustained.

Importance									
		1	2	3	4	5			
	1								
	2								
	3					q, r, s			
<b>Y</b>	4				Y,27,28,	U, v, w, x			
Pillid					29,30, 31				
Feasibility	5					a,b,c,d,e,f,g,h,I,j,k,l,m, n,o,p,z,t,32,33,34,35			

Fig 1; - Focus matrix: the letters (a-z) and numbers (27-35) represent 35 interventions that are believed to boost the EHSTG performance. Of these, 22 were found to be the most feasible and important. Accordingly, these were the interventions we tried to carry out.

### Result of the surge plan

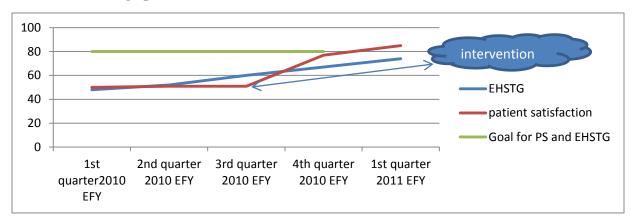


Fig 2; - This line graph shows the change in EHSTG and patient satisfaction of MTUTH in and after the intervention period.

Following the intervention, EHSTG performance grew from 60% in third quarter to 74% and patient satisfaction from 51% to 85% in first quarter of 2011. In addition to this, strong link was established between the staff working at each sub QITs, a platform suitable for knowledge and experience sharing was created. In addition, it avoided a one man show by bringing structure and system throughout the process.

### Limitations

The external validity of this practice must be tested by other healthcare facilities because strict measurements were not documented. And above all, the significance of the intervention for the contribution of patient satisfaction is not evidenced with statistical measurements. I.e. p value, run chart...

### **Conclusion**

Working in the front line by the quality improvement unit focusing on the large number of interventions which can be achieved easily in a resource limited setting can bring dramatic change than by just passing order down the hierarchy. Besides, it will give it structure and strengthen training deficient sub QITs and hence sustain the changes. Since this method is not costly we recommend other facilities to test it using measurements and either statistically prove or disprove it so that it will be disseminated in the learning sessions i.e. EHAIQ in the future.

### QI project on improving elective surgical service access at Yekatit 12 Medical College

Authors: Ayele Teshome, Fekadu Abdisa, Bereket Zelalem, Netsanet Temesgen Affiliation: Yekatit 12 Hospital Medical College, Addis Ababa, Ethiopia

### **Abstract**

**Objective**: To improve access for elective surgical cases.

To site: Teshome A, Fekadu AbdisaF, Zelalem B, Temesgen N. QI project on improving elective surgical service access at Yekatit 12 Medical College. EHQB 2019;1: Page 82-87.

**Methods**: A driver diagram was used to identify the root causes with highest severity and frequency and focusing matrix was used to prioritize interventions of high impact and is easy to implement by the maternity unit. Analysis was done using time series charts including run chart and control charts.

**Result**: system designs to reduce cancellation rate and OR efficiency has led to improvement in overall surgical access to elective surgical conditions from 38 procedures a week to more than 90

**Conclusion**: bundle of interventions to reduce cancellation rate and increase procedure time are successful in improving OT efficiency with an ultimate impact on surgical morbidities, mortalities and client satisfaction.

### Introduction

Y12HMC is one of the tertiary teaching hospitals in Addis Ababa. It is located in Arada Kifle Ketema. It is founded in 1915 E.C.

Y12HMC provides major surgical services to most of the clinical conditions requiring surgical interventions at specialty and sub-specialty level. In addition to the emergency surgical services being provided, the hospital college also performs an average of 38 major elective surgical procedures a week. However, such performance is far below the weekly elective surgical service demand in the hospital college. This has led to high elective surgical waiting list and forced many of our clients (>1800) to wait for the service for long periods of time, with some of them even waiting more than 2 years. In addition, it has created a significant physical, medical and psychosocial impact to our clients.

### Aim of project

The aim of this QI project was, therefore, to improve the elective surgery productivity from the current 38 elective surgeries a week to more than 100 a week in the next 6 months.

### Methods

A quality improvement program was initiated with the hypothesis that our elective surgical productivity is far below the expected performance we could have with the available resource and that should be improved.

At the end of September/2018, a meeting was held between the hospital college provosts and heads of various surgical departments, with an aim approving the improvement project on improving elective surgical service productivity.

Many possible root causes of low productivity have been brainstormed and agreement was reached on the severity and frequency of the problem. This has been followed by another brainstorming session (using driver diagram) to list out all the possible solutions which we can do. Lists of causes and their possible solutions are described in the following driver diagram (Fig 1.)

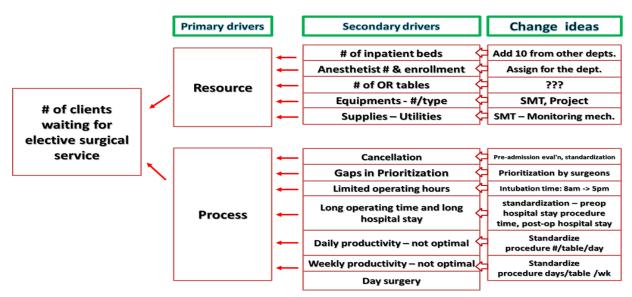


Figure 1: Driver diagram

Focusing matrix was used to prioritize the solutions suggested by the team and a change package of implementable high impact interventions were listed out.

### The change package included

Organogram revision and installing a temporary and permanent leadership structure at major OR. As part of the permanent leadership, an OR director was assigned to the major OR and clear reporting relationships and roles and responsibilities were defined for all staffs working in the major OR. In addition, a temporary team leadership role is assigned to the operating surgeon while any procedure is being undertaken.

The SMT has taken all the responsibilities to closely follow the supply chain management system in ensuring all required drugs and supplies are availed, all equipment's are functioning and no interruption because of utility system failures.

A pre-admission surgical and anesthetic evaluation system was agreed so that clients called from the waiting list are evaluated, to ensure the indication for the surgical intervention is still there; standardize preoperative work up requirements; ensure all the minimum preparations are made – Investigations, blood etc; conduct a pre-anesthetic evaluation and ensure their fitness

Prioritization gaps related to clinical condition, geographic and economic problems are left to be managed by the admitting surgeon and a monthly audit mechanism designed to evaluate equity related issues.

Operating hours were found to be limited. It was a culture to start late in the morning, around 10:00 – 10:30AM and end at 2PM in the afternoon. After series of scientific discussions, it has been decided to make the first case incision time at 8 AM in the morning and the service continuing the whole working hours of the day.

Setting the minimum table productivity per day; it has been a culture for any department/individual surgeon to schedule 1 or 2 or more clients a day and the KPI related to delay for elective surgical admission was being monitored by only quality unit of the hospital. This is despite the long waiting list the hospital had and it was decided that the minimum table productivity should be 3 and the OR director is expected to monitor daily, weekly and monthly datas as per the plan agreed by the team.

Installing a central appointment system for all clients; this is because of the surgical appointment system to individual surgeons and equity related issues were raised based on availability and performance of individual surgeons.

Daily monitoring and feedback system – identifying root causes for all incidents on daily basis and provision of feedback

### Measures

Measures were selected to follow if the change leads to an improvement. These included:

Outcome measure:

• weekly elective surgical service productivity

Process measures:

- Number of cancellations each day
- Number of OR days and tables with the first incision time is later than 8:30AM
- Number of OR days interruption due to utility problems.

The test design used was time series testing design with data collection before and after the change. The Data source is the OR register and every day performance was fed to a database.

### **Analysis**

For analysis, initially run chart was used to display the data and nonrandom variation was looked for using the four run chart rules. After we got adequate data points, C - chart was used to look for special causes.

### Result

Immediately after the introduction of the change package, the pattern of data on the run chart showed nonrandom variation (shift). (See figure 3)

All data points are above the median except week 16 where the performance was below the baseline median. Individual case study approach for week 16 revealed the performance was low because of examination and most consultants were busy with examination of students.

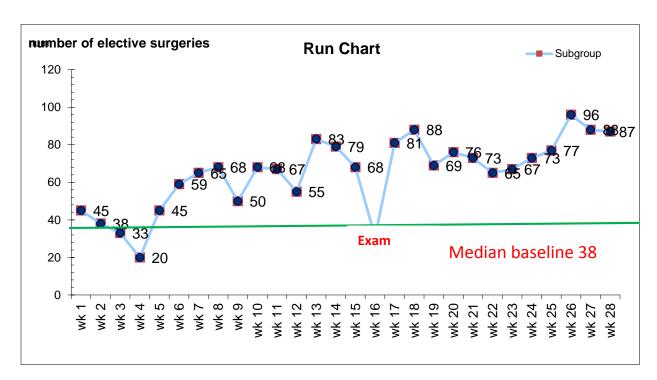


Figure 2: Run chart with data before and after change package inserted

Also, analysis with C- chart showed special cause variation (shift) immediately following the introduction of the change package. (See figure 2)

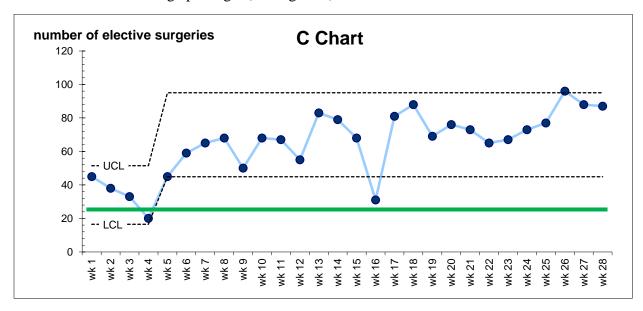


Figure 3: C - chart showing improvement in elective surgical service productivity at Y12HMC

### Limitations

There were no limitations and ethical concerns in this project as there was no potential for harm, burden and cost to the clients

### Conclusion

Improving the performance of operating theatres is key to achieving shorter waiting times for treatment, implementing booking of elective operations and reducing cancelled operations.

In this QI project, poor preoperative preparation and OT management system was identified as the main cause of low elective surgical productivity at Y12HMC.

Effective planning and management is essential to improve services for patients, ensure optimum use of existing theatre capacity, maximize operating theatre performance and avoid cancelled operations. Quality improvement projects focusing upon OT management has shown significant efficiency gain in terms of higher surgical productivity, reducing surgical morbidities and mortalities and improving client satisfaction

In our hospital medical college, we successfully increase elective surgical productivity by implementing a package of interventions addressing the preoperative preparation and OT management.

In conclusion, bundle of interventions to reduce cancellation rate and increase procedure time are successful in improving OT efficiency with an ultimate impact on surgical morbidities, mortalities and client satisfaction.

## Expanding Access to Safe Surgery through A Multidisciplinary Mentorship Approach

Author: Medhanit Getachew<sup>1</sup>, Manuel Kassaye<sup>1</sup>, John Varallo<sup>2</sup>, Alena Troxel<sup>2</sup> Affiliation: 1. Jhpiego Ethiopia, Addis Ababa, Ethiopia 2. Jhpiego Baltimore, Maryland, USA

#### **Abstract**

**Background:** To meet the Universal Health Coverage goal by 2030, countries must reach a minimum of 80% coverage of emergency and essential surgical and anesthesia services per country. The GE foundation-funded Jhpiego Safe Surgery 2020 project (SS2020) set out to increase volume of surgical procedures and contribute towards reduction in morbidity and mortality associated with surgery. In collaboration with the Ethiopia Federal Ministry of Health (FMOH), Jhpiego supported sixteen primary-level hospitals in three regions, Amhara, Tigray and SNNP, to increase volume of surgical procedures and safety.

**Methods:** The program interventions focused on strengthening surgical care systems and build clinical skill of surgical team to improve team performance and increase the number of surgical procedures performed in intervention facilities or catchment primary-level health care facilities. Capability to perform the Bellwether Procedures is proved to increased access to essential surgical interventions and, thereby, reducing morbidity and mortality. Specific interventions implemented under this project were: 1) leadership training that is designed to build autonomous problem-solving skills and strengthen surgical care system, 2) clinical skill building and on-site mentorship to surgical teams, 3) supportive supervision to monitor project outcome, and 4) support to improve use of quality data for decision-making. The skill building efforts mainly focused on the Bellwether surgical procedures- Cesarean delivery, laparotomy, and management of open fracture.

**Result**: A total of 150 surgical leaders and 24 mentors completed a standardized a short-term training leadership curriculum. As a result, the performance of surgical team in the sixteen facilities improved and over 14, 000 surgeries were performed during the project lifetime, June 2016 to January 2019. Documentation of surgical services improved by introducing surgical registries and data quality monitoring. health facilities received support to improve patient safety monitoring practices including documentation and reporting of key safety indicators; during the project period, the number of Surgical Site Infection reported were 66 (0.46%) while the reported Peri-Operative Mortality were 24(0.17%).

Conclusions and Recommendations: Leadership and mentorship were instrumental in building problem solving and clinical skills among the surgical teams. Surgical leaders and mentors empowered surgical teams to play catalytic roles to strengthen surgical systems and processes that has contributed to increased volume of surgeries performed and improved patient safety monitoring practices. The leadership intervention and follow up coaching could be scaled up locally and globally.

**Key word**: Safe Surgery, Mentorship, leadership, volume

# To site: Getachew M, Kassaye M, Varallo J, Troxel A. Expanding Access to Safe surgery through a Multidisciplinar y Mentorship Approach. EHQB 2019;1: Page 88-91.

#### **Background**

The Lancet commission on Global Surgery set a goal for a minimum of 80% coverage of essential surgical and anesthesia services in LMICs by 2030. That is a minimum of 5000 surgeries per 100,000 populations. Currently, five billion people lack access to safe, timely and affordable surgical and anesthesia care; in low-resource settings, nine of ten people cannot access basic surgical services. Globally, 33 million individuals incur catastrophic expenditures resulting from surgical and anesthesia care, and this number climbs to 81 million if indirect costs are included [1]. The 2030 Agenda for Sustainable Development, approved by the United Nations (UN) in 2015, includes the key health-related target (Sustainable Development Goal 3.8) of universal health coverage (UHC); surgical, anesthesia and obstetric care are fundamental components to its achievement [2].

In 2016, the Federal Ministry of Health (FMoH) report showed less than 250,000 major surgeries per year i.e. (250 surgeries/100,000 population) Unmet need of five million surgeries/year (only 5 % reached); patients wait years for essential surgeries. In response to the significant unmet need for surgical and anesthesia care in Ethiopia, the FMOH developed the national flagship program-Saving Lives through Safe Surgery (SaLTS). The program started implementation by developing a five-year strategic plan document. GE Foundation-funded Safe Surgery 2020 project (SS2020), supports the FMoH to operationalize the SaLTS Initiative in three regions of Ethiopia. SS2020 partners, Jhpiego, Assist International, Harvard's Program for Global Surgery and Social Change, and Dalberg Advisors, build the capacity of the surgical workforce to increase surgical volume and reduce referrals out. Jhpiego leads the SS2020 leadership program, which empowers district-level surgical teams to be agents of change at their health facility and in their community.

**Program description:** SS2020 project is a multi-stakeholder initiative funded by GE Foundation and implemented in the three regions, namely, Amhara, Tigray and SNNP, of 16 primary hospitals. The facilities were doing on average volume of surgeries per months 10 to 15 and with high referral cases before the implementation of SS2020 Project. Too many patients were experiencing surgery service delaines and post-surgery infections.

Rational: In the past three years, Jhpiego has been implementing a leadership development training and clinical skill building with follow up onsite mentorship support. SS2020 training focuses on building leadership capacity of the entire surgical staff, from nurses and anesthetists to surgeons and hospital management. Its focus: to strengthen performance across surgical practice as opposed to addressing each specific procedure separately. They learned how to best identify and tackle administrative and system problems related to performing surgery, and also developed an action plan to reduce infections and make surgeries safer at their hospitals. Additionally, through the help of senior surgical care teams from led hospitals or mentors get on site clinical skill transfer and case consultation to surgical services providers at primary level hospitals to boost their confidence.

**Project aims:** The project aims to increase access to safe emergency and essential surgical care and contribute to reduction in surgical morbidity and mortality. The project mainly focuses on procedures the "bellwether" surgical procedures. i.e., Cesarean Birth, Laparotomy and Open fractures) and provide essential safe/ quality surgical service.

**Methods:** The Jhpiego arm of the SS2020 program focuses on supporting and strengthening access to safe essential and emergency surgical procedures:

#### **Program interventions include:**

- Leadership and mentorship training to support and strengthen problem-solving among surgical teams at the district level,
- **Monthly mentorship** visits to the mentee hospitals, along with quarterly supportive supervision to maintain knowledge and skills and promote sustainable impact,
- Quality data collection and use for informed decision-making

#### **Implementation approach**

- **Mentorship is team-based:** a multi-disciplinary mentor team from the lead/referral hospital composed of a senior surgeon/specialist, anesthetist and senior OR nurse travels together to the district hospital to provide targeted feedback
- **Mentorship modality is blended:** Mentorship visits occur monthly onsite and are supplemented by off-site mentoring
- Mentor visits are tailored to the needs of each hospital: mentees select topics of importance to them based on challenging cases that month and mentors' direct observation

#### **Measures:**

- **Discussions on patient safety**: infection prevention, instrument processing, hand scrubbing, proper utilization of WHO safe surgery checklist
- Senior OR nurse (Mentor) will **observe** the proper implementation of infection prevention techniques by the mentee hospital surgical team members
- **Demonstrations** on scrubbing techniques and OR procedures
- Review data recording, care process and provide feedback

#### **Analysis:**

Most of the time cases were referred to the referral hospitals, which can be managed in the primary level hospitals. The community lacks trust on their nearby primary level hospitals and had exposed to other catastrophic costs. After the leadership training given to the surgical care workers by the SS2020 project, Jhpiego; the surgical care workers started identifying their problems and designing quality improvement projects and implement according to their action plan. Rather than waiting someone outside or the management or Regional Health Bauer (RHBs) to solve their problem, the surgical care workers started to resolve the problem by themselves. And hence, the community developed trust by the hospital service. As a result, the community buy in the program and started community mobilization and bought ultrasound, etc...In terms of the sustainability of the project

the government already scaled up in other hospitals like in Amhara region and FMOH already developed national mentorship guidelines with the support of Jhpiego and started budget allocation to the hospitals. Which is very positive sign of the project result sustainability.

#### **Results:**

The project achieved through leadership and mentorship training, after trained 170 surgical care workers of the sixteen hospitals, more than 14,000 surgeries were performed over the project period to date (Jun 2016 to Jan 2019). The surgical care worker teams are empowered to expand the surgical services to reach out the unmet need of the community and hence the community trust and confidence in the hospital increased. Additionally, the surgical team members viewed as exemplary for teamwork and problem solving achievement in their hospitals. In the area of patient safety improvement, the hospitals started monitoring and data use of Surgical Site Infection (SSI) and Peri-Operative Mortality (POM) using data tracking and recording system, in addition to implementation of WHO surgical safety checklist.

**Limitations**: Given the fact that different intervention components were executed by different implementing partners and interventions were introduced at different time intervals, the impacts of other possibly complementary intervention could not be presented here.

#### **Conclusion and recommendation:**

Leadership and mentorship are important skills for surgical care teams, enabling the teams as change agents. Strong leadership and mentorship skills can empower surgical care teams to make transformative and catalytic changes that, in turn, improve surgical access, safety, and quality and this skill should be scaled globally to all surgical care teams

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### Building Local Manufacturing Capacity to Improve Access to Critical MNCH Medicines

Authors: Hailu Tadeg<sup>1</sup>, Fasika Mekete<sup>1</sup>

Affiliation: 1. United States Pharmacopeial Convention, Promoting the Quality of Medicine Program, Addis Ababa,

Ethiopia

#### **Abstract**

**Introduction:** Ensuring optimal cord care at birth and during the first week of life is a crucial strategy to prevent life-threatening sepsis and cord infections and avert preventable neonatal deaths. Chlorhexidine is a broad-spectrum antiseptic that is available in a range of concentrations and has been used for over 40 years; but its specific use for umbilical cord care was uniquely tested in three clinical trials in the form of 7.1% chlorhexidine gluconate (CHX). CHX has been shown to reduce severe infection by 68 percent and mortality by 23 percent, potentially saving over 300,000 lives globally each year. In 2013, WHO added chlorhexidine (CHX) to the List of Essential Medicines for umbilical cord care. But, In Ethiopia, there was no local manufacturer and no foreign supplier of CHX registered by the Ethiopian Food Medicine and Healthcare Administration and Control Authority (EFMHACA).

Implementation: As part of the effort to improve availability of CHX, PQM partnered with Addis Pharmaceutical Factory, a local manufacturer, to enable the factory produce CHX for supplying to health facilities in Ethiopia. Accordantly, PQM provided multifaceted technical assistance including onsite and offsite training and conducting GMP compliance audit followed by development and implementation CAPA (Corrective and Preventive Action). PQM also supported development of dossier for CHX. On the other hand, PQM in collaboration with DKT-Ethiopia also advocated to EFMHACA to include CHX to be considered as a fast-track and get priority for registration. PQM has continued this support and is planning to improve the formulation through technology transfer in collaboration with GSK with funding from USAID.

**Results:** The local manufacturer improved its GMP compliance and ultimately started producing CHX, for the first time in Ethiopia. Moreover, product samples passed tests conducted by an independent accredited laboratory following which the CHX dossier submission was accepted and registered by EFMHACA in April 2015. Since then, APF served as the only source of CHX supplies in Ethiopia covering all the needs of the country. Initially, APF started production with a volume of 144,990 tubes of chlorhexidine gel in 2014/15 and increased its production capacity over the years. So far, APF was able to supply a total of 5, 067,167 tubes of chlorhexidine gel. Available evidence indicate that overall infant mortality has been reduced in Ethiopia progressively between 2011 and 2017with the largest reduction happening after 2014. Although direct correlation may not be possible, the presence of CHX might have contributed to this reduction.

To site: Tadeg H, Mekete F. Building local manufacturing capacity to improve access to critical MNCH Medicines, EHQB 2019; 1: Page 92-94.

**Conclusion**: Albeit the benefit of chlorhexidine in the reduction of neonatal motility, the product was not easily accessible in Ethiopia as there were neither registered suppliers nor is it produced locally. Thus, supply and use of chlorhexidine was erratic. The effort made to build capacity of a local manufacturer has ultimately enabled production of chlorhexidine in Ethiopia. This has resulted in continuous supply of this product from the local sources thereby contributing towards reduction of morbidity and mortality of infants in Ethiopia.

#### **Problem Description**

Although the benefit of chlorhexidine digluconate in reduction of neonate motility has been well evidenced, there was challenge in making chlorhexidine accessible for clients in Ethiopia. Because, there were neither registered suppliers that can import the product nor local manufacturers producing chlorhexidine in Ethiopia. Thus, the supply and use of chlorhexidine digluconate was erratic. The challenge on access was also aggravated by inadequate utilization of the product due to limited awareness of health care professionals on use of chlorhexidine.

#### **Rationale**

The recently-cut umbilical cord is an entry point for bacteria that can cause newborn sepsis and death. Bacteria rapidly colonize the moist cord stump and have direct access to the bloodstream through umbilical vessels that remain patent for the first few days after birth. In addition, bacterial colonization may lead to cord infection (omphalitis) with potential spread to the surrounding tissues and blood stream. Ensuring optimal cord care at birth and in the first week of life using effective anti-infective like chlorhexidine, especially in settings with poor hygiene, is a crucial strategy to prevent life-threatening sepsis and cord infections and avert preventable neonatal deaths

#### **Specific aims**

The purpose of the project was to increase the local supply of chlorhexidine gel to health care facilities for topical application on umbilical cord by increasing quality assured local production of Chlormethine gel

#### Intervention

In general, product quality assurance requires a multi-layered and multi-disciplinary effort including manufacturers, procurement agents, the regulator and health care providers. The manufacturer has to secure its raw materials form the right source and produce it in compliance with cGMP. Moreover, the manufacturer is required to release the final product after conducting proper quality control and quality assurance processes and finally the product has to be registered by the EFMHACA as per local regulation. On the other hand, the procurement agency has to conduct pre-purchase/procurement quality assurance or inspection before actual procurement. The health care providers are required to ensure its proper use at the service delivery points. The regulator (EFMHACA) has to control both the manufacturer and procurement agency as well as providers for compliance to good practice in all stages

USP/PQM had employed multiple approaches including technical assistance and advocacy to increase the supply of quality assured chlorhexidine digluconate 7.1% from local sources. Technical support to Addis pharmaceutical factory (APF), which is one of the largest local pharmaceutical manufacturers. Thus, USP/PQM provided training and capacity building support in the areas of GMP, dossier preparation in CTD format, the identification and sourcing

comparator product, supportive and Mock audit followed by preparation and implementation of CAPA. USP/PQM as also provided reference standard to EFMHACA for testing of Chlorhexidine as part of market authorization requirements.

In addition, USP/PQM has done advocacy on the importance of chlorhexidine gluconate 7.1% in umbilical cord care and its contributions in reducing child mortality to EFMAHCA) so that chlorhexidine can be considered as fast track medicine. This has helped in achieving expedited quality assurance processes of EFMHACA followed by granting of market authorization. USP/PQM technical assistance has continued as part of the effort for continual improvement of chlorhexidine formulation and its manufacturing processes through technology transfer from the parent company (GSK) in collaboration USAID.

#### Results

The local manufacturer improved its GMP compliance and ultimately started producing CHX, for the first time in Ethiopia. Moreover, product samples passed tests conducted by an independent accredited laboratory following which the CHX dossier submission was accepted and registered by EFMHACA in April 2015. Since then, APF served as the only source of CHX supplies in Ethiopia covering all the needs of the country. Initially, APF started production with a volume of 144,990 tubes of chlorhexidine gel in 2014/15 and increased its production capacity over the years. So far, APF was able to supply a total of 5,067,167 tubes of chlorhexidine gel. Available evidences indicate that overall infant mortality has been reduced in Ethiopia progressively between 2011 and 2017with the largest reduction happening after 2014. Although direct correlation may not be possible, the presence of CHX might have contributed to this reduction.

#### **Lessons Learnt**

Local production of priority medicines in developing countries environment is still feasible provided that the right mix of support and technical assistance is provided to industries having foundational capabilities on which to build and have the willingness to improve existing practices so as to meet international quality standards

#### Limitations

The data included in this article is taken directly from the manufacturer and does not show actual consumption and use of chlorhexidine.

#### Conclusion

Albeit the benefit of chlorhexidine in the reduction of neonatal motility, the product was not easily accessible in Ethiopia as there were neither registered suppliers nor is it produced locally. Thus, supply and use of chlorhexidine was erratic. The effort made to build capacity of a local manufacturer has ultimately enabled production of chlorhexidine in Ethiopia. This has resulted in continuous supply of this product from the local sources thereby contributing towards reduction of morbidity and mortality of infants in Ethiopia

## Enhancing Healthcare Workers' Infection Prevention and Patient Safety Awareness: In-service Training at Goba Referral Hospital

Author: Biniyam Sahiledengle<sup>1\*</sup>, Demisu Zenbaba<sup>2</sup>, David Allison<sup>3</sup>

- Affiliation<sup>:</sup>1. Department of Public Health, School of Health Sciences, Madda Walabu University Goba Referral Hospital, Bale-Goba, Ethiopia
  - 2. Environmental Health Unit, Madda Walabu University Goba Referral Hospital, Bale-Goba, Ethiopia;
  - 3. Volunteer Public Health Administration Advisor, Midwives Save Lives Program, Cuso International, Goba Referral Hospital, Bale-Goba, Ethiopia;

#### **Abstract**

**Background**: The promotion of infection prevention and patient safety in health care settings is a nationwide initiative that involves the regular implementation of recommended infection prevention practices in every aspect of patient care. Such practices include hand hygiene, personal protective equipment utilization, injection safety and medication safety, health care waste management, and others. In this regard, adequate knowledge among healthcare workers is key to implementation of safe practice and improved compliance to recommended infection prevention principles. This in-service training is aimed to enhance healthcare workers' infection prevention and patient safety awareness across all hospital-based services in Goba Referral Hospital, Southeast Ethiopia.

**Methods**: In-service infection prevention and patient safety training was provided from March 21-28, 2019 at Goba Referral Hospital. We presented the 22 modules of the Ministry of Health's Infection Prevention and Patient Safety Training Resource Package in two identical 4 day sessions. Participants were selected from across all hospital-based services including those that impact maternal heath, labor and delivery, surgical services, neonatal care and child health. In order to determine trainees', change in awareness we compared pre-test and post-test scores using a paired t-test to estimate the mean difference.

**Results**: A total of 56 healthcare workers (physicians, nurses, midwives, and laboratory technicians) and other staff were involved in this in-service training. For these, pre-test and pos-test score data, the training conditions yield a fairly large correlation coefficient (Pearson's correlation coefficient value ( $\rho$ ) = 0.653) and a statistically significant correlation was observed (p < 0.05). On average, healthcare workers who participated in the infection prevention and patient safety training and took the post-test demonstrated improved awareness of infection prevention (Mean = 6.16, SE (Standard Error Mean) = 0.28) as compared to their pre-test score (M = 5.25, SE = 0.265), t (55) = 3.964, p < 0.001, Effect size (r) = 0.471).

Conclusion: The results support the idea that providing in-service infection prevention training appears to be useful in enhancing healthcare workers' awareness level and potentially, by extension, their practice. Hence, health authorities should encourage in-service trainings to strengthen healthcare workers' compliance with infection prevention measures, which are the only ways to reduce and protect healthcare staff, patients and clients from the occurrence of unnecessary infections and occupational injury

To site: Sahledin B. Zenbaba D. Allison D. Enhancing Healthcare Workers' Infection Prevention and Patient Safety Awareness: Inservice Training at Goba Referral Hospital, EHQB 2019; 1: Page 95-100.

#### Introduction

Hospitals provide the opportunity for transmission of infection between patients and failure to follow proper infection prevention practices puts healthcare workers, patients and communities at risk. A study conducted in Bihar Dar City Administration, Ethiopia, showed that health care workers (HCWs) practice scores on infection prevention were not good and safe enough to meet the expected standard of the national guideline (Kelemua G. et al., 2014). Another study conducted in Mizan Aman General Hospital, in south west Ethiopia, also indicated that health care workers disposed of sharp materials such as used needles in open bins, in sharp- and liquid-proof containers after separating the needle from syringe, and mixed with other wastes/rubbish. To prevent accidental injury 60.7% of HCWs believe that contaminated needles should be recapped immediately after use. Among some of the reasons for not wearing stated personal protective equipment (PPE) were stock depletion of desired PPE; PPE were not comfortable/convenient; and it was difficult to work while wearing PPE (Yakob E, et al, 2015). A study conducted in Addis Ababa's Black Lion Hospital reported that the practice of hand hygiene among physicians was low before patient contact, before caring for a wound and after patient contact. Nurses wash their hands more frequently than physicians (Admasu T. et al, 2013). Similarly, recent studies conducted in the area of infection prevention and patient safety reveled that sub-optimal infection prevention practice among healthcare workers seems to be a common problem (Sahiledengle B. et al, 2018; Gebremariyam BS, 2019).

In Bale Zone, the unpublished thesis 'Knowledge and Practice of Healthcare Workers towards Infection Prevention and Its Associated Factors in Bale Zone Hospitals' (Zenbaba D, 2018) and a preliminary survey done in Goba Referral Hospital in July 2018 (Zenbaba D and Allison D, 2018) provided the basis for identification of the following gaps:

- 72.1% of healthcare workers have knowledge about infection prevention, however only 52.3% of healthcare workers report actively practicing infection prevention measures.
- 57.2 % of healthcare workers use a safety box for disposable sharp materials collection but 64 % of safety boxes observed were overfilled above the label or the 75% full levels.
- 62.3% and 37.7% of health care workers report a history of sharp materials injuries within their lifetime and within the last one year respectively. Re-capped used needles and syringes were observed on patient's bed sides or window sills in pediatrics, medical and surgical wards, and MCH and laboratory rooms. Needles left with vials of anesthetic bottles were observed in minor operation rooms, eye and dental clinics.
- 55% and 45% of healthcare workers had a history of blood and/or body fluid splash to their nose, mouth or eyes within their lifetime or the previous one year respectively.
- Contaminated medical equipment were kept for long periods of time (30 minutes and above) in the 0.5% chlorine solution.

Despite the availability of low-cost interventions for infection prevention and control like hand washing or transmission-based precautions, compliance with standard infection prevention and control practices remains very low. Strong infection prevention and control programs are needed to fight infections of public health importance such as HIV, malaria, tuberculosis, and emerging infectious diseases such as Ebola virus disease and Middle East Respiratory Syndrome (WHO, 2017). Most healthcare acquired infections can be prevented effectively by implementing readily available, practical and scientifically proven infection prevention practices (Allegranzi B. et al, 2007; Allegranzi B. et al, 2011; Bouallègue O. et al, 2013) Infection prevention and control measures like standard precautions are simple and low-cost, but require healthcare worker accountability and behavioral change to protect patients and themselves (WHO, 2010).

Studies conducted in New Delhi, India indicate that training in infection prevention can influence health care worker's infection prevention knowledge. Health care workers who receive training are more likely to act in accordance with infection prevention guidelines than those who are untrained. Training can help health care workers to realize the importance of basic infection prevention practices such as standard precautions, post-exposure prophylaxis and cleaning of the hospital environment (Jain M. *et al*, 2012). We planned to perform training on infection prevention for healthcare workers in Goba referral hospital to address some of the identified gaps. Therefore, the purpose of this project was to improve the infection prevention knowledge and practice of healthcare workers to reduce the transmission of healthcare acquired infections between patients and from healthcare workers to patients and vice versa.

#### Methods

Two four-day training sessions were designed to provide selected health care workers of Goba Referral Hospital an opportunity to improve knowledge and skills they need to use recommended IPPS principles and practices in a hospital setting with limited resources. The Infection Prevention and Patient Safety Training Resource Package prepared by the Federal Ministry of Health in April 2012 (Federal Ministry of Health, 2012) was used as the foundation for twenty-two modules of training materials and Power Point slides. The authors of this report prepared and reviewed the slides to ensure content applicability and presented the information in local languages to participants.

Approximately 30 participants were selected for each of the two training sessions. Participants came from across all hospital-based services at Goba Referral Hospital including those that impact maternal heath, labor and delivery, surgical services, neonatal care, child health, linen processing and housekeeping activities. The majority of participants were involved in direct patient care or waste management. Two experience trainers previously trained as trainers in Infection Control and Patient Safety presented the modules. To influence HCWs attitudes in a positive way, a participatory approach was used as much as possible. We used different methods such as brainstorming; group discussions and videotapes to stimulate discussion, in addition to illustrative

lectures. Participants were encouraged to reflect on their own observations from day to day practice and clinical experience, and in selected opportunities, shown parts of the hospital with which they may not have been familiar.

Trainers emphasized the magnitude of infection and risky areas for infection in health care settings and stressed the importance of infection prevention and patient safety. Group discussions enabled the sharing of experiences and measures that can be taken as best remedies to various situations and the use of videos demonstrated the appropriate handling of equipment in health care settings.

#### Analysis/ methods of evaluation

Components of the monitoring and evaluation of the training included pre- and post-tests as well as a daily recap of the previous day's key learning points every morning by participants. Additionally, observations by participants in selected hospital environments were presented to the group as a whole. The pre- and post-training questionnaires used 15 multiple choice questions. Feedback on group results was provided to the trainees. In order to determine trainees', change in awareness we compared pre-test and post-test scores using a paired t-test to estimate the mean difference. All data were analyzed using SPSS version 20 (IBM Corporation, 2012).

#### Results

A total of 56 healthcare workers (physicians, nurses, midwives, and laboratory technicians) and other staff were involved in this in-service training. For these trainees, pre-test and pos-test score data, the training conditions, yielded a fairly large correlation coefficient. When repeated measures are used it is possible that the training pre- and post-test conditions will correlate because the data in each condition come from the same people, reflecting some constancy in their responses. SPSS provides the value of Pearson's r and the two-tailed significance value. For our data, the pre- and post-test results yielded a correlation coefficient,  $\rho = 0.653$ , with a statistically significantly correlation (p < 0.05). On average, healthcare workers who participated in the infection prevention and patient safety training and took the post-test demonstrated improved awareness of infection prevention (Mean = 6.16, SE (Standard Error Mean) = 0.28) as compared to their pre-test score (M = 5.25, SE = 0.265), t (55) = 3.964, p < 0.001, Effect size (r) = 0.471).

At the end of the training, an open discussion was conducted and comments were received from the participants regarding the overall objectives and suitability of the training. In brief, all participants agreed that "the training on infection prevention is timely and basic for all healthcare professionals". In addition, healthcare workers stressed that such in-service training should be conducted on a regular basis.

#### **Conclusions**

The results support the idea that providing in-service infection prevention training appears to be useful in enhancing healthcare workers' awareness level and potentially, by extension, their practice. Hence, health authorities should encourage in-service trainings to strengthen healthcare workers' compliance with infection prevention measures, which are the only ways to reduce and protect healthcare staff, patients and clients from the occurrence of unnecessary infections and injuries.

A substantial number of training modules have been developed which can be used again with similar or different audiences. Consideration could be given to providing single modules to specific audiences to avoid the necessity of removing workers from their day-to day responsibilities, or building modules into other routine activities.

#### Limitations

Based on the available evidence, the awareness of healthcare workers is significantly improved as a result of the present in-service training. However, we did not use a validated questionnaire for the pre- and post-test assessment of healthcare workers' awareness. Moreover, the current training modules were prepared in English and are in need of translation into local languages particularly for those trainees who had lower levels of education (e.g; waste handlers, laundry workers and administrative staff). In this short term in-service training we were not able to measure the impact of the training on healthcare workers' day to day activity. We also were unable to find video segments suitable for local language demonstration.

#### Lessons learned from the training

- Effective implementation of infection prevention practices in healthcare facilities leads to a significant increment on healthcare worker's awareness.
- Regular in-service training on infection prevention practices and patient safety issues is very important to improve quality of care.

**The way forward:** The Ministry of Health (MOH) and other stakeholders who work in the area of infection prevention and patient safety should work collaboratively to schedule and deliver regular in-service training in a cost-effective way. As demonstrated here, healthcare workers have better awareness and practice if they receive infection prevention training and have infection prevention guidelines in their workplaces.

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## Quality of Care in Patient-Physician Communications at Yekatit 12 Hospital: Cases and Medical Encounters

Authors: Asegedew Shemelis<sup>1</sup>, Berhanu Gurmesa<sup>2</sup>

Affiliation: 1. Arba Minch University, Southern Nations, Nationalities and People's Region, Ethiopia

2. Wollega University, Oromia Region, Ethiopia

#### **Abstract**

Effective communication and interaction are indispensable to enable healthcare organizations to find their way around their environment, exchange ideas, understand and be understood with the clients. Patient-centered communication is usually respectful and responsive to patients' preferences, needs, values and social milieu. Health communication in hospitals apparently constitutes the largest part of the health system's information space to patients about their health. This qualitative article aimed at exploring the power relations between patients and physicians communication during medical encounters at Yekatit 12 Hospital. After having permission from the School of Journalism and Communication, Addis Ababa University and an ethical clearance from the hospital administration, we generated qualitative data via in-depth interviews and observations. With this regard, the study employed 10 in-depth interviews—five patients stayed in the hospital a month and above in different wards and five physicians. The sampled physicians were hired from different departments comprising two senior nurses and three medical doctors. We used snowball sampling method to scout information-rich respondents for tick descriptions. In addition, overt onset observation was our supplemental method to obtain data.

After analysis of patients' responses, physicians' reports and our own observations, we had drawn four themes that guided our findings: expectations of parties involved; equilibrium of power between patients and the physicians; the care, respectful and compassionate approach; and the circadian rhythm. Expectations: in the Hospital, almost all participant medical doctors and care providers admitted that most often the traditional approach gives the upper-hand to the physician. However, following the health-care service reform, recently the process has turned to patient-centered services though still complaints exist on proportional time allocation for patients to share information freely. Power balance: In Yekatit 12 Hospital, the data obtained from patients and physicians reveal that there is a transactional and symmetric relation between patients and their counterpart doctors. Hence, the hospital is convinced that the patients' active involvement is helpful; the relation and interaction is more balanced, the patients' feel that they are equally negotiating their health cases. During our observations in Yekatit 12 Hospital at the OPDs, we had seen physicians respectfully treating patients, following up their cases and sometimes we saw nurses sat beside and exchange information. Care and compassion: physicians are progressive in implementing the Care, Respectful and Compassionate, Ministry of Health National Health Promotion and Communication Strategies (2016-2020) which is potentially advancing the health communication process. Hence, we observed that though still there are some journey to walk, the health workers are more respectful and on good progress to harness the caregiving environment in the hospital. Circadian Rhythm: all physicians and participant patients reported that there is high workload though 129 medical doctors and 382 nurses are deployed on duty.

To site: Shemelis A, Gurmesa B. Quality of Care in Patient-Physician Communication at Yekatit 12 Hospital: Cases and Medical Encounters. EHQB 2019; 1: Page 101-105. Since Yekatit 12 Hospital is a public hospital, every medical doctor handles 25-40+ patients a day on average. This is a huge figure and pushes physician to rush to the next patient skipping some narratives from the patient. We furthermore observed the emergency ward, the pediatrics, the inpatient and the outpatient departments. In the observation, we noticed that physicians restlessly running, talking, interviewing while too many patients were waiting for their turn to see the doctors. Even it makes the situation worse that we interviewed one of the nurse in the corridor while she and the other two medical doctors onset. They were eventful but also helpful to our queries. We stand firm that such illogical burden leads physicians to fatigue and lowers patient satisfaction. So, especially the most experienced medic pertain the theory of circadian rhythm in order to invigorate their tempo. This physiological solution is a self-initiated one during stress and workload. The aim is to maintaining the rhythm when more patients visit.

In conclusion, the hospital is in a promising position to implement the Ethiopian Ministry of Health National Health Promotion and Communication Strategies (2016-2020) platforms that intends to create friendly and inviting healthcare system through improved relationship and effective health communication

#### Introduction

Effective communication and interaction are indispensable to enable healthcare organizations to find their way around their environment, exchange ideas, understand and be understood with the clients. Health communication, as an area of theory, research, and practice, therefore focuses on "the relationships between communication and health, health attitudes and beliefs, and health behavior" (Rebecca J. Welch Cline, 2003, p.209). Health communication in hospitals is apparently the largest part of the health system's information space to patients about their health condition.

#### **Problem statement**

Patient-centered communication is vital for health care organizations to provide ethical, high-quality care. Patient-centered communication is communication that is respectful and responsive to patients' preferences, needs, values and social milieu. "Any communication that affects patients can be patient-centered, including oral, written and nonverbal communications between patients and practitioners, patients and health care organizations, and between and among health care practitioners and health care organizations" (AMA, 2006, p.5). Thus, it contains a substantial proportion of the health system information channel, but is still usually ignored in many places when the practitioners focus on the clinical duty. This 'clinical' inclination then haphazardly affects the encounters and the interaction—most importantly the negotiation power of the patient.

#### **Rationale**

The National Health Promotion and Communication Strategy (2016-2020) of Ethiopian Ministry of Health speaks of enhancing the capacity of health service providers in interpersonal communication and counseling skills (FMoH, 2016, P.35); however, the strategy did not identify the patient-provider interpersonal level communication symmetry, successes, gaps and future directions either in the gap analysis or in the critical retrospection of the last series of Health Sector Transformation Plans (HSTP). Therefore, in this research article, we dedicate to explore and understand the power relation of patients negotiating health in formal medical encounters at Yekatit 12 hospital.

#### **Objective**

Explore the power relations between patients and physician's communication during medical encounters.

#### **Methods**

Ten respondents were participating in the data generation stage. Using snowball technique, five participant patients with different health cases and five physicians from divers departments were purposively selected for they were scouted to be information-rich. Regarding the patients, we

decided to include who had admitted for a month and longer period. Likewise, the physicians, three medics and two nurses were participating from various specializations and service years. We did this for the reasons that the nature of the working environment varies; then, the complex the medical case the great the complex the communication process.

Instruments: In-depth Interview and Onsite observation

#### **Interventions**

We passed through a series of ethical grooves. After having permission from the School of Journalism at Addis Ababa University, we approached and obtained ethical clearance from the hospital administration. The respondent's informed consent was also collected to conduct the interviews.

#### Measures

This article had involved 10 respondents for in-depth interview: five patients and five physicians, considering their specialization, illness type and sex composition proportionally. Regarding to the physicians, we hired young (30 years old) female gynecologist with six months' work experience, another female nurse who has 27 years of work experience, female nurse with six years of experience, male special surgeon, 27 years of experience and another male medical Doctor having three and half years of experience. To their counter parts, the patients were sampled from various departments and medical wards. A 33 years old male cardiac patient, who admitted on 24th August, 2009 E.C., old woman (75 years old), admitted on 02/13/2009 E.C, a peptic ulcer patient, a miscarriage patient, 31, with seven months of follow-up in the hospital, hepatitis patient, female, 45, and, one male inpatient, 58, were interviewed onset. The physicians and patient's diversity in work experience, specialization, disease type is deliberately done to capture multiple viewpoints.

#### **Analysis**

- a. Qualitative and quantitative methods used to draw inferences from the data
- b. Methods for understanding variation within the data, including the effects of time as a variable

#### **Results**

Fair expectations: In the Hospital, almost all participant doctors and care providers admitted that most often the traditional approach gives the upper-hand to the physician. However, following the health-care service reform, recently they turned, replied the study participants, to patient-centered services though they still complaint on proportional patient's reluctance to share information freely. This means, the physicians' perceptions and expectations are more of mutually fair and open for negotiation. Care, Respectful and Compassionate mind-set: The essence of CRC is providing responsible and compassionate care and service to the visiting patients from the start to the end of their stay at that hospital. During our observations in Yekatit 12 Hospital at the OPDs,

we had seen physicians respectfully treating patients, following up their cases and sometimes we saw nurses sat beside and exchange information. Hence, we draw a thesis of argument that though the degree varies between nurses and medical doctors, in Yekatit 12 Hospital physicians are progressive in implementing the CRC strategy which potentially advances the health communication process. *Circadian Rhythm*: Physicians and participant patients reported that there is high workload. Since Yekatit 12 Hospital is a public hospital, every medical doctor handles 25-40+ patients a day on average. This is a huge figure and pushes physician to rush to the next patient skipping some narratives from the patient. So, especially the most experienced medic pertains the theory of *circadian rhythm* in order to invigorate their tempo. This physiological solution is a self-initiated one during stress and workload. The aim is to maintaining the rhythm when more patients visit. These times, they prioritize the most suitable diagnosis time to avoid stress which is known as circadian rhythm as a way out to handle the fatigue and the intrapersonal communication barriers. In a long term basis, the hospital management should strategically maintain the patient-physician ratio, so that the staff enjoys the luxury of talking with patients steadily.

#### **Limitations and Lessons learnt**

Only observation and in-depth interview were employed since the physicians are busy. The outpatients were difficult to manage for one leaves the hospital while the other comes in for the service; and it was intricate to bring inpatients together (usually in IPDs) for focus group discussion. It would be undoubtedly viable if discussions and prolonged observations were made to abduct more issues that we did not incite with the above instruments.

#### Conclusion

In patient-physician communication is vital to harness effective caregiving and treatment in health organizations. More importantly, symmetry of power to negotiate health cases and patients' involvement in decisions potentially influences the medical process. In Yekatit 12 Hospital, physicians are in a good progress to depart from the traditional patient-physician communication where the physician takes the upper-hand. The medic and the nurses are aware of the role of good communication, balanced relations in medical encounters and respect to the success of diagnosis and medication. Almost all respondents firm enough that the communication among physicians and with patients is client-centered and open for negotiation. Despite the huge workload, the physicians have fair expectations to the patients' preoccupations and opt to listen to the patients as well. The hospital physicians are in a promising position to implement the Ethiopian Ministry of Health National Health Promotion and Communication Strategies (2016-2020) platforms that claims in creating friendly and inviting health facility environment through improved relationships and effective health communication.

## Level of Quality of Immediate Newborn Care Practices and Associated Factors among Newborns who have been delivered in public hospitals of Wolayta zone, South Ethiopia

Authors: Abraham Mota, Kidanu G/mariam, Dawit Zenebe Affiliation: Mekelle University, College of Health Science, Tigray Region, Ethiopia

#### **Background**

Essential newborn care (ENC) is a set of basic care given to all newborns to optimize their survival within first month of life [1]. Immediate newborn care practices are part of essential newborn care practices used to protect newborns morbidity and mortality that happened immediately after birth within first hour [2]. It is simple, technology free and cost effective practices to save the lives of newborns while reducing preventable neonatal mortality [3].

Globally, 2.6 million newborns died in 2016. Southern Asia and Sub-Saharan Africa accounted for 80% of the world newborn mortality. Ethiopia ranked 5<sup>th</sup> in the world and 3<sup>rd</sup> in Africa next to Nigeria and Democratic Republic of Congo. There was a wide regional variation in newborn mortality ranging 20/1000 in Addis Ababa to 64/1000 in Benishangul-Gumuz. Southern Nation, Nationalities and Peoples Regional State (SNNRP) is 5<sup>th</sup> by neonatal mortality in Ethiopia and Wolayta zone reported highest neonatal mortality 31/1000 in the region in 2016 [4-6].

The main causes were birth complication, asphyxia and sepsis accounted for almost 60% of all neonatal mortality. Effective quality newborn care can reduce around 75% of preventable newborn mortality [7-9]. Quality newborn care is pivotal point for improving coverage, adherence to newborn care services and its survival [10].

Measuring quality level of newborn care is indicator for how far distance we can go to achieve targeted goals [11, 12]. Evidence of measurement is strong when measured by observing the procedure in delivery ward during care provision. However, it is not well evaluated in the health facility while care provided to every baby routinely and its factors are not well studied in previous literature in Ethiopia as well as in study area. Therefore, we aimed to measure the level of quality of immediate newborn care practices and assessed its associated factors among newborns have been delivered in

the public hospitals of Wolayta zone, southern Ethiopia, 2018.

Immediate Newborn care Practices and Associated Factors at Public Hospitals in Wolayta Zone. EHQB 2019; 1: Page 106-113.

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#### Methodology

**Study area and period:** The study was carried out in the public hospitals of Wolayta zone, Southern Ethiopia, from March 10 to April 10, 2018. There are 5 public and 2 private hospitals, 71 health centers and 408 health posts in the Wolayta zone.

**Study design:** Facility based cross sectional study was conducted

**Study population:** All newborns, their mothers and health care workers who were providing delivery services in public hospitals of Wolayta zone during study period.

**Sample size determination:** We used 50% as prevalence, 95% confidence interval (CI), 5% error, sample size was 384 and adding 10% non-response rate, the final sample size become 422.

**Sampling technique:** We included all five public hospitals in the zone purposely. We received quarter client flow from hospitals. We distributed sample size to each hospital by using PPS. All health workers providing delivery service were included in study by purposive sampling method.

**Level of quality of immediate newborn care practices:** Refers to leveling of immediate newborn care practices in delivery rooms as "good" or "poor" by using observational checklist. It is "**Good**" if baby received at least 75% of the component or performed 9-13 components in the checklists and "**Poor**" if received below 75% or performed 1-8 components [13].

Availability of drugs, equipment and materials: Presence of supply needed to provide newborn care mentioned in national newborn care manual. Its "good" if 75% of listed materials are available and "poor" if < 75% by observatory checklist.

**Data collection instruments and procedures:** We used observational checklists to assess level of immediate newborn care practices and availability of drugs and materials needed to provide newborn care. Structured questionnaires were used to assess the factor of outcome variable.

**Data processing and analysis:** The data was entered in to Epi-info and then transferred to SPSS for analysis. Independent variable at p-value <0.25 by bivariate logistic regression was included in to the multivariate logistic regression to determine predictor variable and variables at p<0.05 considered as significant

**Ethical consideration:** Ethical clearance was obtained from Mekelle University Ethical Review committee. The permission letter was written from Wolayta zone health department. Written informed consent was obtained from each health worker and verbal consent from each mother to follow the care provided to their baby and to interview them. If there was a case of miss practice of newborn care during observation time, then the data collector corrected.

#### **Results**

A total of 59 health workers, 422 newborns and mothers were included in the study with response rate of 100%. The majority 384 of (91%) of the mothers were between age group between 20-35 years with the median age of 25 years and Interquartile Range 5 (see table 1).

#### Health service and obstetric related characteristics of mothers

The majority of the mothers, 298 (70.6%) were multiparous. Of the total 422 newborns observed, 260 (61.5%) were males. Concerning ANC visits, 266 (63%) of mothers had attended antenatal clinic at least once during their pregnancy period (see table 2).

Table 1 Health service and obstetric related characteristics of mothers, 2018 (n=422)

Variables		Level of quality of immediate newborn care			
		Good (%)	Good (%)	Total (%)	
Parity	Primiparous	91(21.6%)	34(8%)	125 (29.6%)	
	Multiparous	229(54.3%)	68(16.1%)	297 (70.4%)	
Birth preparedness	Prepared	293(69.4%)	91(21.6%)	384 (91%)	
of mothers	Not prepared	26(6.4%)	11(2.6%)	38 (9%)	
History of ANC visit	Yes	216(51.2%)	50(11.8%)	266 (63%)	
	No	104(24.6%)	52(12.3%)	156 (36.9%)	
Number of ANC	No ANC visit	103(24.4%)	52(12.3%)	155 (36.7%)	
visits	One ANC visit	34(8%)	12(2.9%)	46 (10.9%)	
	Two ANC visit	99(23.5%)	20(4.9%)	119 (28.2%)	
	Three and above ANC	84(20%)			
	visit		18(4.2%)	102 (24.2%)	
Counseled on ENC	Yes	191(45.3%)	39(9.2%)	230 (54.5%)	
during ANC visits	No	129(30.6%)	63(14.9%)	192 (45.5%)	
Counseled on ENC	Yes	245(58.1%)	6014.2(%)	305 (72.3%)	
during delivery	No	75(17.7%)	42(10%)	117 (27.7%)	

#### Newborns care service provision by health workers

We interviewed totally 59 health workers during study period. Concerning profession, majority of health workers 31 (52.5%) were midwives.

Table 2 Newborn care service provision of health workers, 2018 (n=59)

Variables		Frequency	Percentage	
Sex of health workers	Male	24	40.7	
	Female	35	59.3	
Types of profession	Midwife	31	52.5	
	Nurse	19	32.2	
	Emergency surgery	5	8.5	
	Others	4	6.8	
Level of education	Degree	50	84.7	
	Master	5	8.5	
	Specialty	4	6.8	
Work experience	Less than two years	17	28.8	
	Two years and above	42	71.2	
Training	Yes	44	74.6	
	No	15	25.4	
Newborns received care by trained health	Yes	281	66.6	
worker on ENC	No	141	33.4	
Newborns received care by health worker	Good	335	79.4	
who had knowledge on the component of ENC	Poor	87	20.6	

#### Availability of drugs, materials and supply

Among total newborns observed, 340 (80.6%) newborns were received immediate newborn care with good supply of drugs, materials and medical equipment (Figure 1).

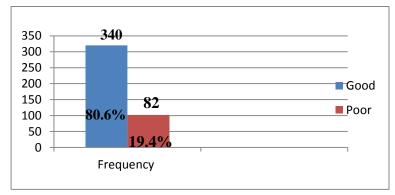


Figure 1 Availability of drugs and equipment in the public hospitals of Wolayta zone, 2018

#### Level of quality of immediate newborn care practices

From the total of 422 newborns observed, 320 (75.8%) with 95%CI of 71.6%-79.9% newborns were received good quality of immediate newborn care practice (Figure 4)

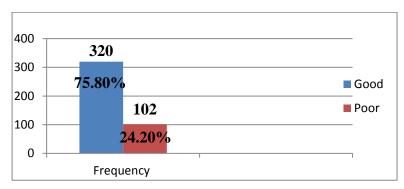


Figure 2 Level of quality of immediate newborn care practices in the public hospitals of Wolayta zone, South Ethiopia, 2018 (n=422)

#### Factors that affect the level of quality of immediate newborn care practices

A total of 9 predictor variables such as, residency, average monthly income, history of ANC visits, number of ANC visit, advice of ENC during ANC visit, advice of ENC during postnatal period, newborns delivered by trained health workers, maternal knowledge on the component newborn care and availability of materials were showed association at p-value of 0.25.

Three predictor variables like newborn received care by trained HWs on ENC [AOR=0.53 (0.32-0.86)], lack of mother's knowledge on ENC [AOR=2.7(1.56-4.65)] and lack of supply drugs, equipment and materials [AOR=1.8(1.02-3.2) were found to be significantly associated to level of quality of immediate newborn care practices at p-value of 0.05.

Those newborns received care by health workers trained on the component of essential newborn care were 47% more likely to receive good quality of immediate newborn than those who cared by health worker not trained on essential newborn care. Those newborn who had mother with lack of knowledge on essential newborn care component were 2.7 times less likely to receive good quality of immediate newborn care practices as compared with newborns whose mother had good knowledge on the components of essential newborn care. The odds of newborn received care with poor supply in drugs, equipment and materials was 1.8 times less likely to receive good quality of immediate newborn care than those newborns cared with good supply of drugs, equipment and materials (see table 4 below).

Table 3 Multivariate logistic regression analysis of factors associated with level of quality of immediate newborn care practices in public hospitals of Wolayta zone, 2018 (n=422)

Variables	Good	Poor	COR(CI)	AOR(CI)
Residence				
Urban	154	42	0.75(0.48-1.19)	1.64(0.914-2.94)
Rural	166	60	1	1
Average monthly income				
Less than 1000 ETB	63	33	1	1
1000-2000ETB	91	29	0.61(0.34-1.1)	0.98(0.5-1.9)
>2000ETB	166	40	0.46(0.27-0.79)	0.93(0.45-1.94)
History of ANC follow				
Yes	216	50	0.46(0.29-0.73)	1.36(0.57-3.22)
No	104	52	1	1
Number of ANC visit				
No ANC	104	54	1	1
1-2 ANC	33	12	0.73(0.35-1.52)	1.15(0.43-3.07)
3 & above	183	38	0.41(0.25-0.67)	0.46(0.34-2.12)
Counseled during ANC visit on ENC				
Yes	191	39	0.42(0.27-0.66)	0.58(0.3-1.02)
No	129	63	1	1
Counseled during postnatal period on ENC				
Yes	245	60	0.44(0.27-0.7)	0.6(0.35-1.04)
No	75	42	1	1
Babies received cared by HW trained on ENC				
Yes	224	56	0.52(0.33-0.82)	0.53(0.32-0.86)*
No	96	46	1	1
Maternal knowledge to newborn care				
Yes	235	46	1	1
No	85	56	3.37(2.12-5.34)	2.7(1.56-4.65)**
Availability of materials				
Good	267	73	1	1
Poor	53	29	2(1.19-3.37)	1.8(1.02-3.2)*

NB, HW=health worker, ENC=essential newborn care, \*\*=p-value<0.01 & \*=p-value<0.05

#### **Discussions**

In our study, majority of newborns 320 (75.8%) received good quality of immediate newborn care practices but lower than the national target which is 95% of newborn should receive newborn care components in 2020 [11]. This due to in national target it recommended all baby should be cared by trained HWs but in our study only 66.6% babies cared by trained HWs. This study in lined with

study in Tigray that 72.8% of newborns received good newborn care practice [14] but higher than studies in Addis Ababa 30% [15] and Khartoum Sudan 41.1% [16] The study Khartoum observed only 40 samples while study in Addis Ababa more concentrated on maternal BEMONC care and assessed only three components of essential newborn.

This study showed that newborns received care by trained HWs on ENC were 55% more likely to receive good care as compared to those newborns cared by non-trained HWs on ENC. This is similar with study in Tigray showed that the newborns received care by HWs were 0.24 times received more good practice than those cared by not trained HWs. This contrast with study done in India showed no significant association between training of HWs and essential newborn care practice [17]. This is due to only self-administered questionnaires to HWs in Indian study not conducted observational simulation during data collection.

In our study, those newborns cared with inadequate supply of material needed for essential newborn care practices [AOR=1.8(1.01-3.2)] were 2 times less likely to receive good quality of INC as compared to newborns cared adequate materials. This finding is consistent with the study done in Addis Ababa [15, 18] and Eastern Tigray [14].

#### **Conclusions**

Majority of newborns received good quality of immediate newborn care practices but still there is gap to reach national set target. In our study, receiving care by trained HWs on ENC, maternal knowledge on the components of ENC and receiving care with good supply of drugs and materials needed for care were predictors of outcome variables. We recommended Wolayta zone health department and hospitals to assign training on ENC and supply drugs, materials and equipment needed for newborns care. We also recommended health workers to counsel mothers during ANC visits and delivery about newborn care to develop maternal knowledge.

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#### Quality of Neonatal Resuscitation in Ethiopia: Implications for Policy and Practice

Authors: Haftom Gebrehiwot<sup>1</sup>, Mulugeta Woldu<sup>2</sup>, Esayas Haregot<sup>2</sup>, Brhane Ayele <sup>2</sup>, Araya Abrha<sup>1</sup> Affiliation: 1. Mekelle University, College of Health Science, Tigray Region, Ethiopia 2. Tigray Health Research Institute, Tigray Region, Ethiopia

#### **Abstract**

**Background:** Birth asphyxia accounts for one quarter newborn deaths. Providing quality care during neonatal resuscitation reduces neonatal mortality due to birth asphyxia by 30 percent. However, the challenges of health system's capacity to provide quality neonatal resuscitation service are not well investigated in Ethiopia. Hence, this study is conducted to assess the quality provision of neonatal resuscitation in Ethiopia.

**Method:** We used data from the 2016 national Emergency Obstetric and Newborn Care (EmONC) assessment which contains data on all health facilities providing delivery care services in Ethiopia (N=3,804). We described the quality of neonatal resuscitation (NR) services provided to asphyxiated babies in terms of structural quality, processes of care and outcomes (survival status at discharge). We also explored the factors associated with survival of neonates after undergoing neonatal resuscitation using multivariable logistic regressions.

**Results:** Two third, 364(65.6%) of the asphyxiated babies resuscitated by bag and mask type of neonatal resuscitation. Of the babies who had got neonatal resuscitation 463 (83.4%) survived, and 92(16.6%) died at the time of discharge. Gestational age of greater than 37 weeks and above had 1.82 times increased chances of survival than those unknown gestational age (AOR) =1.82; 95% CI (1.09-3.04)),one unit increase in availability of priority equipment in health facilities for neonatal resuscitation increases by 1.24 times the survival of the neonates (AOR=1.24,95% CI(1.09,1.54)) and women who had 12 hours and less duration of labour were 1.76 times more likely to survive their newborn than their counterparts (AOR=1.76; 95% CI (1.23, 3.13)).

**Conclusion :** Only half of the health facilities were ready for NR in terms of priority equipment's. However, eight out of ten babies survived after NR received in Ethiopia. Gestational age, priority equipment for NR and duration of labor were determinants of survival of resuscitated neonates in Ethiopia. Therefore, the availability of priority equipment's and attentive care and follow-up for premature neonates and those face prolonged labour need to be improved in Ethiopia.

**Key words:** Neonatal resuscitation, Quality of care, Emergency Obstetrics and Newborn Care, Birth asphyxia and Ethiopia

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#### Introduction

Birth asphyxia is defined by the World Health Organization (WHO) as "the failure to initiate and sustain breathing at birth" and accounts for one fourth of neonatal mortality [WHO, 2012]. Five to ten percent of babies born in facilities need an urgent need for neonatal resuscitation in low-resource settings, where access to intrapartum obstetric care is poor and the incidence, mortality, and burden of long term impairment from intrapartum-related events is very high. Delays in initiating resuscitation to non-breathing babies may exacerbate hypoxia, increase the need for ventilation and lead to neonatal morbidity and mortality [WHO, 1997; Haftom et'al, 2015].

In low income countries ineffective resuscitation practices are linked to the insistently high neonatal deaths from birth asphyxia in the first 1–24 hours [Opiyo et'al, 2015]. In addition, poor record–keeping and inconsistent quality of care is a major impediment to efforts aimed at improving the health of neonates. It is suspected that while coverage of institutional delivery services has been increased, the quality of care provided is substandard [Filippi V, et'al, 2006; Gram Wj et'al, 2012]. Therefore, this 2016 national Emergency Obstetrics and Newborn Care (EmONC) survey [EPHI, EmONC,2017] provides a unique opportunity to address the information gap of the capacity of quality of neonatal resuscitation to treat and manage asphyxiated babies. In this analysis, we aimed to explore the factors associated with the neonate's survival after undergoing neonatal resuscitation.

#### Methods

#### Data source

We used data from the 2016 Ethiopian Emergency Obstetrics and Newborn care (EmONC) assessment. The EmONC assessment was a national cross-sectional census of all public hospitals, health centers and all private facilities (higher –clinic and above) that provided maternal and newborn health services and reported having attended births in the last 12 months. The EmONC assessment did not include health posts or medium and small clinics because these facilities are not supposed to attend deliveries.

Of the eligible 4,385 facilities in all nine regions and two city administrations in the country, 3,804 facilities were assessed including 293 hospitals, 3,459 health centers and 52 clinics, both government-owned and private. A total of 11 facilities were not accessible due to political unrest or because the staff refused to allow the team to conduct the survey. In each facility, charts from the last three asphyxiated babies born in the past 12 months were reviewed. However, most facilities had data on only one eligible charts of resuscitated neonates chart (N=555) were assessed with regard to the process of NR, provider, facility and resuscitated asphyxiated babies' characteristics and neonate's outcome [EmONC, 2016; Donabedian A,1988].

#### Data analysis and measurement

We measured quality of NR using the Donabedian triads of quality which includes structure, processes and outcomes (survived or dead after undergoing NR). Logistic regression analyses were used. A bi-variable logistic regression analysis was conducted and those independent variables with p value of  $\leq 0.25$  were considered for inclusion in the multivariable logistic regression model with the forward likelihood ratio method. Finally, variables with p<0.05 in the multivariable analysis were considered to declare statistically significant associations between covariates and neonate's survival after NR. All analyzes were performed using SPSS version  $21^{TM}$  software.

#### **Results**

#### Structural quality: Neonatal Resuscitation service-specific readiness

The overall availability of infrastructural readiness was 64.2%, availability of essential medicine and commodities 69.4%, priority equipment's 51.5% and national helping baby breath guidelines 82.5% of the health facilities in Ethiopia. Index specific calculations are described in appendix 1.

#### Health care providers' background characteristics

A total of 555 health care providers (HCPs) with a mean age of 26.1 years (SD±5.9) participated in the study. Almost more than half of HCPs (n=334; 60.2%) was aged less than 25 years. Midwives accounts the majority of professional cadre (n=504; 90.8%) to provide newborn resuscitation. Regarding work experiences, four out of ten (n=243; 43.8%) participants indicated having less than 2 years' experience. Over three fourth of the HCPs 471(84.9%) reported having received the NR training within the past two years prior to this study.

#### Health facility characteristics

Four of the ten health facilities reported having a separate newborn corner and majority (n= 472; 85%) of health facilities reported that they didn't have separate Neonatal Intensive Care Unit (NICU). Less than half (n= 249; 49.9%) of the facilities reported that frequent staff rotation for newborn care within the neonatal care service. Regarding the facility type, a high proportion of health centers (n=408; 80.7%) was included in this study and described in appendix 2.

#### **Neonatal Resuscitation process quality and outcomes**

Around two third, (n=364; 65.6%) of the asphyxiated babies were resuscitated using bag and mask, whereas, only 9(1.6 percent) was done by stimulation. Overall, regarding outcome of the neonates after resuscitation, majority of the neonates (n = 463, 83.4%) were survived [**Table 1**].

Table 1: NR process quality and outcomes in Ethiopia, EmONC survey 2016 (N=555)

Characteristics	Frequency	%		
Neonatal resuscitation steps				
Stimulation	9	1.6		
Bag and mask	364	65.6		
Both stimulation and bag and mask	171	30.8		
Intubation	11	2.0		
Outcome of neonatal resuscitation for the asphyxiated babies at time of discharge				
Survived	463	83.4		
Not survived	92	16.6		

#### Factors associated with outcome of Neonatal Resuscitation

After adjusting in the multi variable analysis, duration of labour, gestational age and availability of priority equipment for NR were found to have significant statistical association with neonate's survival at time of discharge. Resuscitated newborns delivered below and 12 hours of labour were 1.76 times (AOR=1.76; 95% CI (1.23, 3.13) more likely to survive than those delivered after duration of greater than 12 hours. In addition, neonate's gestational age is significantly associated with the neonate's survival status after resuscitation. As the gestational age increase the chances of getting survive would also increase. Resuscitated newborns delivered at gestational age of greater than 37 weeks and above had 1.82 times increased chances of survival when compared to newborns with unknown gestational age (AOR=1.82; 95% CI (1.09-3.04). Facilities with one-unit increase in availability of priority equipment increases by 1.24 times the survival of the neonate after NR (AOR=1.24; 95% CI: 1.09, 1.54; p=0.05) [Table-2].

Table 2: Association between explanatory variables and survival of neonates in Ethiopia, EmONC Survey (N=555)

Characteristics	Survival of neonate's at time of discharge, n (%)		OD (050/ CL)	
	Survive	Not survive	OR(95%CI) Crude	Adjusted
Professional Cadre		survive		
MD/HO	12(75.0)	4(25.0)	0.39(0.081.80)	
Midwives	420(83.3)	84(16.7)	0.65(.22-1.88)	
Nurses	31(88.6)	4(11.4)		NS
Provider work experience in years	4	1	•	
<2	202(83.1)	41(16.9)	0.75(0.35-1.57)	
2-5	195(82.6)	41(17.4)	0.72(.34-1.52)	
>5	66(86.8)	10(13.2)		NS
Type of resuscitation	4	1	•	1
Stimulation	7(77.8)	2(22.2)	0.35(0.26-4.65)	
Bag and mask	306(84.1)	58(15.9)	0.53(0.07-4.20)	
Stimulation with bag & mask	140(81.9)	31(18.1)	0.45(0.06-3.66)	

Intubation (Def)	10(00.0)	1(0.1)		NS		
Intubation (Ref)	10(90.9)	1(9.1)		IND		
Mode of delivery         Spontaneous Vaginal         420(83.2)         85(16.8)         1.45(0.52-4.05)						
Instrumental	26(92.9)	2(7.1)	3.82(0.66-22.0)			
Caesarian section (Ref)	17(77.3)	5(22.7)	3.82(0.00-22.0)	NS		
Duration of labor	17(77.3)	3(22.1)		145		
≤ 12 hours						
	161(89.9)		2.2(1.26-3.81)	1.76(1.23-3.13)		
Sestational age(weeks)	>12 hours (Ref)   302(80.3)   74(19.7)					
< 37	47(79.7)	12(20.3)	1.3(0.60-2.63)	1.37(0.61-3.10)		
> 37	304(87.4)	44(12.6)	2.2(1.36-3.63)	1.82(1.09-3.04)		
Unknown (Ref)	112(75.7)	36(24.3)	2.2(1.30 3.03)	1.02(1.07-3.04)		
Mother/baby referred from another fa		30(24.3)				
Yes	24(82.8)	5(17.2)	1.1(0.39-2.83)			
No (Ref)	439(83.5)	87(16.5)	111(0.05) 2.00)	NS		
Meconium present	.57(05.5)	0.(10.0)		1		
Yes	85(90.4)	9(9.6)	0.48(0.23-0.98)			
No (Ref)	378(82.0)	83(18.0)	0.10(0.20 0.50)	NS		
Facility has separate newborn corner	` ′	00(1010)		110		
Yes	197(84.9)	35(15.1)	1.21(.76-1.91)			
No (Ref)	266(82.4)	57(17.6)	11.21(170 1171)	NS		
Facility has staff rotation policy for n	` /	07(1710)		110		
Yes	207(83.1)	42(16.9)	0.96(0.61-1.51)			
No (Ref)	256(83.7)	50(16.3)	,	NS		
Facility type	()		1	1		
Hospitals	91(85.0)	16(15.0)	1.2(0.65-2.09)			
Health centers (Ref)	372(83.0)	76(17.0)	,	NS		
Facility location	, ,	, ,	1			
Urban	238(84.1)	45(15.9)	1.1(0.71-1.73)			
Rural (Ref)	225(82.7)	47(17.3)	(	NS		
Operating agency	220(0211)	17(17.6)		1,0		
Government	446(83.5)	88(16.5)	1.19(0.39-3.63)			
Private (Ref)	17(81.0)	4(19.0)	1.17(0.37 3.03)	NS		
Facility has care providers trained on NR						
Yes	393(83.4)	78(16.6)	1.01(0.54-1.88)			
	` ′	` ′	1.01(0.54-1.00)	170		
No (Ref)	70(83.3)	14(16.7)		NS		
Availability of essential medicine	463(83.4)	92(16.6)	0.96(0.81-1.14)	NS		
Availability of priority equipment's	463(83.4)	92(16.6)	0.79(0.64-0.98)	1.24(1.09-1.54)		
Infrastructure components	136(85.5)	23(14.5)	1.1(0.82-1.50)	NS		
Availability of neonatal resuscitation guideline						
Yes	384(83.8)	74(16.2)	1.2(0.67-2.09)	1		
No (Ref)	79(81.4)	18(18.6)		NS		

**Ref\*:** Reference category NS\*: Not statistically significant variable

#### **Discussion**

More than two third of the resuscitated babies were survived after NR undergoing in health facilities of Ethiopia and gestational age, priority equipment for NR and duration of labor were independently associated factors of survival of resuscitated neonates. Thus, this finding has implications both at the health facility level and the health care provider's level for the fight against neonatal mortality due to birth asphyxia. Appropriate caring for premature newborns and use of partograph to monitor each woman continuously throughout the duration of labour is very important intervention in low-resource settings as prolonged labour and delay in decision making are important causes of adverse obstetric outcomes. Besides, health facilities should invest more in ensuring that the availability of priority equipment's for NR to perfectly perform the procedure within the golden minute.

Our study shows that, availability of priority equipment in facilities increases the survival of neonates after neonatal resuscitation. One-unit increase in availability of priority equipment in a health facility increases by 1.24 times for the survival of the neonate (95% CI: 0.99, 1.54; p =0.05). This implies the benefits of the preparation of priority equipment, and sometimes staff for unforeseeable and foreseeable resuscitations, helps them to start ventilation on time, and increases the chances of a baby surviving after resuscitation in Ethiopia. By improving availability and readiness of NR equipment's, Ethiopia can reduce barriers to the proper neonatal resuscitation practice and improve their performance that impact to decrease high neonatal mortality in the country [R.moshiro H, et'al, 2018]. This is supported by evidence from an effective intervention to decrease global neonatal mortality; effective NR could prevent neonatal deaths by 30 percent as well as improve the outcomes of newborns delivered with birth asphyxia [G A. little, et'al, 2011]. Prematurity is among the top three causes of neonatal mortality in Ethiopia [Staff MU,2016/2017] and the leading cause globally [Lawan JE, et'al, 2014]. Resuscitated newborns with gestational age ≥ 37 weeks had 1.82 times increased chances of survival in our findings. This is in line with the study conducted in Tanzania [Mashiro R, et'al,2018] which shows newborns who died as compared to those who survived had significantly associated with gestational age and Kenya, indicated that gestation age  $\geq$  37 weeks was significantly associated with increased survival at onehour post NR (OR = 1.38, p = 0.007, CI = 1.10-1.75). This can be explained by the fact that a preterm baby who is failing to establish regular respiration needs more swift support and those babies who are extremely bruised at delivery during resuscitation generally have an extremely poor outcome [Sims DG, et'al, 1994]. Resuscitated newborns delivered 12 hours and less duration of labour were 1.76 times more likely to survive than those delivered greater than 12 hours (AOR=1.76; 95% CI (0.99, 3.13)). This might be because of prolonged duration of labor puts children at risk for developing brain damage that leads to cerebral palsy as a result of prolonged oxygen deprivation to the fetus or newborn, and the longer the baby is deprived of oxygen, the more severe the damage may be and getting die [Fernades CJ, et'al, 2013].

#### **Conclusion**

Overall, availability of priority equipment's for NR service as structural quality indicator is low in Ethiopia. However, more than two third of the resuscitated babies were survived at time of discharge. Regarding the predictors; gestational age, priority of equipment's for NR and duration of labour were the main correlates of neonatal outcome after NR service received. Thus, we recommend that, efforts to avail of the priority equipment's and supplies and strong follow up should be given for premature and for those neonates had more than 12 hours' duration of labour to improve their quality of life. Further observational research also warranted to measure quality of NR and its effect on resuscitated newborns.



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